

# Color-blind index in graphs of very low degree\*

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## Abstract

Let  $c : E(G) \rightarrow [k]$  be an edge-coloring of a graph  $G$ , not necessarily proper. For each vertex  $v$ , let  $\bar{c}(v) = (a_1, \dots, a_k)$ , where  $a_i$  is the number of edges incident to  $v$  with color  $i$ . Reorder  $\bar{c}(v)$  for every  $v$  in  $G$  in nonincreasing order to obtain  $c^*(v)$ , the color-blind partition of  $v$ . When  $c^*$  induces a proper vertex coloring, that is,  $c^*(u) \neq c^*(v)$  for every edge  $uv$  in  $G$ , we say that  $c$  is color-blind distinguishing. The minimum  $k$  for which there exists a color-blind distinguishing edge coloring  $c : E(G) \rightarrow [k]$  is the color-blind index of  $G$ , denoted  $\text{dal}(G)$ . We demonstrate that determining the color-blind index is more subtle than previously thought. In particular, determining if  $\text{dal}(G) \leq 2$  is NP-complete. We also connect the color-blind index of a regular bipartite graph to 2-colorable regular hypergraphs and characterize when  $\text{dal}(G)$  is finite for a class of 3-regular graphs.

## 1 Introduction

Coloring the vertices or edges of a graph  $G$  in order to distinguish neighboring objects is fundamental to graph theory. While typical coloring problems color the same objects that they aim to distinguish, it is natural to consider how edge-colorings can distinguish neighboring vertices. For an edge-coloring  $c$  using colors  $\{1, \dots, k\}$ , the *color partition* of a vertex  $v$  is given as  $\bar{c}(v) = (a_1, \dots, a_k)$ , where the integer  $a_i$  is the number of edges incident to  $v$  with color  $i$ . The edge-coloring  $c$  is *neighbor distinguishing* if  $\bar{c}$  is a proper vertex coloring of the vertices of  $G$ . The *neighbor-distinguishing index* of  $G$  is the minimum  $k$  such that there exists a neighbor distinguishing  $k$ -edge-coloring of  $G$ . Define  $c^*(v)$  to be the list  $\bar{c}(v)$  in nonincreasing order; call  $c^*(v)$  the *color-blind partition* at  $v$ , since  $c^*(v)$  allows for counting the sizes of the color classes incident to  $v$  without identifying the colors. The edge-coloring  $c$  is *color-blind distinguishing* if  $c^*$  is a proper vertex coloring of the vertices of  $G$ . The *color-blind index* of  $G$ , denoted  $\text{dal}(G)$ , is the minimum  $k$  such that there exists a color-blind distinguishing  $k$ -edge-coloring of  $G$ .

The neighbor-distinguishing index and color-blind index do not always exist for a given graph  $G$ . A graph  $G$  has no neighbor-distinguishing coloring if and only if it contains a component containing a single edge [4]. The conditions that guarantee  $G$  has a color-blind distinguishing coloring are unclear. When a graph  $G$  has no color-blind distinguishing coloring, we say that  $\text{dal}(G)$  is undefined or write  $\text{dal}(G) = \infty$ . Kalinowski, Piłśniak, Przybyło, and Woźniak [9] defined color-blind distinguishing colorings and presented

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several examples of graphs that have no color-blind distinguishing colorings. All of the known examples that fail to have color-blind distinguishing colorings have minimum degree at most three.

When two adjacent vertices have different degree, their color-blind partitions are distinct for every edge-coloring. Thus, it appears that constructing a color-blind distinguishing coloring is most difficult when a graph is regular and of small degree. Most recent work [1, 11] has focused on demonstrating that  $\text{dal}(G)$  is finite and small when  $G$  is a regular graph (or is almost regular) of large degree. These results were improved by Przybyło [12] in the following theorem.

**Theorem 1** (Przybyło [12]). *If  $G$  is a graph with minimum degree  $\delta(G) \geq 3462$ , then  $\text{dal}(G) \leq 3$ .*

We instead focus on graphs with very low minimum degree. In Section 2, we demonstrate that it is difficult to determine  $\text{dal}(G)$ , even when it is promised to exist.

**Theorem 2.** *Determining if  $\text{dal}(G) = 2$  is NP-complete, even under the promise that  $\text{dal}(G) \in \{2, 3\}$ .*

The hardness of determining  $\text{dal}(G)$  implies that there is no efficient characterization of graphs with low color-blind index (assuming  $P \neq NP$ ). Therefore, we investigate several families of graphs with low degree in order to determine their color-blind index. For example, it is not difficult to demonstrate that  $\text{dal}(G) \leq 2$  when  $G$  is a tree on at least three vertices.

A 2-regular graph is a disjoint union of cycles, and the color-blind index of cycles is known [9], so we pursue the next case by considering different classes of 3-regular graphs, and determine if they have finite or infinite color-blind index. If  $G$  is a  $k$ -regular bipartite graph, then the color-blind index of  $G$  is at most 3 [9]. In Section 3, we demonstrate that a  $k$ -regular bipartite graph has color-blind index 2 exactly when it is associated with a 2-colorable  $k$ -regular  $k$ -uniform hypergraph. Thomassen [13] and Henning and Yeo [8] proved that all  $k$ -regular,  $k$ -uniform hypergraphs are 2-colorable when  $k \geq 4$ ; this demonstrates that all  $k$ -regular bipartite graphs have color-blind index at most 2 when  $k \geq 4$ . Thus, for  $k$ -regular bipartite graphs it is difficult to distinguish between color-blind index 2 or 3 only when  $k = 3$ .

To further investigate 3-regular graphs, we consider graphs that are very far from being bipartite in Section 4. In particular, we consider a connected 3-regular graph  $G$  where every vertex is contained in a 3-cycle. If there is a vertex in three 3-cycles, then  $G$  is isomorphic to  $K_4$  and there does not exist a color-blind distinguishing coloring of  $G$  [9]. If  $v$  is a vertex in two 3-cycles, then one of the neighbors  $u$  of  $v$  is in both of those 3-cycles. These two 3-cycles form a *diamond*. We say  $G$  is a *cycle of diamonds* if  $G$  is a 3-regular graph where every vertex in  $G$  is in a diamond;  $G$  is an *odd cycle of diamonds* if  $G$  is a cycle of diamonds and contains  $4t$  vertices for an odd integer  $t$ . In particular, we consider  $K_4$  to be a cycle of one diamond.

**Theorem 3.** *Let  $G$  be a connected 3-regular graph where every vertex is in at least one 3-cycle of  $G$ .  $G$  has a color-blind coloring if and only if  $G$  is not an odd cycle of diamonds. When  $G$  is not an odd cycle of diamonds, then  $\text{dal}(G) \leq 3$ .*

## 2 Hardness of Computing $\text{dal}(G)$

In this section, we prove Theorem 2 in the standard way. For basics on computational complexity and NP-completeness, see [3]. It is clear that a nondeterministic algorithm can produce and check that a coloring is color-blind distinguishing, so determining  $\text{dal}(G) \leq k$  is in NP. We define a polynomial-time reduction<sup>1</sup> that takes a boolean formula in conjunctive normal form where all clauses have three literals and outputs a graph with color-blind index two if and only if the boolean formula is satisfiable.

**Theorem 2.** *Determining if  $\text{dal}(G) = 2$  is NP-complete, even under the promise that  $\text{dal}(G) \in \{2, 3\}$ .*

*Proof.* To prove hardness we will demonstrate a polynomial-time reduction that, given an instance  $\phi$  of 3-SAT, will produce a graph  $G_\phi$  such that  $2 \leq \text{dal}(G_\phi) \leq 3$  and such that  $\text{dal}(G_\phi) = 2$  if and only if  $\phi$  is satisfiable.

<sup>1</sup>This reduction could easily be implemented in logspace.

Let  $\phi(x_1, \dots, x_n) = \bigwedge_{i=1}^m C_i$  be a 3-CNF formula with  $n$  variables  $x_1, \dots, x_n$  and  $m$  clauses  $C_1, \dots, C_m$ . Let each clause  $C_j$  be given as  $C_j = \hat{x}_{i_j,1} \vee \hat{x}_{i_j,2} \vee \hat{x}_{i_j,3}$ , where each  $\hat{x}_{i_j,k}$  is one of  $x_{i_j,k}$  or  $\neg x_{i_j,k}$ .

We will construct a graph  $G_\phi$  by creating gadgets that represent each variable and clause, and then identifying vertices within those gadgets in order to create  $G_\phi$ . In a 2-edge-coloring of  $G_\phi$ , we consider the color-blind partition  $(2, 1)$  to be a “true” value while the partition  $(3, 0)$  corresponds to a “false” value.

Let  $V$  be the graph given by vertices  $p_0, p_1, \dots, p_{6m+7}, v_1, \dots, v_{6m+6}, r_1, \dots, r_{12m+12}$  where the vertices  $p_0 p_1 \dots p_{6m+7}$  form a path, and each  $v_i$  is adjacent to  $p_i, r_{2i-1}$  and  $r_{2i}$ . We will call  $V$  the *variable gadget* and create a copy  $V_i$  of  $V$  for each variable  $x_i$ , and list the copy of each vertex  $w$  as  $w^i$ . The vertices  $p_1, \dots, p_{6m+6}$  and  $v_1, \dots, v_{6m+6}$  all have degree three, so in a 2-edge-coloring of  $V$ , the color-blind partitions take value  $(2, 1)$  or  $(3, 0)$ . If the color-blind partitions form a proper vertex coloring, then these partitions alternate along the path  $p_1 \dots p_{6m+6}$  and along the list  $v_1 \dots v_{6m+6}$ . Hence, if  $G_\phi$  has a color-blind distinguishing 2-edge-coloring, then the color-blind partition of  $v_i^i$  in the copy  $V_i$  corresponds to the truth value of  $x_i$ . If a clause  $C_j$  contains the variable  $\hat{x}_i$ , the vertices  $v_{6j+3}^i$  and  $v_{6j+4}^i$  will be used in order to connect the value of  $x_i$  or  $\neg x_i$  to the clause. First, we must discuss the clause gadgets.

Let  $L$  be the graph given by a 3-cycle  $z_1 z_2 z_3$ , a 14-cycle  $u_1 u_2 \dots u_{14}$ , and vertices  $\ell_4, \ell_7, \ell_{10}$  with the addition of edges  $z_1 u_1, u_4 \ell_4, u_7 \ell_7, u_{10} \ell_{10}$ . See Figure 1(b) for the graph  $L$ . For each clause  $C_j$ , create a copy  $L_j$  of  $L$  and let  $t_1^j, t_2^j, t_3^j, s_1^j, s_2^j$  and  $s_3^j$  be the copies of the vertices  $u_4, u_7, u_{10}, \ell_4, \ell_7$  and  $\ell_{10}$  in  $L_j$ .

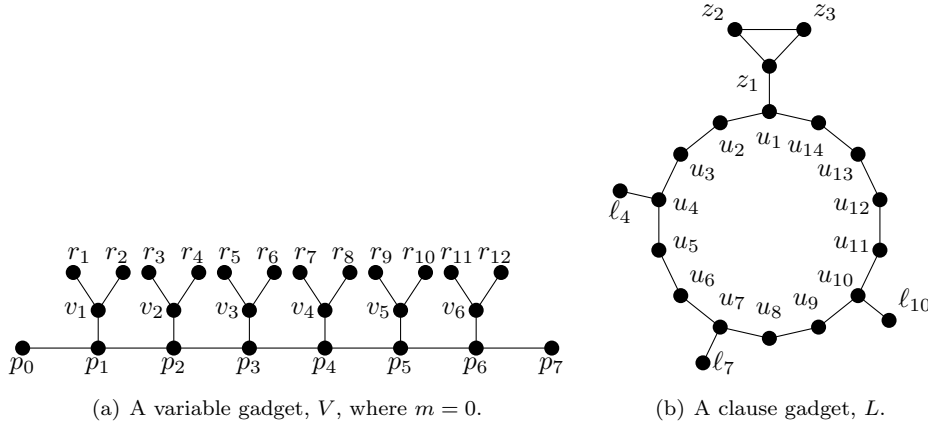


Figure 1: Gadgets for variables and clauses.

**Claim 2.1.** *Let  $c$  be a 2-edge-coloring of  $L$  and let  $c^*$  be the color-blind partitions on the vertices of  $L$ . If  $c^*$  is a proper vertex coloring, then at least one of the vertices  $u_4, u_7$ , and  $u_{10}$  has color-blind partition  $(2, 1)$ .*

*Proof.* Suppose for the sake of contradiction that  $c^*$  is a proper vertex coloring and the vertices  $u_4, u_7$ , and  $u_{10}$  all have color-blind partition  $(3, 0)$ . Thus, the two edges on the cycle incident to one of these vertices have the same color.

In the cycle  $z_1 z_2 z_3$ , the 2-vertices  $z_2$  and  $z_3$  must have different color-blind partitions. Thus, the edges  $z_1 z_2$  and  $z_3 z_1$  must receive distinct colors  $a$  and  $b$ . Thus  $c^*(z_1) = (2, 1)$  and hence  $c^*(u_1) = (3, 0)$ . Therefore, all 3-vertices on the 14-cycle have the color-blind partition  $(3, 0)$ .

Without loss of generality, let  $a$  be the color on the edges  $u_1 u_2$  and  $u_{14} u_1$ . Observe that since the 2-vertices  $u_2$  and  $u_3$  have distinct color-blind partitions, the edge  $u_3 u_4$  has color  $b$  and hence  $u_4 u_5$  has color  $b$ . Similarly, observe that the edges  $u_6 u_7$  and  $u_7 u_8$  have color  $a$ , and again that the edges  $u_9 u_{10}$  and  $u_{10} u_{11}$  have color  $b$ .

Now, the 2-vertices  $u_{11}, u_{12}, u_{13}$ , and  $u_{14}$  should have distinct color-blind partitions, but since the color of  $u_{10} u_{11}$  is  $b$  and the color of  $u_{14} u_1$  is  $a$ , this is impossible.  $\square$

It remains to show that if at least one of these vertices has color-blind partition  $(2, 1)$ , then we can give a color-blind distinguishing 2-edge-coloring to the gadget  $L$ .

**Claim 2.2.** *Let  $p_4, p_7, p_{10}$  be three partitions in  $\{(2, 1), (3, 0)\}$ . If at least one value  $p_i$  is  $(2, 1)$ , then there exists a 2-edge-coloring  $c$  of  $L$  such that  $c^*$  is a proper vertex coloring and  $c^*(u_4) = p_4$ ,  $c^*(u_7) = p_7$ , and  $c^*(u_{10}) = p_{10}$ .*

*Proof.* Select  $j \in \{4, 7, 10\}$  such that  $p_j = (2, 1)$ . We construct a 2-edge-coloring  $c$  of  $L$  by first coloring the edges  $v_1v_2, v_2v_3, u_1v_1$ , and  $u_1u_2$  with color  $a$  and the edge  $v_1v_3$  with color  $b$ . We will color the cycle  $u_1u_2 \dots u_{14}$  by coloring the edges of the paths  $u_1u_2u_3u_4, u_4u_5u_6u_7, u_7u_8u_9u_{10}$ , and  $u_{10}u_{11}u_{12}u_{13}u_{14}u_1$  in a way that ensures that the 2-vertices are properly colored. When we reach each 3-vertex  $u_k$ , we will color the edge  $u_ku_{k+1}$  using the same color as  $u_{k-1}u_k$  unless  $k = j$ , in which case we color  $u_ju_{j+1}$  the opposite color as  $u_{j-1}u_j$ . Color the edges  $u_k\ell_k$  such that the color-blind partition on  $u_k$  is equal to  $p_k$ . Since the edge pairs  $u_1u_2$  and  $u_3u_4, u_4u_5$  and  $u_6u_7, u_7u_8$  and  $u_9u_{10}$  must receive opposite colors, observe that the edge  $u_{10}u_{11}$  will have color  $a$  using this coloring. Also observe that the edge pair  $u_{10}u_{11}$  and  $u_{14}u_1$  receive the same color, so the vertex  $u_1$  has color-blind partition  $(3, 0)$  and hence we have the desired coloring  $c$ .  $\square$

We are now prepared to define  $G_\phi$ . First, create all copies  $V_i$  of the variable gadget  $V$  for all variables  $x_i$ . Then create all copies  $L_j$  of the clause gadget  $L$  for all clauses  $C_j$ . Finally, consider each variable  $\hat{x}_{i,j,k}$  in each clause  $C_j$ . If  $\hat{x}_{i,j,k} = x_{i,j,k}$ , then identify the vertex  $v_{6j+3}^{i,j,k}$  with  $t_k^j$ , and identify  $r_{12j+5}$  and  $r_{12j+6}$  with the 2-vertices adjacent to  $t_k^j$ , and  $p_{6j+3}^{i,j,k}$  with the leaf  $s_k^j$ . If  $\hat{x}_{i,j,k} = \neg x_{i,j,k}$ , then identify the vertex  $v_{6j+4}^{i,j,k}$  with  $t_k^j$ , and identify  $r_{12j+7}$  and  $r_{12j+8}$  with the 2-vertices adjacent to  $t_k^j$ , and  $p_{6j+4}^{i,j,k}$  with the leaf  $s_k^j$ .

Let  $c$  be a 2-edge-coloring of  $G_\phi$  and define the variable assignment  $x_i = \begin{cases} \text{true} & c^*(v_1^i) = (2, 1) \\ \text{false} & c^*(v_1^i) = (3, 0) \end{cases}$ . Observe

that if  $c^*$  is a proper vertex coloring, then  $c^*(v_{6j+3}^i) = c^*(v_1^i)$  and  $c^*(v_{6j+4}^i) \neq c^*(v_1^i)$  for each variable gadget  $V_i$  and each clause gadget  $L_j$ . Then, since  $c^*$  is a proper vertex coloring, Claim 2.1 implies that one of the vertices  $u_4, u_7, u_{10}$  in each clause gadget  $L_j$  has color-blind partition  $(2, 1)$  and therefore the clause is satisfied by the variable assignment. Therefore, if  $\text{dal}(G_\phi) = 2$ , then  $\phi$  is satisfiable.

In order to demonstrate that every satisfiable assignment corresponds to a color-blind 2-edge-coloring of  $G_\phi$ , we use the following claim.

**Claim 2.3.** *Let  $V_i$  be a variable gadget and fix  $j \in \{1, \dots, m\}$  and  $t \in \{3, 4\}$ . Let  $D$  be the subgraph induced by the vertices  $p_{6j+1}, p_{6j+2}, \dots, p_{6j+7}, v_{6j+3}, v_{6j+4}$ , and their neighbors. Let  $c$  be an assignment of the colors  $\{1, 2\}$  to the edges incident to  $p_{6j+1}$  and  $v_{6j+t}$  such that  $c^*(p_{6j+1}) \neq c^*(v_{6j+3})$  when  $t = 3$  and  $c^*(p_{6j+1}) = c^*(v_{6j+4})$  when  $t = 4$ . There exists a 2-edge-coloring  $c'$  of the remaining edges such that  $(c \cup c')^*$  is a proper vertex coloring of  $D$ .*

Claim 2.3 follows by exhaustive enumeration of the possible colorings of the graph  $D$ , so the proof is omitted<sup>2</sup>.

Let  $x_1, \dots, x_n$  be a variable assignment such that  $\phi(x_1, \dots, x_n)$  is true. For each clause  $C_j$ , there is at least one variable  $\hat{x}_{i,j,k}$  that is true, so by Claim 2.2 there exists a 2-edge-coloring  $c_j$  of  $K_j$  where  $c_j^*$  is a proper vertex coloring and the color-blind partitions of  $u_4, u_7$  and  $u_{10}$  correspond to the truth values of  $\hat{x}_{i,j,1}, \hat{x}_{i,j,2}$ , and  $\hat{x}_{i,j,3}$ , respectively. Fix a 2-edge-coloring of each vertex  $v_1^i$  such that the color-blind partition at  $v_1^i$  corresponds to the truth value of  $x_i$ . Finally, by Claim 2.3 these 2-edge-colorings of the vertices  $v_1^1, \dots, v_1^n$  and clause gadgets  $L_1, \dots, L_m$  extend to a 2-edge-coloring  $c$  of  $G_\phi$  where  $c^*$  is a proper vertex coloring.

Thus, determining if  $\text{dal}(G_\phi) \leq 2$  is NP-hard.

We complete our investigation by demonstrating that  $\text{dal}(G_\phi) \leq 3$  always. To generate a 3-edge-coloring of  $G_\phi$ , fix a variable assignment  $x_1, \dots, x_n$ . If a clause  $C_j$  is satisfied by this variable assignment, then use

<sup>2</sup>The algorithm for enumerating all colorings is available as a Sage worksheet at <http://orion.math.iastate.edu/dstolee/r/cbindex.htm>

Claim 2.2 to find a 2-edge-coloring on the clause gadget  $L_j$ . If a clause  $C_j$  is not satisfied by this variable assignment, then assign color 1 to the edge set

$$\{z_1z_2, z_2z_3, u_1z_1, u_2u_3, u_3u_4, u_4\ell_4, u_4u_5, u_5u_6, u_9u_{10}, u_{10}\ell_{10}, u_{10}u_{11}, u_{11}u_{12}\},$$

assign color 2 to the edge set

$$\{z_1z_3, u_1u_2, u_6u_7, u_7\ell_7, u_7u_8, u_8u_9, u_{12}u_{13}, u_{13}u_{14}\},$$

and finally assign color 3 to the edge  $u_1u_4$ . Observe that this coloring is color-blind distinguishing on  $L_j$  with  $c^*(u_4) = c^*(u_7) = c^*(u_{10}) = (3, 0)$ . Using Claim 2.3, this coloring extends to the variable gadgets and hence there is a color-blind distinguishing 3-edge-coloring of  $G_\phi$ .  $\square$

In the next sections, we explore determining the color-blind index of graphs using properties that avoid the constructions in the above reduction from 3-SAT.

### 3 Regular Bipartite Graphs and 2-Colorable Hypergraphs

In Section 2, we demonstrated that it is NP-complete to determine if  $\text{dal}(G) = 2$ , even when promised that  $\text{dal}(G) \in \{2, 3\}$ . One particular instance of this situation is in the case of regular bipartite graphs, as Kalinowski, Piłśniak, Przybyło, Woźniak [9] determined an upper bound on the color-blind index.

**Theorem 4** (Kalinowski, Piłśniak, Przybyło, Woźniak [9]). *If  $G$  is a  $k$ -regular bipartite graph with  $k \geq 2$ , then  $\text{dal}(G) \leq 3$ .*

We demonstrate that when  $G$  is a  $k$ -regular bipartite graph,  $\text{dal}(G) = 2$  if and only if at least one of two corresponding  $k$ -regular,  $k$ -uniform hypergraphs is 2-colorable. Erdős and Lovász [6] implicitly proved that  $k$ -regular  $k$ -uniform hypergraphs are 2-colorable for all  $k \geq 9$  in the first use of the Lovász Local Lemma. Several results [2, 5, 7, 14] proved different cases for  $k < 9$  and also demonstrated that some 3-regular 3-uniform hypergraphs are not 2-colorable, such as the Fano plane. Thomassen [13] implicitly proved the general case, and Henning and Yeo [8] proved it explicitly.

**Theorem 5** (Thomassen [13], Henning and Yeo [8]). *Let  $k \geq 4$ . If  $\mathcal{H}$  is a  $k$ -regular  $k$ -uniform hypergraph, then  $\mathcal{H}$  is 2-colorable.*

McCuaig [10] has a characterization of 3-regular, 3-uniform, 2-colorable hypergraphs when the 2-vertex-coloring is forced to be *balanced*. A general characterization is not known for 3-regular, 3-uniform, 2-colorable hypergraphs.

If  $\mathcal{H}$  is a  $k$ -uniform hypergraph with vertex set  $V(\mathcal{H})$  and edge set  $E(\mathcal{H})$ , the *vertex-edge incidence graph* of  $\mathcal{H}$  is the bipartite graph  $G$  with vertex set  $V(\mathcal{H}) \cup E(\mathcal{H})$  where a vertex  $v \in V(\mathcal{H})$  and edge  $e \in E(\mathcal{H})$  are incident in  $G$  if and only if  $v \in e$ . Note that since  $\mathcal{H}$  is  $k$ -uniform, all of the vertices in the  $E(\mathcal{H})$  part of  $G$  have degree  $k$ ;  $G$  is  $k$ -regular if and only if  $\mathcal{H}$  is also  $k$ -regular and  $k$ -uniform.

**Proposition 6.** *Let  $\mathcal{H}$  be a  $k$ -uniform hypergraph and  $G$  its vertex-edge incidence graph. If  $\mathcal{H}$  is 2-colorable, then  $\text{dal}(G) \leq 2$ .*

*Proof.* Let  $V = V(\mathcal{H})$  and  $E = E(\mathcal{H})$ , and let  $G$  be the bipartite vertex-edge incidence graph with vertex set  $V \cup E$ . Let  $c : V \rightarrow \{1, 2\}$  be a proper 2-vertex-coloring of  $\mathcal{H}$ . For each  $v \in V$ , and edge  $e \in E$  where  $v \in e$ , let the edge  $ve$  of  $G$  be colored  $c(ve) = c(v)$ . Let  $c^*$  be the color-blind partition on the vertices of  $G$  induced by the coloring on the edges of  $G$ . The color-blind partition at every vertex  $v \in V$  is  $c^*(v) = (d_{\mathcal{H}}(v), 0)$ . Since  $c$  is a proper 2-vertex-coloring of  $\mathcal{H}$ , the color-blind partition at every edge  $e \in E$  is  $c^*(e) = (k - i, i)$ , where  $1 \leq i \leq \lfloor k/2 \rfloor$ . Therefore,  $c^*$  is a proper vertex coloring of  $G$  and  $\text{dal}(G) \leq 2$ .  $\square$

If  $G = (X \cup Y, E)$  is a  $k$ -regular bipartite graph, then there are two (possibly isomorphic)  $k$ -uniform hypergraphs  $\mathcal{H}_X, \mathcal{H}_Y$ , defined by  $V(\mathcal{H}_X) = X$  and  $E(\mathcal{H}_X) = \{N_G(y) : y \in Y\}$ ,  $V(\mathcal{H}_Y) = Y$  and  $E(\mathcal{H}_Y) = \{N_G(x) : x \in X\}$ . When  $k \geq 4$  and  $G$  is a  $k$ -regular bipartite graph, then both  $\mathcal{H}_X$  and  $\mathcal{H}_Y$  are 2-colorable by the theorem of Henning and Yeo [8].

**Proposition 7.** *If  $G = (X \cup Y, E)$  is a connected 3-regular bipartite graph with  $\text{dal}(G) \leq 2$ , then at least one of the 3-regular, 3-uniform hypergraphs  $\mathcal{H}_X$  or  $\mathcal{H}_Y$  is 2-colorable.*

*Proof.* Let  $c : E(G) \rightarrow \{1, 2\}$  be a 2-edge-coloring of  $G$  such that  $c^* : V(G) \rightarrow \{(3, 0), (2, 1)\}$  is a proper vertex coloring of  $G$ . Then, exactly one of  $X$  or  $Y$  has all vertices colored with  $(3, 0)$  and the other is colored with  $(2, 1)$ , since  $G$  is connected. Thus, at least one of  $\mathcal{H}_X$  or  $\mathcal{H}_Y$  has a 2-vertex-coloring where  $c(v)$  is the unique color on the edges of  $G$  incident to  $v$ , and this coloring is proper since each edge is incident to two vertices with one color and one vertex with the other.  $\square$

Since regular bipartite graphs are well understood, but the existence of a color-blind coloring in a general cubic graph is not well understood, our next section investigates cubic graphs that are as far from being bipartite as possible.

## 4 Cubic Graphs with Many 3-cycles

In this section, we prove Theorem 3 concerning 3-regular graphs where every vertex is in at least one 3-cycle. We first demonstrate the case where  $G$  has no color-blind coloring.

**Lemma 8.** *If  $G$  is an odd cycle of diamonds, then  $\text{dal}(G) = \infty$ .*

*Proof.* Suppose for the sake of contradiction that  $c$  is a color-blind distinguishing  $k$ -edge-coloring of  $G$  for some  $k$  and note that  $c^*$  takes the colors  $(3, 0, 0)$ ,  $(2, 1, 0)$ , and  $(1, 1, 1)$ . For every diamond  $xyzw$  where  $xyz$  and  $yzw$  are 3-cycles, observe that  $c^*(x) = c^*(w)$ . Thus, for every diamond, we can associate the diamond with the  $c^*$ -color of the endpoints. Since  $c^*$  is proper, adjacent diamonds must receive distinct colors. Since an odd cycle is not 2-colorable, there must be a diamond  $xyzw$  with endpoints colored  $(3, 0, 0)$ . Then the edges  $xy$  and  $xz$  and the edges  $wy$  and  $wz$  receive the same colors under  $c$ . So regardless of  $c(yz)$ , we must have  $c^*(y) = c^*(z)$ . Hence  $c^*$  is not proper.  $\square$

We prove Theorem 3 by using a strengthened induction, presented in Theorem 9. A  $\{1, 3\}$ -regular graph is a graph where every vertex has degree 1 or 3.

**Theorem 9.** *Let  $G$  be a connected  $\{1, 3\}$ -regular graph where every 1-vertex is adjacent to a 3-vertex and every 3-vertex is in at least one 3-cycle. There exists a color-blind distinguishing 3-edge-coloring of  $G$  if and only if  $G$  is not an odd cycle of diamonds. When a color-blind distinguishing 3-edge-coloring exists, if  $v$  is a 3-vertex adjacent to a 1-vertex, then there are two color-blind distinguishing 3-edge-colorings  $c_1, c_2$  where  $c_1^*(v) \neq c_2^*(v)$ .*

*Proof.* Among examples of graphs that satisfy the hypothesis but do not have color-blind distinguishing 3-edge-colorings, select  $G$  to minimize  $n(G) + e(G)$ . We shall prove that  $G$  is either a subgraph of a small list of graphs that contain color-blind distinguishing 3-edge-colorings, or contains one of a small list of *reducible configurations*.

Figure 2 lists four graphs and demonstrates color-blind distinguishing 3-edge-colorings that satisfy the theorem statement. Therefore,  $G$  is not among this list.

**Claim 9.1.**  *$G$  does not contain a cut-edge  $uv$  where  $d(u) = d(v) = 3$ .*

*Proof.* Suppose  $uv$  is a cut-edge with  $d(u) = d(v) = 3$ . Let  $G_1$  and  $G_2$  be the components of  $G - uv$  where  $u \in V(G_1)$  and  $v \in V(G_2)$ , and let  $G'_i = G_i + uv$  for each  $i \in \{1, 2\}$ . Observe that  $n(G'_i) + e(G'_i) < n(G) + e(G)$ . Also, neither is an odd cycle of diamonds, as they have vertices of degree 1. Therefore, there are color-blind distinguishing 3-edge-colorings  $c_1 : E(G'_1) \rightarrow \{1, 2, 3\}$  and  $c_2 : E(G'_2) \rightarrow \{a, b, c\}$  such that  $c_2^*(v) \neq c_1^*(u)$ . The color set  $\{a, b, c\}$  can be permuted to  $\{1, 2, 3\}$  such that  $c_2(uv)$  is mapped to  $c_1(uv)$ . Under this permutation,  $c_1$  and  $c_2$  combine to form a color-blind distinguishing 3-edge-coloring of  $G$ .  $\square$

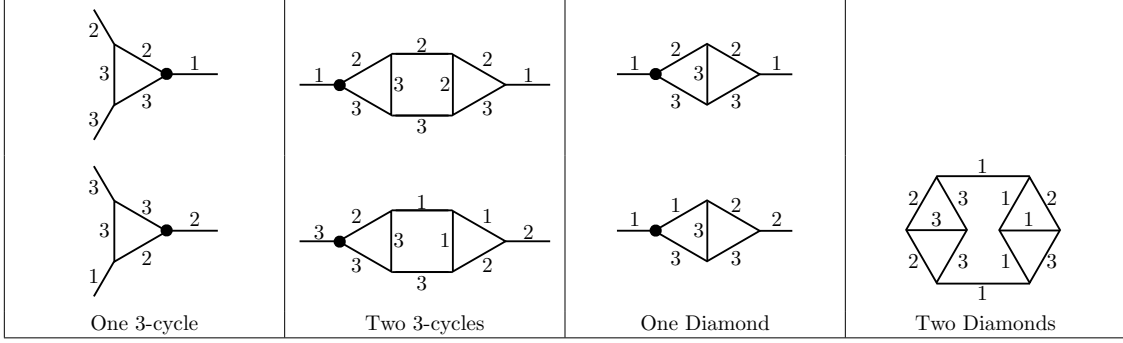


Figure 2: Base case graphs and their color-blind distinguishing 3-edge-coloring(s) where the 3-vertex  $v$  is highlighted.

Further note that every color-blind distinguishing 3-edge-coloring of  $G'_1$  extends to a color-blind distinguishing 3-edge-coloring of  $G$ , and by symmetry every color-blind distinguishing 3-edge-coloring of  $G'_2$  extends to a color-blind distinguishing 3-edge-coloring of  $G$ . Thus, for any vertex  $x$  of degree 3 adjacent to a vertex of degree 1,  $x$  is also a vertex of degree 3 adjacent to a vertex of degree 1 in some  $G'_i$  and hence has distinct color-blind partitions for two colorings in that  $G'_i$ . These colorings both extend to  $G$ , so the two distinct color-blind partitions on  $x$  also appear in color-blind distinguishing 3-edge-colorings of  $G$ .

**Definition** (Reducible Configurations). Let  $H$  be a  $\{1, 3\}$ -regular graph and  $D \subset V(H)$  such that for every  $v \in D$ , there is at most one vertex  $u = u(v) \in N_H(v) \setminus D$ . Let  $H_D$  be the subgraph of  $H$  induced by  $D$ , let  $S$  be the set of neighbors of  $D$  that are not in  $D$ . Let  $M$  be a matching that saturates  $S$ , using edges in the edge cut  $[D, S]$  or using pairs from  $S$ .

Let  $c : M \rightarrow \{1, 2, 3\}$  and  $c^* : S \rightarrow \{(3, 0, 0), (2, 1, 0), (1, 1, 1)\}$  be assignments such that  $c^*(u) \neq c^*(v)$  for all edges  $uv \in M$ . For an edge  $xy \in [D, S]$  where  $x \in D$  and  $y \in S$ , define  $c(xy)$  to be  $c(yz)$  where  $yz$  is the edge of  $M$  covering  $y$ . Such a pair  $(c, c^*)$  is a *potential pair*.

The triple  $(H, D, M)$  is a *reducible configuration* if  $E(H_D) \neq \emptyset$ , and for every potential pair  $(c, c^*)$ , there exists an extension of  $c$  to include  $E(H_D)$  where the color-blind partitions for vertices in  $D$  create an extension of  $c^*$  to  $D$  that is a proper vertex coloring of  $D \cup S$ . We say that a graph  $G$  *contains* a reducible configuration  $(H, D, M)$  if it contains  $H$  as a subgraph, and the corresponding vertices of  $S$  in that subgraph have degree 3 in  $G$ .

Figure 3 contains a list of four reducible configurations. Some of these are checkable by hand, while others were verified to be reducible using a computer<sup>3</sup>. If  $(H, D, M)$  is a reducible configuration and  $H \subseteq G$ , we use  $G - D + M$  to denote the *reduced graph* given by deleting the edges with at least one endpoint in  $D$ , adding the edges in  $M$ , and removing any isolated vertices. Observe that for every reducible configuration in Figure 3, every vertex  $x$  in  $G$  (not in  $D$ ) that has degree 3 and is adjacent to a vertex of degree 1 remains a vertex of this type in the reduced graph  $G - D + M$ . Therefore, the two colorings that provide distinct color-blind partitions for  $x$  in  $G - D + M$  each extend to a color-blind distinguishing 3-edge-coloring of  $G$ .

**Claim 9.2.** *Let  $H$  be the graph in the 1-diamond reduction, with  $D = \{a, b, c, \}$  and  $M = \{pq, wr\}$ .  $G$  does not contain the reducible configuration  $(H, D, M)$ .*

*Proof.* Suppose  $G$  contains  $H$  as a subgraph. Let  $G' = G - D + M$ , and observe that  $n(G') + e(G') < n(G) + e(G)$ . Also, by Claim 9.1 the edge  $cx$  is not a cut-edge of  $G$ ,  $G'$  is connected and is not an odd cycle of diamonds. Therefore, there exists a color-blind distinguishing 3-edge-coloring  $c : E(G') \rightarrow \{1, 2, 3\}$  where  $c^*$  is a proper vertex coloring on  $G'$  and hence a proper vertex coloring on  $M$ . By the definition of reducible configuration, this coloring  $c$  extends to a color-blind distinguishing 3-edge-coloring of  $G$ , a contradiction.  $\square$

<sup>3</sup>The algorithm for checking reducibility is available as a Sage worksheet at <http://orion.math.iastate.edu/dstolee/r/cbindex.htm>

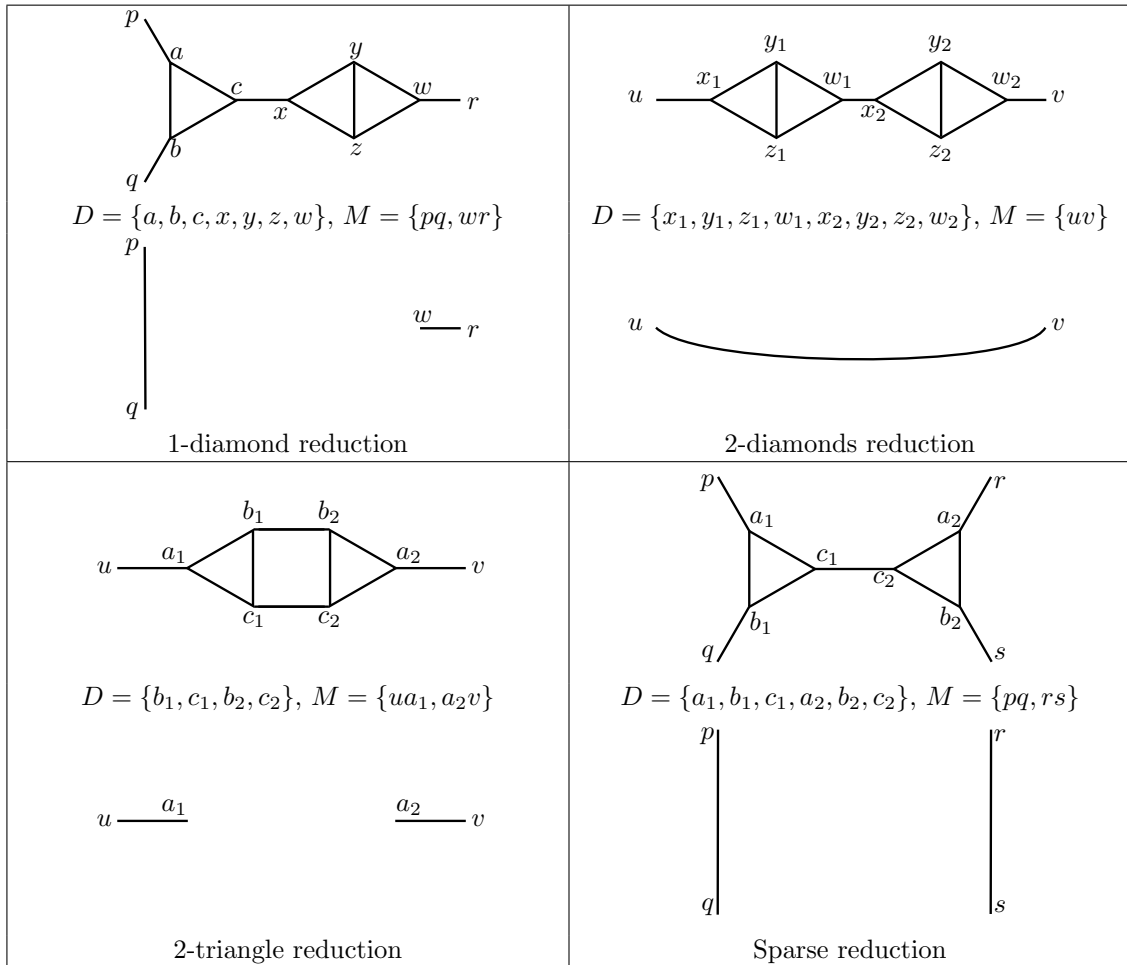


Figure 3: The Reducible Configurations and their Reductions.



**Claim 9.3.** *Let  $H$  be the graph in the 2-diamond reduction, with  $D = \{x_1, y_1, z_1, w_1, x_2, y_2, z_2, w_2\}$  and  $M = \{uv\}$ .  $G$  does not contain the reducible configuration  $(H, D, M)$ .*

*Proof.* Suppose  $G$  contains  $H$  as a subgraph. Let  $G' = G - D + M$ , and observe that  $n(G') + e(G') < n(G) + e(G)$ . Also, since  $G$  is not an odd cycle of diamonds,  $G'$  is not an odd cycle of diamonds. Therefore, there exists a color-blind distinguishing 3-edge-coloring  $c : E(G') \rightarrow \{1, 2, 3\}$  where  $c^*$  is a proper vertex coloring on  $G'$  and hence a proper vertex coloring on  $M$ . By the definition of reducible configuration, this coloring  $c$  extends to a color-blind distinguishing 3-edge-coloring of  $G$ , a contradiction.  $\square$

**Claim 9.4.** *Let  $H$  be the graph in the 2-triangle reduction, with  $D = \{b_1, c_1, b_2, c_2\}$  and  $M = \{ua_1, a_2v\}$ .  $G$  does not contain the reducible configuration  $(H, D, M)$ .*

*Proof.* Suppose  $G$  contains  $H$  as a proper subgraph. Since  $G$  is connected, at least one of  $u$  and  $v$  is a 3-vertex; without loss of generality  $u$  is a 3-vertex. The edge  $ua_1$  is not a cut-edge, by 9.1, so  $v$  is also a 3-vertex. Let  $G' = G - D + M$ , and observe that  $n(G') + e(G') < n(G) + e(G)$ . Observe that  $G'$  is connected,  $\{1, 3\}$ -regular and not an odd cycle of diamonds, and that every 1-vertex is adjacent to a 3-vertex. Therefore, there exists a color-blind distinguishing 3-edge-coloring  $c : E(G') \rightarrow \{1, 2, 3\}$  where  $c^*$  is a proper vertex coloring on  $G'$  and hence a proper vertex coloring on  $M$ . By the definition of reducible configuration, this coloring  $c$  extends to a color-blind distinguishing 3-edge-coloring of  $G$ , a contradiction.  $\square$

**Claim 9.5.** *Let  $H$  be the graph in the sparse reduction, with  $D = \{a_1, b_1, c_1, a_2, b_2, c_2\}$  and  $M = \{pq, rs\}$ .  $G$  does not contain the reducible configuration  $(H, D, M)$ .*

*Proof.* Suppose  $G$  contains  $H$  as a subgraph. Let  $G' = G - D + M$ , and observe that  $n(G') + e(G') < n(G) + e(G)$ . Since  $G$  does not contain the 2-triangle reduction,  $pq$  and  $rs$  are not edges of  $G$  and hence  $G'$  is a  $\{1, 3\}$ -regular graph. Also, since the edge  $c_1c_2$  is not a cut-edge and since  $G$  does not contain the 1-diamond reduction,  $G'$  is connected and is not an odd cycle of diamonds. Therefore, there exists a color-blind distinguishing 3-edge-coloring  $c : E(G') \rightarrow \{1, 2, 3\}$  where  $c^*$  is a proper vertex coloring on  $G'$  and hence a proper vertex coloring on  $M$ . By the definition of reducible configuration, this coloring  $c$  extends to a color-blind distinguishing 3-edge-coloring of  $G$ , a contradiction.  $\square$

We complete our proof by demonstrating that  $G$  contains one of the reducible configurations.

Suppose that  $G$  contains a diamond  $xyzw$  where  $xyzw$  is a 4-cycle and  $yz$  is an edge. Since  $G$  is not a single diamond, without loss of generality we have that the vertex adjacent to  $x$ , say  $u$ , is in a 3-cycle or a diamond. Therefore,  $G$  is isomorphic to or contains either the 1-diamond reduction or the 2-diamonds reduction. We may now assume that  $G$  does not contain any diamond.

Let  $abc$  be a 3-cycle in  $G$ . Since  $G$  has more than one 3-cycle, at least one vertex is adjacent to a vertex in another 3-cycle. If two vertices in  $\{a, b, c\}$  are adjacent to the same 3-cycle, then  $G$  is isomorphic to or contains the 2-triangle reduction. Therefore, we may assume that every pair of adjacent 3-cycles have exactly one edge between them. However, a pair of adjacent 3-cycles and their neighboring vertices form a sparse reduction as a subgraph of  $G$ .

Therefore, the minimal counterexample  $G$  does not exist and the theorem holds.  $\square$

**Remark.** The use of reducible configurations demonstrates a polynomial-time algorithm for finding a color-blind distinguishing 3-edge-coloring of a cubic graph where every vertex is in exactly one 3-cycle. The algorithm works recursively, with base cases among the list of two diamonds or two 3-cycles where the two color-blind distinguishing 3-edge-colorings  $c_1$  and  $c_2$  can be produced in constant time. The algorithm first searches for a cut-edge  $uv$  with  $d(u) = d(v) = 3$  and if one exists creates the graphs  $G'_1$  and  $G'_2$  as in Claim 9.1; recursion on these graphs produces colorings that can be combined to form a coloring of  $G$ . If no such cut-edge is found, then the algorithm searches for one of the reducible configurations, one of which will exist. By performing the reduction from  $G$  to  $G - D + M$  as specified by the reducible configuration, the algorithm can recursively find a coloring on  $G - D + M$  and in constant time produce an extension to  $G$ .

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