

Automated Discharging Arguments for Density Problems in Grids

Derrick Stolee

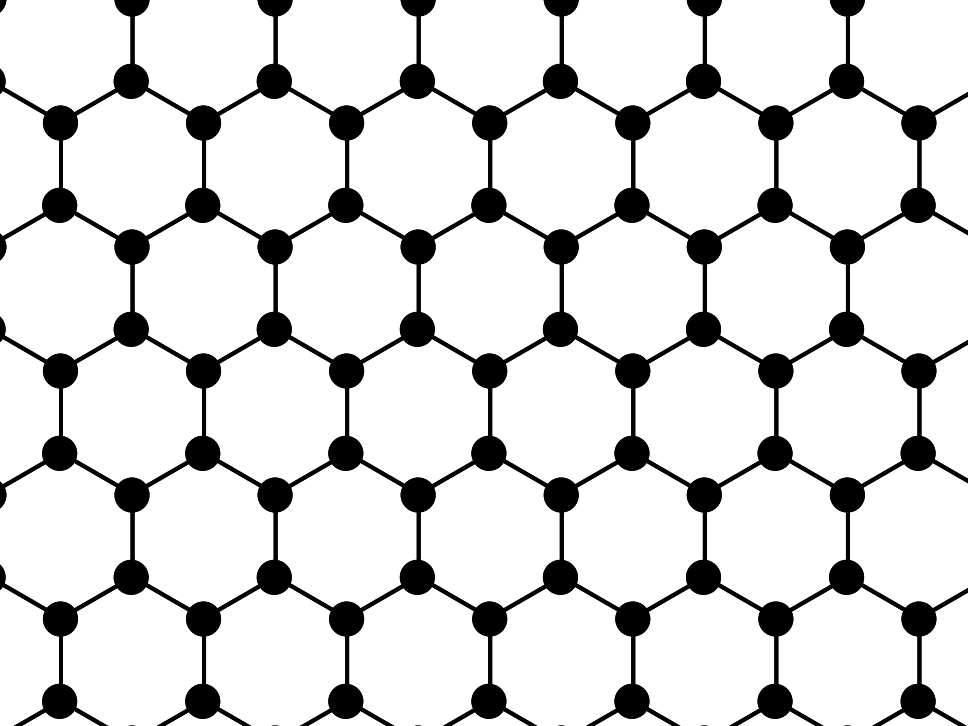
Iowa State University

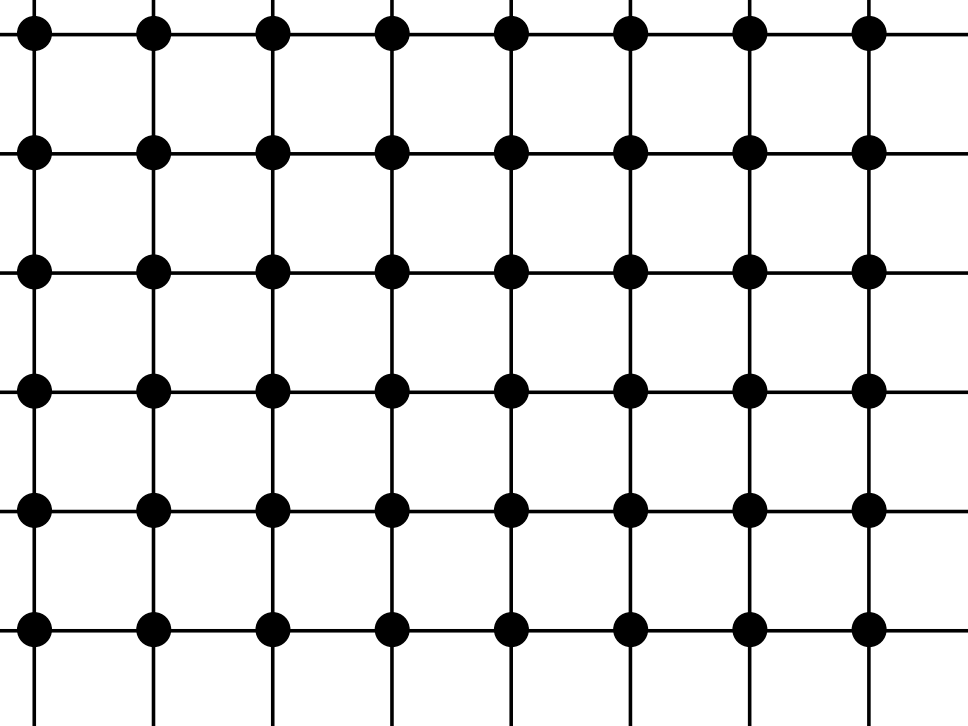
`dstolee@iastate.edu`

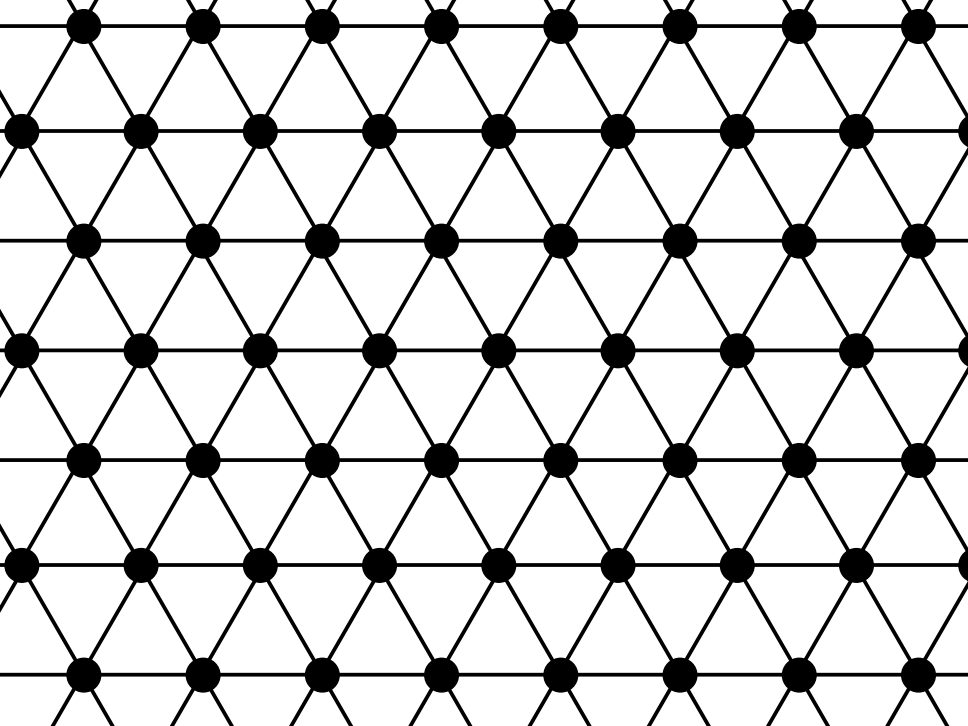
`http://www.math.iastate.edu/dstolee/r/adage.htm`

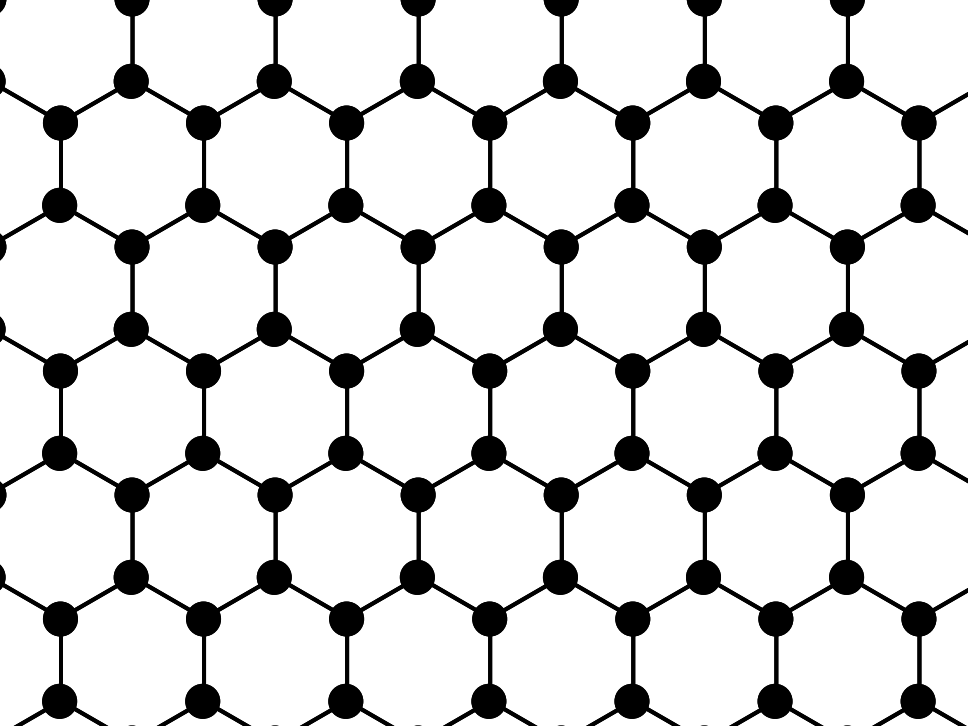
June 19, 2014

Ron Graham Conference









Density

Therefore, we can select an arbitrary vertex $v_0 \in V(G)$ and define the **density** of a set $X \subseteq V(G)$ as

$$\delta(X) = \limsup_{r \rightarrow \infty} \frac{|B_r(v_0) \cap X|}{|B_r(v_0)|}.$$

Density

Therefore, we can select an arbitrary vertex $v_0 \in V(G)$ and define the **density** of a set $X \subseteq V(G)$ as

$$\delta(X) = \limsup_{r \rightarrow \infty} \frac{|B_r(v_0) \cap X|}{|B_r(v_0)|}.$$

This definition is used for problems where we **minimize** the density.

We would use \liminf for maximizing the density.

Dominating Sets

A set $X \subseteq V(G)$ is a **dominating set** if

- $N[v] \cap X \neq \emptyset$ for all vertices $v \in V(G)$.

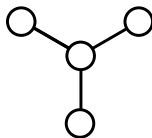
($N[v]$ is the **closed neighborhood** of v : $N[v] = N(v) \cup \{v\}$.)

Dominating Sets

A set $X \subseteq V(G)$ is a **dominating set** if

- $N[v] \cap X \neq \emptyset$ for all vertices $v \in V(G)$.

($N[v]$ is the **closed neighborhood** of v : $N[v] = N(v) \cup \{v\}$.)



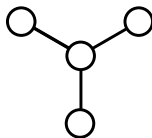
Forbidden Configuration

Dominating Sets

A set $X \subseteq V(G)$ is a **dominating set** if

- $N[v] \cap X \neq \emptyset$ for all vertices $v \in V(G)$.

($N[v]$ is the **closed neighborhood** of v : $N[v] = N(v) \cup \{v\}$.)



Forbidden Configuration

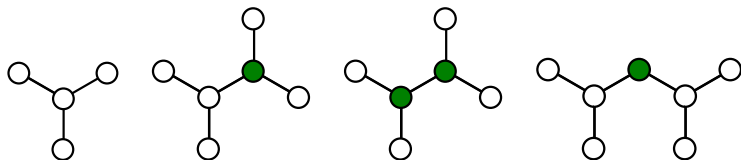
It is not difficult to see that the optimal density of a dominating set in the hexagonal grid is $\frac{1}{4} = 0.250000$.

Identifying Codes

A set $X \subseteq V(G)$ is an **identifying code** if

- $N[v] \cap X \neq \emptyset$ for all vertices $v \in V(G)$, and
- $N[v] \cap X \neq N[u] \cap X$ for all distinct vertices $v, u \in V(G)$.

($N[v]$ is the **closed neighborhood** of v : $N[v] = N(v) \cup \{v\}$.)



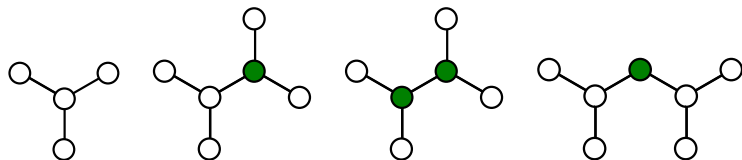
Forbidden Configurations

Identifying Codes

A set $X \subseteq V(G)$ is an **identifying code** if

- $N[v] \cap X \neq \emptyset$ for all vertices $v \in V(G)$, and
- $N[v] \cap X \neq N[u] \cap X$ for all distinct vertices $v, u \in V(G)$.

($N[v]$ is the **closed neighborhood** of v : $N[v] = N(v) \cup \{v\}$.)



Forbidden Configurations

Defined by Karpovsky, Chakrabarty, Levitin in 1998.

Density of Identifying Codes in Grids

Let G be the hexagonal grid, and

$$\delta = \inf\{\delta(X) : X \subset V(G) \text{ is an identifying code}\}.$$

Density of Identifying Codes in Grids

Let G be the hexagonal grid, and

$$\delta = \inf\{\delta(X) : X \subset V(G) \text{ is an identifying code}\}.$$

2000 : Cohen, Honkala, Lobstein, and Zémor: $\delta \leq \frac{3}{7} \approx 0.428571$

Density of Identifying Codes in Grids

Let G be the hexagonal grid, and

$$\delta = \inf\{\delta(X) : X \subset V(G) \text{ is an identifying code}\}.$$

2000 : Cohen, Honkala, Lobstein, and Zémor: $\delta \leq \frac{3}{7} \approx 0.428571$

1998 : Karpovsky, Chakrabarty, and Levitin $\delta \geq \frac{2}{5} = 0.400000$

Density of Identifying Codes in Grids

Let G be the hexagonal grid, and

$$\delta = \inf\{\delta(X) : X \subset V(G) \text{ is an identifying code}\}.$$

2000 : Cohen, Honkala, Lobstein, and Zémor: $\delta \leq \frac{3}{7} \approx 0.428571$

2000 : Cohen, Honkala, Lobstein, and Zémor $\delta \geq \frac{16}{39} \approx 0.410256$

1998 : Karpovsky, Chakrabarty, and Levitin $\delta \geq \frac{2}{5} = 0.400000$

Density of Identifying Codes in Grids

Let G be the hexagonal grid, and

$$\delta = \inf\{\delta(X) : X \subset V(G) \text{ is an identifying code}\}.$$

2000 : Cohen, Honkala, Lobstein, and Zémor: $\delta \leq \frac{3}{7} \approx 0.428571$

2009 : Cranston and Yu $\delta \geq \frac{12}{29} \approx 0.413793$

2000 : Cohen, Honkala, Lobstein, and Zémor $\delta \geq \frac{16}{39} \approx 0.410256$

1998 : Karpovsky, Chakrabarty, and Levitin $\delta \geq \frac{2}{5} = 0.400000$

Density of Identifying Codes in Grids

Let G be the hexagonal grid, and

$$\delta = \inf\{\delta(X) : X \subset V(G) \text{ is an identifying code}\}.$$

2000 : Cohen, Honkala, Lobstein, and Zémor: $\delta \leq \frac{3}{7} \approx 0.428571$

2013 : Cuickerman and Yu $\delta \geq \frac{5}{12} \approx 0.416666$

2009 : Cranston and Yu $\delta \geq \frac{12}{29} \approx 0.413793$

2000 : Cohen, Honkala, Lobstein, and Zémor $\delta \geq \frac{16}{39} \approx 0.410256$

1998 : Karpovsky, Chakrabarty, and Levitin $\delta \geq \frac{2}{5} = 0.400000$

Density of Identifying Codes in Grids

Let G be the hexagonal grid, and

$$\delta = \inf\{\delta(X) : X \subset V(G) \text{ is an identifying code}\}.$$

2000 : Cohen, Honkala, Lobstein, and Zémor: $\delta \leq \frac{3}{7} \approx 0.428571$

2015⁺ : Stolee $\delta \geq \frac{23}{55} \approx 0.418181$

2013 : Cuickerman and Yu $\delta \geq \frac{5}{12} \approx 0.416666$

2009 : Cranston and Yu $\delta \geq \frac{12}{29} \approx 0.413793$

2000 : Cohen, Honkala, Lobstein, and Zémor $\delta \geq \frac{16}{39} \approx 0.410256$

1998 : Karpovsky, Chakrabarty, and Levitin $\delta \geq \frac{2}{5} = 0.400000$

Density of Identifying Codes in Grids

Let G be the hexagonal grid, and

$$\delta = \inf\{\delta(X) : X \subset V(G) \text{ is an identifying code}\}.$$

2000 : Cohen, Honkala, Lobstein, and Zémor: $\delta \leq \frac{3}{7} \approx 0.428571$

2015⁺ : Stolee $\delta \geq \frac{23}{55} - \frac{1}{459459} \approx 0.418181$

2013 : Cuickerman and Yu $\delta \geq \frac{5}{12} \approx 0.416666$

2009 : Cranston and Yu $\delta \geq \frac{12}{29} \approx 0.413793$

2000 : Cohen, Honkala, Lobstein, and Zémor $\delta \geq \frac{16}{39} \approx 0.410256$

1998 : Karpovsky, Chakrabarty, and Levitin $\delta \geq \frac{2}{5} = 0.400000$

Discharging Arguments

Discharging Arguments

Discharging demonstrates a connection between **local structure** and **global averages**.

Discharging Arguments

Discharging arguments have a few components:

Discharging Arguments

Discharging arguments have a few components:

Chargeable objects are assigned a numeric, “charge” value.

Discharging Arguments

Discharging arguments have a few components:

Chargeable objects are assigned a numeric, “charge” value.

The total charge is somehow connected to our global average, but is **roughly distributed**.

Discharging Arguments

Discharging arguments have a few components:

Chargeable objects are assigned a numeric, “charge” value.

The total charge is somehow connected to our global average, but is **roughly distributed**.

By **discharging**, we aim to distribute the charge evenly.

Discharging Arguments

Discharging arguments have a few components:

Chargeable objects are assigned a numeric, “charge” value.

The total charge is somehow connected to our global average, but is **roughly distributed**.

By **discharging**, we aim to distribute the charge evenly.

If the final charge amount is bounded below by the same value, then we have a bound on the **global average**.

Discharging Arguments

Let X be an identifying code in the hexagonal grid.

Discharging Arguments

Let X be an identifying code in the hexagonal grid.

$$\text{Define } \mu(v) = \begin{cases} 1 & v \in X \\ 0 & v \notin X \end{cases}.$$

Discharging Arguments

Let X be an identifying code in the hexagonal grid.

$$\text{Define } \mu(v) = \begin{cases} 1 & v \in X \\ 0 & v \notin X \end{cases}.$$

$$\delta(X) = \limsup_{r \rightarrow \infty} \frac{|B_r(v_0) \cap X|}{|B_r(v_0)|} = \limsup_{r \rightarrow \infty} \frac{\sum_{v \in B_r(v_0)} \mu(v)}{|B_r(v_0)|}.$$

Discharging Arguments

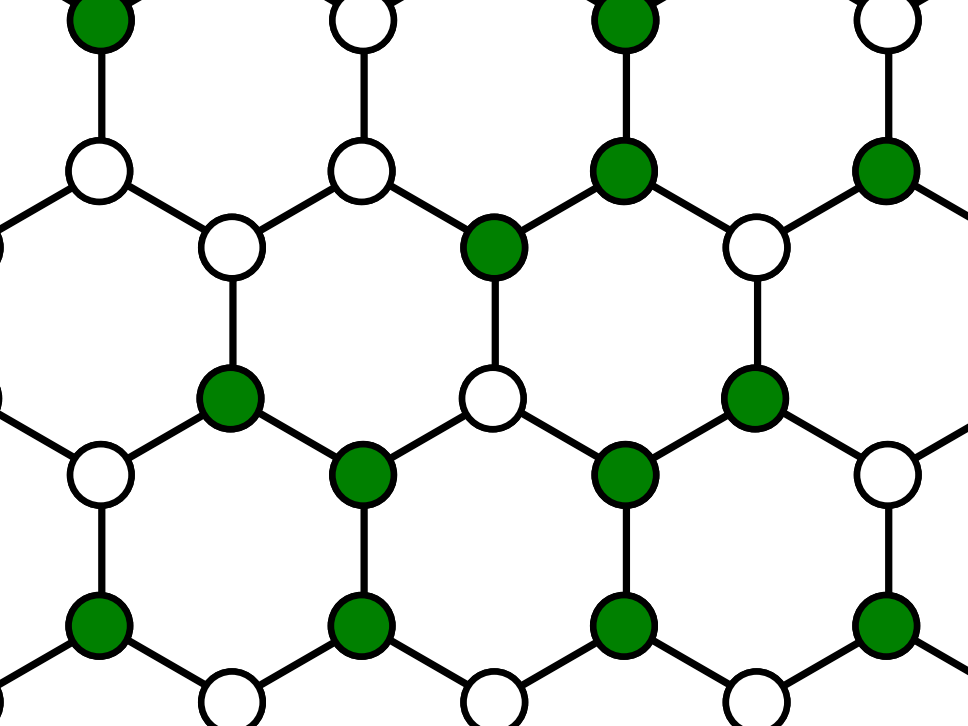
Let X be an identifying code in the hexagonal grid.

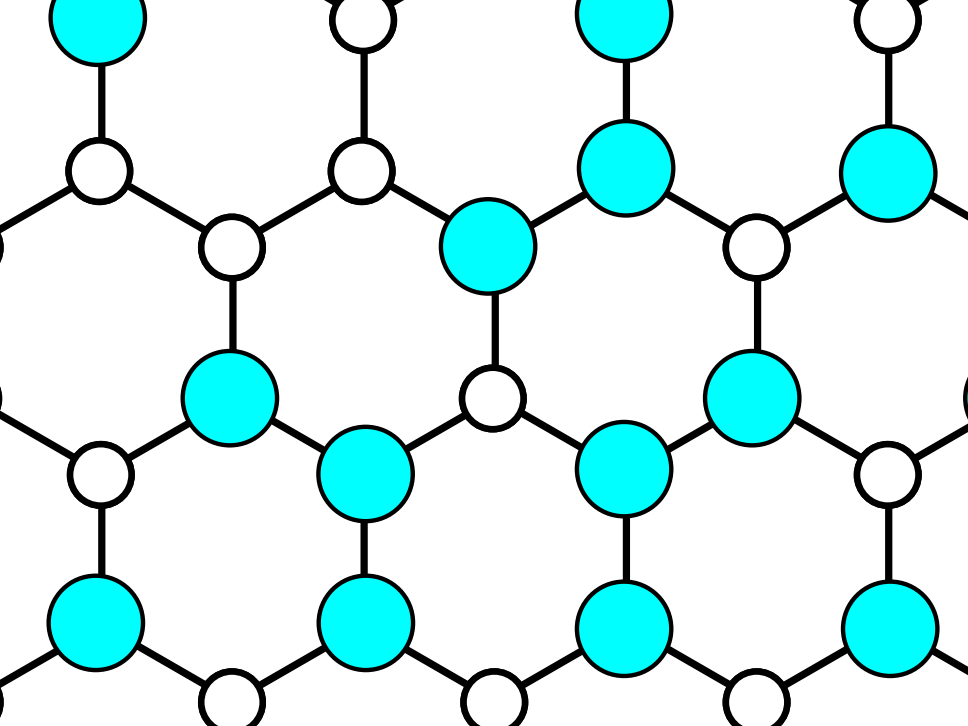
$$\text{Define } \mu(v) = \begin{cases} 1 & v \in X \\ 0 & v \notin X \end{cases}.$$

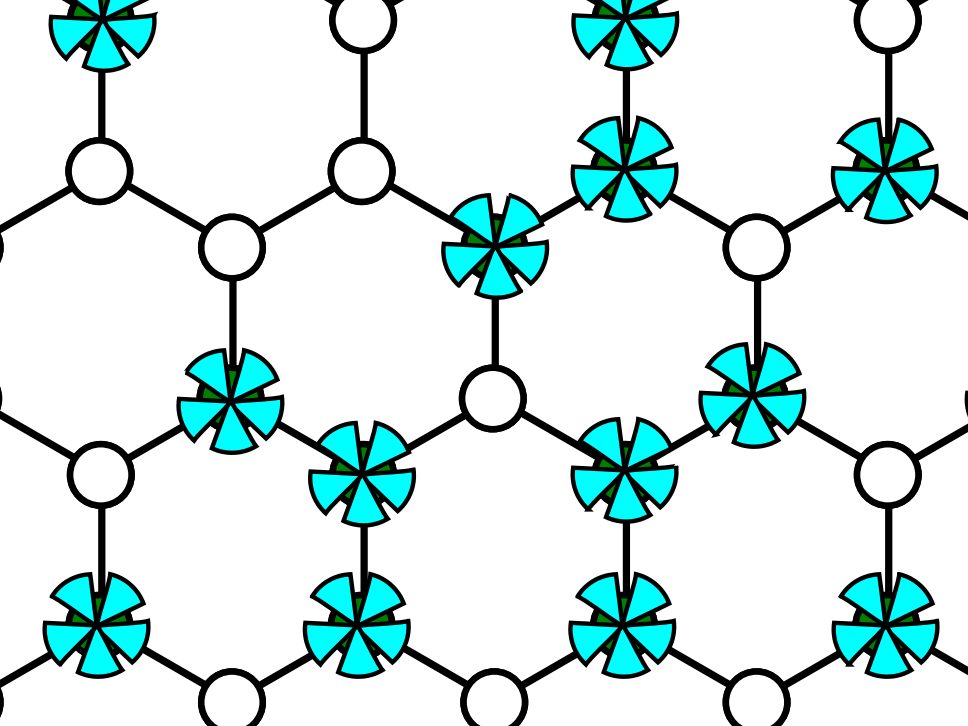
$$\delta(X) = \limsup_{r \rightarrow \infty} \frac{|B_r(v_0) \cap X|}{|B_r(v_0)|} = \limsup_{r \rightarrow \infty} \frac{\sum_{v \in B_r(v_0)} \mu(v)}{|B_r(v_0)|}.$$

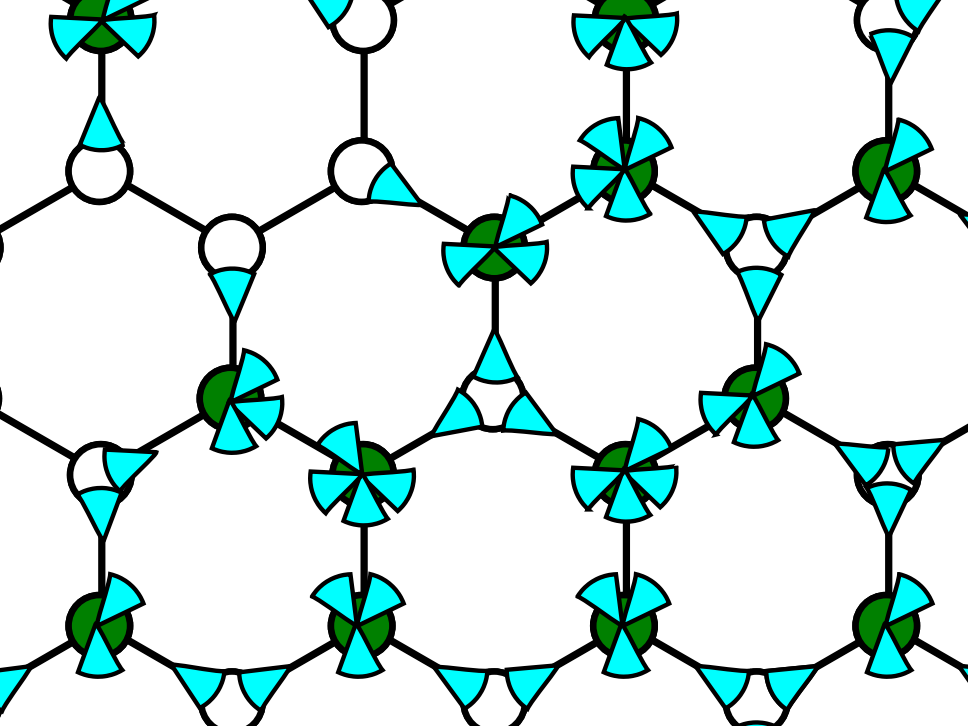
If we **discharge** such that our new charge values $\mu'(v)$ have $\mu'(v) \geq w$ always, then

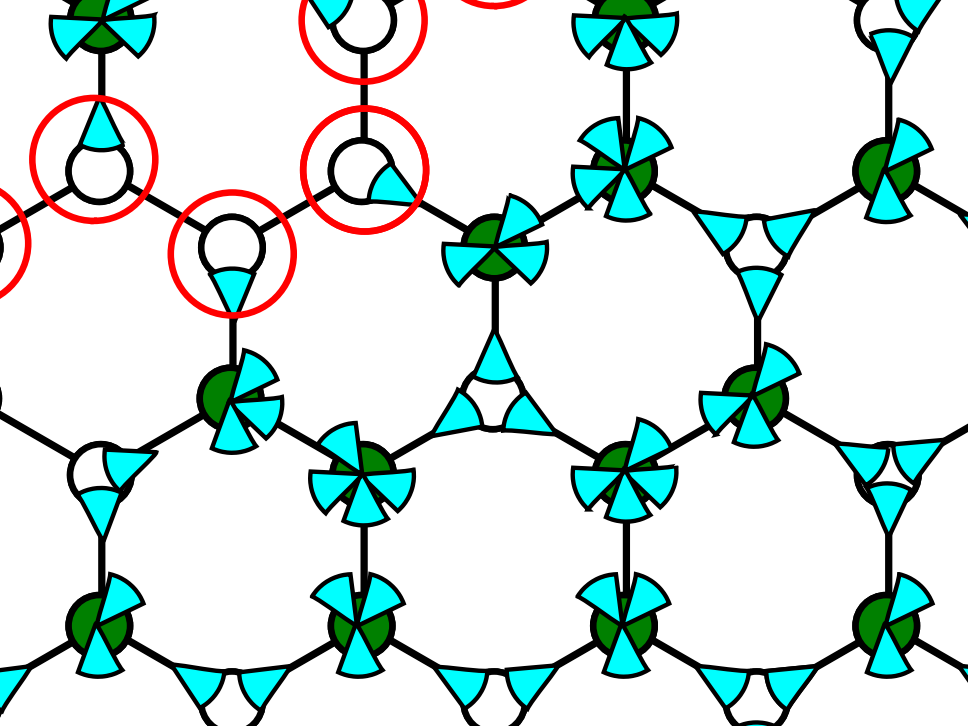
$$\delta(X) = \limsup_{r \rightarrow \infty} \frac{\sum_{v \in B_r(v_0)} \mu(v)}{|B_r(v_0)|} = \limsup_{r \rightarrow \infty} \frac{\sum_{v \in B_r(v_0)} \mu'(v)}{|B_r(v_0)|} \geq w.$$

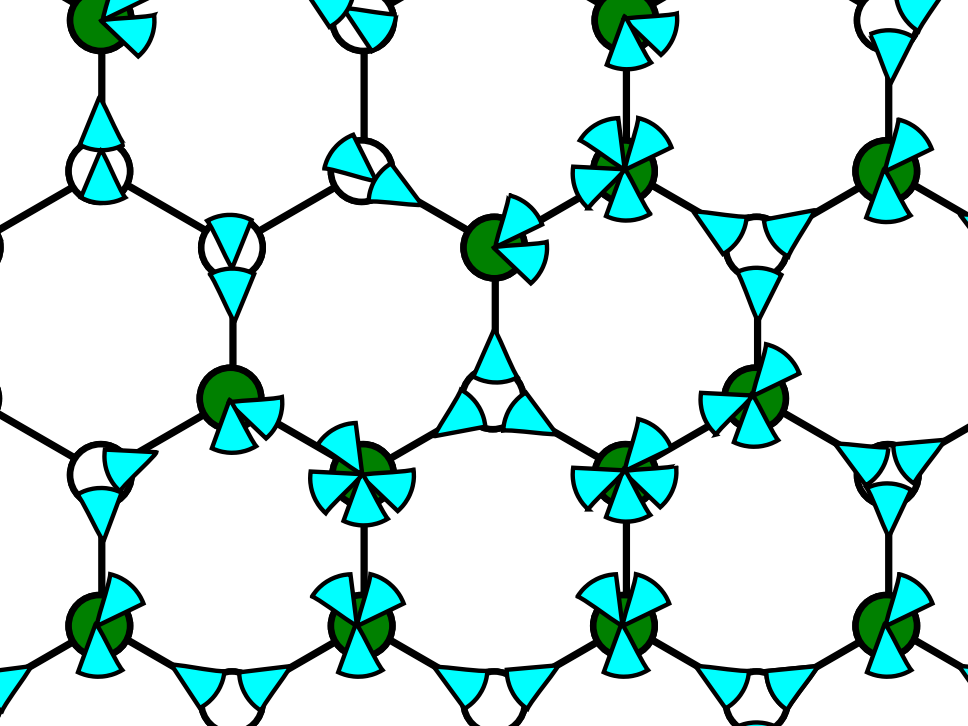












Example Discharging Argument

(R1) Every element sends charge $\frac{1}{5}$ to every adjacent nonelement.

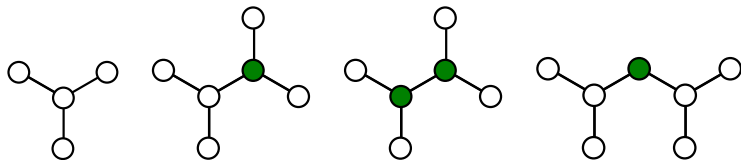
(R2) Every nonelement with charge $\frac{1}{5}$ pulls an extra $\frac{1}{5}$ from its only adjacent element.

Example Discharging Argument

(R1) Every element sends charge $\frac{1}{5}$ to every adjacent nonelement.

(R2) Every nonelement with charge $\frac{1}{5}$ pulls an extra $\frac{1}{5}$ from its only adjacent element.

Why does it work?



Forbidden Configurations

Discharging Arguments

There are a few subtle points:

Discharging Arguments

There are a few subtle points:

We may also have a charge function $\nu(f)$ on the faces:
 $\nu(f) = 0$.

Discharging Arguments

There are a few subtle points:

We may also have a charge function $\nu(f)$ on the faces:
 $\nu(f) = 0$.

When we discharge with the faces, we must have resulting charge $\nu'(f) \geq 0$ always.

Discharging Arguments

There are a few subtle points:

We may also have a charge function $\nu(f)$ on the faces:
 $\nu(f) = 0$.

When we discharge with the faces, we must have resulting charge $\nu'(f) \geq 0$ always.

The equality

$$\limsup_{r \rightarrow \infty} \frac{\sum_{v \in B_r(v_0)} \mu(v)}{|B_r(v_0)|} = \limsup_{r \rightarrow \infty} \frac{\sum_{v \in B_r(v_0)} \mu'(v)}{|B_r(v_0)|}$$

holds only when our discharging sends a **bounded amount** of charge a **bounded distance**.

Discharging Arguments

The main difficulty with designing discharging arguments is to balance

- ▶ Low-charge objects *receive* enough charge to match the goal value.
- ▶ High-charge objects *maintain* enough charge to match the goal value.

We replace the manual “guess-and-check” method with a framework for producing discharging arguments.

We replace the manual “guess-and-check” method with a framework for producing discharging arguments.

Automated **D**ischarging **A**rguments using **GE**neration.

We replace the manual “guess-and-check” method with a framework for producing discharging arguments.

Automated **D**ischarging **A**rguments using **GE**neration.

ADAGE

We replace the manual “guess-and-check” method with a framework for producing discharging arguments.

Automated **D**ischarging **A**rguments using **GE**neration.

ADAGE

A proof using this technique is called an **adage**.

Automated Discharging Arguments

There are three main steps:

Automated Discharging Arguments

There are three main steps:

1. Define the **shape** of the rules.

Automated Discharging Arguments

There are three main steps:

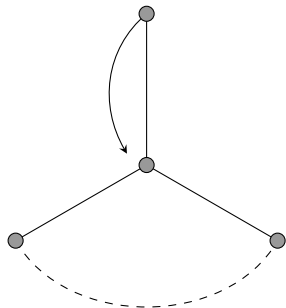
1. Define the **shape** of the rules.
2. Generate **constraints** on the rule values.

Automated Discharging Arguments

There are three main steps:

1. Define the **shape** of the rules.
2. Generate **constraints** on the rule values.
3. **Optimize** the values.

Defining a Rule



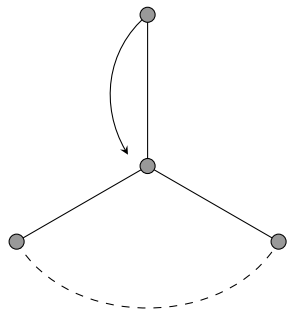
center object

chargeable objects

keys

kernel

Defining a Rule

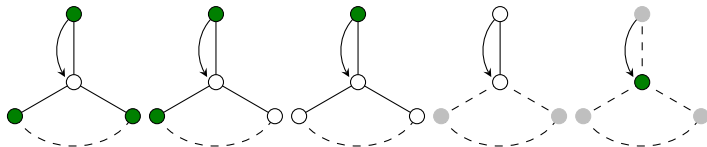


center object

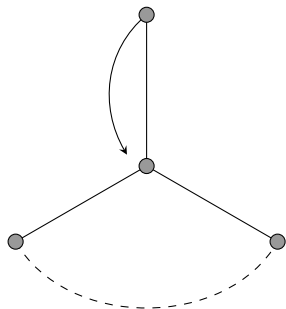
chargeable objects

keys

kernel



Defining a Rule

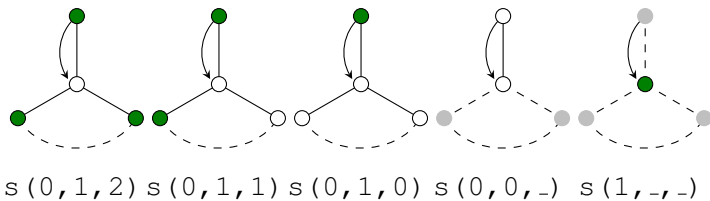


center object

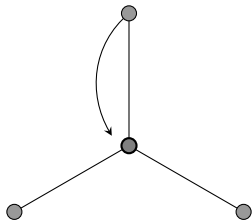
chargeable objects

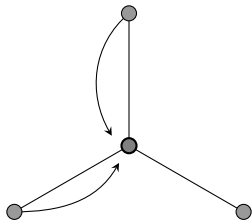
keys

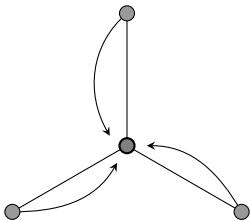
kernel

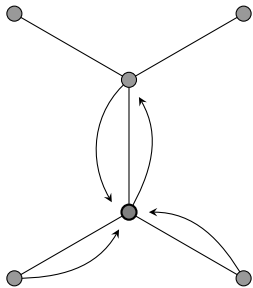


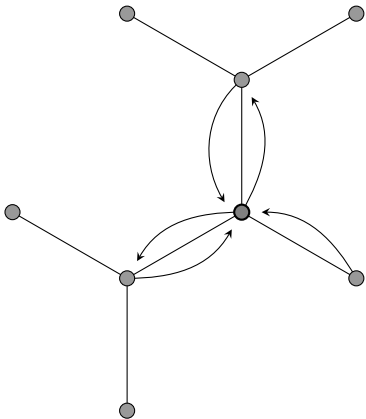


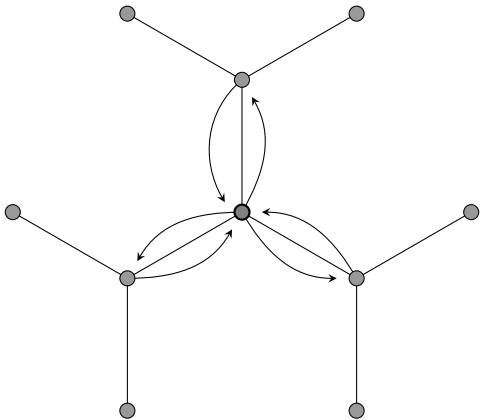


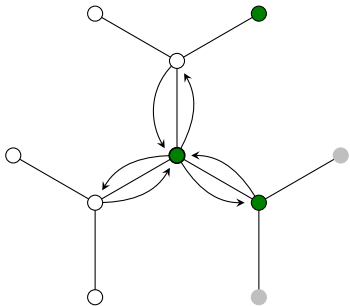




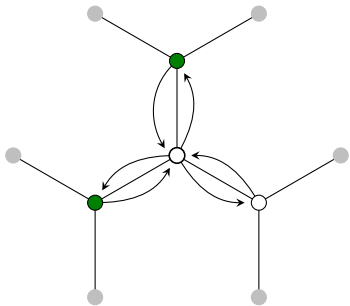




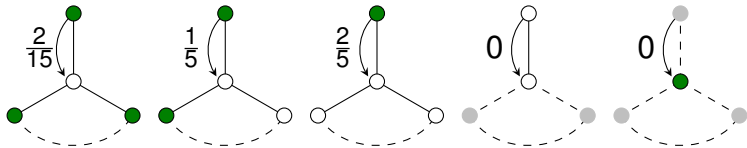




$$1 - 1 - 1 + 2 \geq w$$

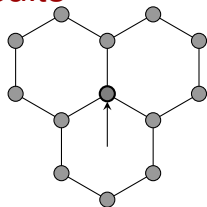


$$0 + 2 \begin{array}{c} \bullet \\ \curvearrowright \\ \circ \\ \swarrow \quad \searrow \\ \bullet \quad \circ \\ \text{---} \end{array} + 0 \begin{array}{c} \circ \\ \curvearrowright \\ \circ \\ \swarrow \quad \searrow \\ \bullet \quad \bullet \\ \text{---} \end{array} - 2 \begin{array}{c} \bullet \\ \curvearrowright \\ \bullet \\ \swarrow \quad \searrow \\ \bullet \quad \bullet \\ \text{---} \end{array} \geq w$$



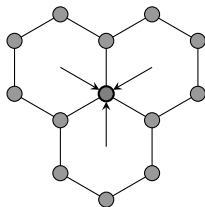
$$w = \frac{2}{5} = 0.40000$$

Results



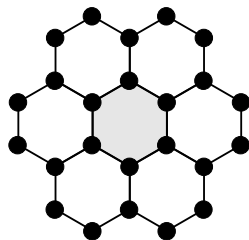
Rule N

1,758 Realizations



Vertex

1,758 Constraints



Face

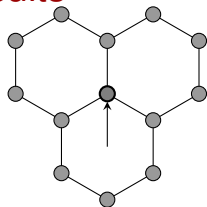
663,662 Constraints

Theorem

Let X be an identifying code in the hexagonal grid. The adage proof using rule N demonstrates a lower bound of

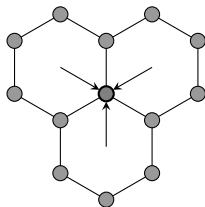
$$\delta(X) \geq \frac{23}{55} - \frac{1}{496496} \approx 0.418181.$$

Results



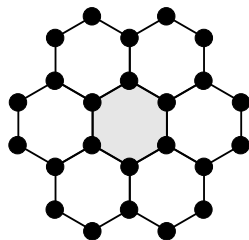
Rule N

1,758 Realizations



Vertex

1,758 Constraints



Face

663,662 Constraints

Theorem

Let X be an identifying code in the hexagonal grid. The adage proof using rule N demonstrates a lower bound of

$$\delta(X) \geq \frac{23}{55} - \frac{1}{496496} \approx 0.418181.$$

This improves the previous-best lower bound of Guickerman & Yu ($\frac{5}{12} = 0.41\bar{6}$) but does not match the current-best upper bound ($\frac{3}{7} \approx 0.42857$).

Results for Variations on Identifying Codes

<i>Set Type</i>	Hexagonal Grid	Square Grid	Triangular Grid
Dominating Set	$V_1 \quad \frac{1}{4} \approx 0.250000^*$	$V_1 \quad \frac{1}{5} \approx 0.200000^*$	$V_1 \quad \frac{1}{7} \approx 0.142857^*$
Identifying Code	$N \quad \frac{23}{55} \approx 0.418182^\dagger$	$V_2 \quad \frac{7}{20} \approx 0.350000^*$	$V_1 \quad \frac{1}{4} \approx 0.250000^*$
Strong Identifying Code	$V_2 \quad \frac{8}{17} \approx 0.470588$	$C_1 \cup C_2 \quad \frac{7}{18} \approx 0.388889$	$C_1^+ \cup C_2^+ \quad \frac{4}{13} \approx 0.307692$
Locating-Dominating Code	$V_2 \quad \frac{1}{3} \approx 0.333333^*$	$V_2 \quad \frac{3}{10} \approx 0.300000^*$	$C_1 \cup C_2 \quad \frac{12}{53} \approx 0.226415$
Open-Locating-Dominating Code	$V_2 \quad \frac{1}{2} \approx 0.500000^*$	$C_1^+ \quad \frac{2}{5} \approx 0.400000^*$	$C_1^+ \quad \frac{4}{13} \approx 0.307692^*$

Automated Discharging Arguments for Density Problems in Grids

Derrick Stolee

Iowa State University

`dstolee@iastate.edu`

`http://www.math.iastate.edu/dstolee/r/adage.htm`

June 19, 2014

Ron Graham Conference