

# Randić index and the diameter of a graph\*

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## Abstract

The Randić index  $R(G)$  of a nontrivial connected graph  $G$  is defined as the sum of the weights  $(d(u)d(v))^{-\frac{1}{2}}$  over all edges  $e = uv$  of  $G$ . We prove that  $R(G) \geq d(G)/2$ , where  $d(G)$  is the diameter of  $G$ . This immediately implies that  $R(G) \geq r(G)/2$ , which is the closest result to the well-known Graffiti conjecture  $R(G) \geq r(G) - 1$  of Fajtlowicz [4], where  $r(G)$  is the radius of  $G$ . Asymptotically, our result approaches the bound  $\frac{R(G)}{d(G)} \geq \frac{n-3+2\sqrt{2}}{2n-2}$  conjectured by Aouchiche, Hansen and Zheng [1].

## 1 Introduction

All the graphs considered in this paper are simple undirected ones. The *eccentricity* of a vertex  $v$  of a graph  $G$  is the greatest distance from  $v$  to any other vertex of  $G$ . The *radius* (resp. *diameter*) of a graph is the minimum (resp. maximum) over eccentricities of all vertices of the graph. The radius and diameter will be denoted by  $r(G)$  and  $d(G)$ , respectively.

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There are many different kinds of chemical indices. Some of them are distance based indices like Wiener index, some are degree based indices like Randić index. The *Randić index*  $R(G)$  of a graph  $G$  is defined as

$$R(G) = \sum_{uv \in E(G)} \frac{1}{\sqrt{\deg(u) \deg(v)}}.$$

It is also known as connectivity index or branching index. Randić [11] in 1975 proposed this index for measuring the extent of branching of the carbon-atom skeleton of saturated hydrocarbons. There is also a good correlation between Randić index and several physicochemical properties of alkanes: boiling points, surface areas, energy levels, etc. In 1998 Bollobás and Erdős [2] generalized this index by replacing the square-root by power of any real number, which is called the *general Randić index*. For a comprehensive survey of its mathematical properties, see the book of Li and Gutman [7], or recent survey of Li and Shi [10]. See also the books of Kier and Hall [5, 6] for chemical properties of this index.

There are several conjectures linking Randić index to other graph parameters. Fajtlowicz [4] posed the following problem:

**Conjecture 1.** *For every connected graph  $G$ , it holds  $R(G) \geq r(G) - 1$ .*

Caporossi and Hansen [3] showed that  $R(T) \geq r(T) + \sqrt{2} - 3/2$  for all trees  $T$ . Liu and Gutman [9] verified the conjecture for unicyclic graphs, bicyclic graphs and chemical graphs with cyclomatic number  $c(G) \leq 5$ . You and Liu [12] proved that the conjecture is true for biregular graphs, tricyclic graphs and connected graphs of order  $n \leq 10$ .

Regarding the diameter, Aouchiche, Hansen and Zheng [1] conjectured the following:

**Conjecture 2.** *Any connected graph  $G$  of order  $n \geq 3$  satisfies*

$$R(G) - d(G) \geq \sqrt{2} - \frac{n+1}{2} \quad \text{and} \quad \frac{R(G)}{d(G)} \geq \frac{n-3+2\sqrt{2}}{2n-2},$$

*with equalities if and only if  $G$  is a path on  $n$  vertices.*

Li and Shi [8] proved the first inequality for graphs of minimum degree at least 5. They also proved the second inequality for graphs on  $n \geq 15$  vertices with minimum degree at least  $n/5$ .

The Randić index turns out to be quite difficult parameter to work with. Also, Conjecture 1 is quite weak for graphs with small radius; for instance,  $R(K_{1,n}) = \sqrt{n}$ , while  $r(K_{1,n}) = 1$  for all  $n$ . Instead, we work with a different parameter  $R'(G)$  defined by

$$R'(G) = \sum_{uv \in E(G)} \frac{1}{\max(\deg(u), \deg(v))}.$$

Note that  $R(G) \geq R'(G)$  for every graph  $G$ , with the equality achieved only if every connected component of  $G$  is regular. The main result of this paper is the following:

**Theorem 3.** *For any connected graph  $G$ ,  $R'(G) \geq d(G)/2$ .*

Since  $R(G) \geq R'(G)$  and  $d(G) \geq r(G)$ , by our theorem, we immediately obtain that  $R(G) \geq r(G)/2$ . This result supports Conjecture 1. Our result solves asymptotically the second claim of Conjecture 2. Let us remark that the bound of Theorem 3 is sharp, with the equality achieved for example by paths of length at least two. Since Conjecture 2 is also tight for paths, in order to prove Conjecture 2 using our technique, it would be necessary to consider the gap  $R(G) - R'(G)$ .

## 2 Proof of the main theorem

We prove the theorem by contradiction. In the rest of the paper, assume that  $G$  is a connected graph such that  $R'(G) < d(G)/2$  and  $G$  has the smallest number of edges among the graphs with this property, i.e.,  $R'(H) \geq d(H)/2$  for every connected graph  $H$  with  $|E(H)| < |E(G)|$ . Let  $n = |V(G)|$ . For an edge  $uv$ , a *weight* of  $uv$  is  $\frac{1}{\max(\deg(u), \deg(v))}$ .

If  $d(G) = 0$ , then  $G = K_1$  and  $R'(G) = 0 = d(G)/2$ . If  $1 \leq d(G) \leq 2$ , then  $G$  has at least one edge; observe that the sum of the weights of the edges incident with the vertex of  $G$  of maximum degree is one, thus  $R'(G) \geq 1 \geq d(G)/2$ . Therefore,  $d(G) \geq 3$ .

For two vertices  $x$  and  $y$  of a graph  $H$ , let  $d_H(x, y)$  denote the distance between  $x$  and  $y$  in  $H$ .

**Lemma 4.** *If  $v$  is a cut-vertex in  $G$ , then all components of  $G - v$  except for one consist of a single vertex.*

*Proof.* Assume for a contradiction that  $G - v$  has two components with more than one vertex. Then, there exist induced subgraphs  $G_1, G_2 \subseteq G$  such that  $G_1 \cup G_2 = G$ ,  $V(G_1) \cap V(G_2) = \{v\}$  and  $G_i - v$  has a component with more than one vertex, for  $i \in \{1, 2\}$ .

For  $i \in \{1, 2\}$ , let  $G'_i$  be the graph obtained from  $G_i$  by adding  $\deg_{G_3-i}(v)$  pendant vertices adjacent to  $v$  and let  $v_i$  be one of these new vertices. Observe that  $R'(G'_1) + R'(G'_2) = R'(G) + 1$ . Furthermore, consider any two vertices  $x, y \in V(G)$ . If  $x, y \in V(G_1)$ , then  $d_G(x, y) = d_{G'_1}(x, y) \leq d(G'_1) \leq d(G'_1) + d(G'_2) - 2$ . By symmetry, if  $x, y \in V(G_2)$ , then  $d_G(x, y) \leq d(G'_1) + d(G'_2) - 2$ . Finally, if say  $x \in V(G_1)$  and  $y \in V(G_2)$ , then  $d_G(x, y) = d_{G_1}(x, v) + d_{G_2}(y, v) = d_{G'_1}(x, v_1) - 1 + d_{G'_2}(y, v_2) - 1 \leq d(G'_1) + d(G'_2) - 2$ . We conclude that  $d(G) \leq d(G'_1) + d(G'_2) - 2$ .

Since both  $G'_1$  and  $G'_2$  have fewer edges than  $G$ , the minimality of  $G$  implies that

$$R'(G) = R'(G'_1) + R'(G'_2) - 1 \geq \frac{d(G'_1)}{2} + \frac{d(G'_2)}{2} - 1 \geq \frac{d(G)}{2},$$

which contradicts the assumption that  $G$  is a counterexample to Theorem 3.  $\square$

A vertex  $v$  is *locally minimal* if its degree is smaller or equal to the degrees of its neighbors.

**Lemma 5.** *Let  $v \in V(G)$  be a locally minimal vertex. Then  $\deg(v) = 1$ , the neighbor of  $v$  has degree at least three and  $d(G - v) = d(G) - 1$ .*

*Proof.* Suppose first that  $\deg(v) > 1$ . Let  $w$  be a neighbor of  $v$  and  $k$  the number of neighbors of  $w$  distinct from  $v$  whose degree is smaller than  $\deg(w)$ . Note that  $k \leq \deg(w) - 1$ . We have

$$\begin{aligned} R'(G - vw) &= R'(G) - \frac{1}{\deg(w)} + k \left( \frac{1}{\deg(w) - 1} - \frac{1}{\deg(w)} \right) \\ &= R'(G) - \frac{1}{\deg(w)} + \frac{k}{\deg(w)(\deg(w) - 1)} \\ &\leq R'(G). \end{aligned}$$

Since  $v$  is locally minimal, every neighbor of  $v$  has degree at least  $\deg(v) \geq 2$ , thus by Lemma 4,  $v$  is not a cut-vertex. It follows that  $G - vw$  is connected,

hence  $d(G - vw) \geq d(G)$ . By the minimality of  $G$ , we obtain  $R'(G) \geq R'(G - vw) \geq d(G - vw)/2 \geq d(G)/2$ , which is a contradiction.

Let us now consider the case that  $\deg(v) = 1$ . Then  $d(G - v)/2 \leq R'(G - v) \leq R'(G) < d(G)/2$ , and thus  $d(G - v) < d(G)$ . Removing the pendant vertex  $v$  cannot decrease the diameter by more than one, thus  $d(G - v) = d(G) - 1$ . Since  $d(G) \geq 3$ , the neighbor  $w$  of  $v$  has degree at least two, and if  $\deg(w) = 2$ , then  $v$  is the only neighbor of  $w$  of degree smaller than  $\deg(w)$ . It follows that if  $\deg(w) = 2$ , then  $R'(G - v) = R'(G) - 1/2$ . We conclude that  $R'(G) = R'(G - v) + 1/2 \geq d(G - v)/2 + 1/2 = d(G)/2$ , which is a contradiction. This implies that  $\deg(w) \geq 3$ .  $\square$

Let  $L$  be the set of vertices of  $G$  of degree one. Note that a vertex of  $G$  of the smallest degree is locally minimal, thus by Lemma 5,  $L \neq \emptyset$ .

**Lemma 6.** *If the distance between two vertices  $u$  and  $v$  in  $G$  is  $d(G)$ , then  $L \subseteq \{u, v\}$ .*

*Proof.* Suppose that there exists a vertex  $w \in L \setminus \{u, v\}$ . Then  $w$  is locally minimal and  $d(G - w) = d(G)$ , contradicting Lemma 5.  $\square$

Lemma 6 implies that  $|L| \leq 2$ . Lemma 5 shows that all vertices of degree  $d > 1$  are incident with an edge whose weight is  $1/d$ ; thus, if many vertices have small degree, then these edges contribute a lot to  $R'(G)$ . On the other hand, if many vertices have large degree, then  $G$  has many edges and  $R'(G)$  is large. Let us now formalize this intuition.

**Lemma 7.**  $d(G) > \sqrt{8(n-3)} - 1$ , and thus  $n \leq \left\lfloor \frac{d^2(G) + 2d(G)}{8} \right\rfloor + 3$ .

*Proof.* Let  $d_1 \geq d_2 \geq \dots \geq d_n$  be the degree sequence of  $G$ . For  $1 \leq i \leq n$ , let  $v_i$  be the vertex of  $G$  of degree  $d_i$ . For each  $i \geq 1$ , the sum of the weights of the edges incident with  $v_i$ , but not incident with  $v_j$  for any  $j < i$ , is at least  $1 - (i-1)/d_i$ . We conclude that the edges incident with the vertices  $v_1, v_2, \dots, v_t$  contribute at least  $t - \sum_{i=1}^t \frac{i-1}{d_i} \geq t - \frac{t(t-1)}{2d_t}$  to  $R'(G)$ . Let  $t_0$  be the largest integer such that  $d_{t_0} \geq t_0 - 1$ ; thus, for each  $i > t_0$ ,  $d_i \leq d_{t_0+1} < (t_0 + 1) - 1 = t_0$ . Then the sum of the weights of the edges incident with the vertices  $v_1, v_2, \dots, v_{t_0}$  is at least  $t_0 - \frac{t_0(t_0-1)}{2(t_0-1)} = \frac{t_0}{2}$ .

By Lemma 5, any vertex  $v \notin L$  has a neighbor  $s(v)$  with strictly smaller degree. Let  $X = \{v_i s(v_i) \mid i \geq t_0 + 1, v_i \notin L\}$ . Note that the edges in  $X$  are pairwise distinct, thus  $|X| \geq n - t_0 - 2$ . None of the edges in  $X$  is incident

with the vertices  $v_1, \dots, v_{t_0}$ , hence each of them has weight at least  $\frac{1}{t_0-1}$ , and

$$\begin{aligned} R'(G) &\geq \frac{t_0}{2} + \frac{n - t_0 - 2}{t_0 - 1} \\ &= \frac{t_0 - 1}{2} + \frac{n - 3}{t_0 - 1} - \frac{1}{2} \\ &\geq \sqrt{2(n - 3)} - \frac{1}{2}, \end{aligned}$$

where the last inequality holds since  $x + y \geq 2\sqrt{xy}$  for all  $x, y \geq 0$ . As  $G$  is a counterexample to Theorem 3,  $d(G) > 2R'(G) \geq \sqrt{8(n - 3)} - 1$ . This is equivalent to  $d^2(G) + 2d(G) + 1 > 8(n - 3)$ . Since both sides of this inequality are integers,  $d^2(G) + 2d(G) \geq 8(n - 3)$ , and thus

$$n \leq \left\lfloor \frac{d^2(G) + 2d(G)}{8} \right\rfloor + 3.$$

□

Let  $w$  be a neighbor of a vertex of degree one. By Lemma 5,  $w$  has degree at least three, and since  $d(G) \geq 3$ , at least one vertex of  $G$  is not adjacent to  $w$ . We conclude that  $n \geq 5$ , and by Lemma 7,  $d(G) > 3$ . Lemma 5 also implies that the vertices of  $G$  of small degree must be close to  $L$ :

**Lemma 8.** *If the distance of a vertex  $v$  from  $L$  is at least  $k > 0$ , then  $\deg(v) \geq k + 2$ .*

*Proof.* By Lemma 5, each vertex not in  $L$  has a neighbor of strictly smaller degree, thus there exists a path  $P$  from  $v$  to  $L$  such that the degrees on  $P$  are decreasing. Also, the vertex in  $P$  that has a neighbor in  $L$  has degree at least three. Since  $P$  has length at least  $k$ , we have  $\deg(v) \geq 3 + \ell(P) - 1 \geq k + 2$ . □

Choose a vertex  $v_0 \in L$ , and for each integer  $i$ , let  $L_i$  be the set of vertices of  $G$  at the distance  $i$  from  $v_0$ , as illustrated in Figure 1. Let  $\delta_i$  be the minimum and  $\Delta_i$  the maximum degree of a vertex in  $L_i$ , and let  $n_i = |L_i|$ . Observe that  $n_0 = n_1 = 1$ ,  $n_{d(G)} \geq 1$  and  $n = \sum_{i=0}^{d(G)} n_i$ . Furthermore, by Lemma 6, if  $|L| > 1$  then  $n_{d(G)} = 1$  and  $L = L_0 \cup L_{d(G)}$ .

For an integer  $i$ , let  $\bar{i} = \min(i, d(G) - i)$ . Note that the distance between  $L$  and  $L_i$  is at least  $\bar{i}$ . By Lemma 8, we have  $\Delta_i \geq \delta_i \geq \bar{i} + 2$  for  $1 \leq i \leq d(G) - 1$ .

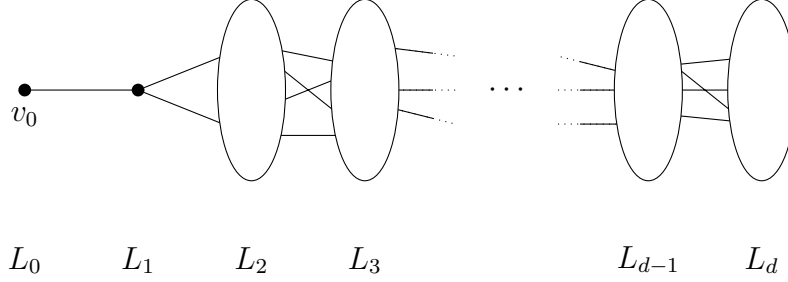


Figure 1: A graph  $G$  with vertices partitioned into layers  $L_0, L_1, \dots, L_d$ .

Also, since all neighbors of a vertex in  $L_i$  belong to  $L_{i-1} \cup L_i \cup L_{i+1}$ , it follows that  $\Delta_i \leq n_{i-1} + n_i + n_{i+1} - 1$ , and thus  $n_{i-1} + n_i + n_{i+1} \geq \bar{i} + 3$ .

By Lemma 4,  $n_i \geq 2$  for  $2 \leq i \leq d(G) - 2$ , and thus  $n \geq 2d(G) - 2$ . Together with Lemma 7, we obtain

$$2d(G) - 2 \leq n \leq \frac{d^2(G) + 2d(G)}{8} + 3,$$

which implies  $d(G) \leq 4$  or  $d(G) \geq 10$ . If  $d(G) = 4$ , then  $n_1 + n_2 + n_3 \geq \bar{2} + 3 = 5$ , and thus  $n \geq 7 > \frac{d^2(G) + 2d(G)}{8} + 3$ . This contradicts Lemma 7, hence  $d(G) \geq 10$ .

Let us now derive some formulas dealing with  $\bar{i}$  that we later use to estimate the sizes of the layers  $L_i$ .

**Lemma 9.** *The following holds:*

(a)

$$\sum_{i=0}^{d(G)} \bar{i} \geq \frac{d^2(G) - 1}{4}.$$

(b)

$$\sum_{i=0}^{d(G)} \bar{i}^2 \geq \frac{d^3(G) - d(G)}{12}.$$

*Proof.* We use the well-known formulas  $\sum_{i=0}^k i = \frac{k(k+1)}{2}$  and  $\sum_{i=0}^k i^2 = \frac{k(k+1)(2k+1)}{6}$ .

If  $d(G)$  is odd, then

$$\sum_{i=0}^{d(G)} \bar{i} = 2 \sum_{i=0}^{(d(G)-1)/2} i = \frac{d^2(G) - 1}{4}$$

and

$$\sum_{i=0}^{d(G)} \bar{i}^2 = 2 \sum_{i=0}^{(d(G)-1)/2} i^2 = \frac{d^3(G) - d(G)}{12}.$$

If  $d(G)$  is even, then

$$\sum_{i=0}^{d(G)} \bar{i} = \frac{d(G)}{2} + 2 \sum_{i=0}^{d(G)/2-1} i = \frac{d^2(G)}{4} > \frac{d^2(G) - 1}{4}$$

and

$$\sum_{i=0}^{d(G)} \bar{i}^2 = \frac{d^2(G)}{4} + 2 \sum_{i=0}^{d(G)/2-1} i^2 = \frac{d^3(G) + 2d(G)}{12} > \frac{d^3(G) - d(G)}{12}.$$

□

Let  $R_i$  be the sum of the weights of the edges induced by  $L_i$  plus half of the weights of the edges joining vertices of  $L_i$  with vertices of  $L_{i-1}$  and  $L_{i+1}$ . Observe that  $R'(G) = \sum_{i \geq 0} R_i$ . Also, the weight of each edge incident with a vertex of  $L_i$  is at least  $\frac{1}{\max(\Delta_{i-1}, \Delta_i, \Delta_{i+1})}$ , thus  $R_i \geq \frac{n_i \delta_i}{2 \max(\Delta_{i-1}, \Delta_i, \Delta_{i+1})}$ . Let  $s_i = n_{i-1} + n_i + n_{i+1}$  and  $W_i = \frac{n_i(i+2)}{\max(s_{i-1}, s_i, s_{i+1}) - 1}$ . Since  $\Delta_i \leq s_i - 1$  and  $\delta_i \geq \bar{i} + 2$  for  $1 \leq i \leq d(G) - 1$ , we have  $R_i \geq W_i/2$  for  $2 \leq i \leq d(G) - 2$ . Note also that  $s_i \geq \delta_i + 1 \geq \bar{i} + 3$  for  $1 \leq i \leq d(G) - 1$ .

We can now show that it suffices to consider graphs of small diameter.

**Lemma 10.** *The diameter of  $G$  is at most 35.*

*Proof.* Suppose that  $3 \leq i \leq d(G) - 3$ . Let

$$X_i = \frac{s_i(\bar{i} + 1)}{\max(s_{i-2}, s_{i-1}, s_i, s_{i+1}, s_{i+2}) - 1}.$$

Observe that  $W_{i-1} + W_i + W_{i+1} \geq X_i$ . Let

$$M_i = s_{i-2} + s_{i-1} + 2s_i + s_{i+1} + s_{i+2} + \alpha X_i,$$



where  $\alpha \geq 0$  is a constant to be chosen later. Let  $j \in \{i-2, \dots, i+2\}$  be the index such that  $s_j = \max(s_{i-2}, s_{i-1}, s_i, s_{i+1}, s_{i+2})$ .

Recall that  $s_i \geq \bar{i} + 3$ , and thus  $s_{i-2}, s_{i+2} \geq \bar{i} + 1$  and  $s_{i-1}, s_{i+1} \geq \bar{i} + 2$ . If  $j = i$ , then  $\frac{s_i}{\max(s_{i-2}, s_{i-1}, s_i, s_{i+1}, s_{i+2}) - 1} > 1$ , and thus

$$M_i > 6\bar{i} + 12 + \alpha(\bar{i} + 1) \geq (6 + \alpha)\bar{i} + 12 + \alpha. \quad (1)$$

On the other hand, if  $j \neq i$ , then

$$\begin{aligned} M_i &\geq 5\bar{i} + 11 + (s_j - 1) + \alpha \frac{(\bar{i} + 1)(\bar{i} + 3)}{s_j - 1} \\ &\geq 5\bar{i} + 11 + 2\sqrt{\alpha(\bar{i} + 1)(\bar{i} + 3)} \\ &> 5\bar{i} + 11 + 2\sqrt{\alpha}(\bar{i} + 1) \\ &= (5 + 2\sqrt{\alpha})\bar{i} + 11 + 2\sqrt{\alpha}. \end{aligned} \quad (2)$$

The expression (2) is smaller or equal to (1), giving the lower bound for  $M_i$ .

For  $m \in \{0, 1, 2\}$ , let  $B_m$  be the set of integers between 3 and  $d(G) - 3$  (inclusive) whose remainder modulo 3 is  $m$ , and  $b_m = \max B_m$ . Let

$$S = 4n_0 + 2n_1 + 2n_{d(G)-1} + 4n_{d(G)} + s_1 + s_2 + s_{d(G)-2} + s_{d(G)-1}.$$

Notice that  $S \geq 30$ . On one hand, we have  $X_i \leq W_{i-1} + W_i + W_{i+1} \leq 2(R_{i-1} + R_i + R_{i+1})$ , and thus

$$\begin{aligned} \sum_{i \in B_m} M_i &\leq s_{1+m} + s_{2+m} + s_{b_m+1} + s_{b_m+2} + 2 \sum_{i=3+m}^{b_m} s_i + 2\alpha \sum_{i=2+m}^{b_m+1} R_i \\ &\leq -S + 4n_0 + 2n_1 + 2n_{d(G)-1} + 4n_{d(G)} + 2 \sum_{i=1}^{d(G)-1} s_i + 2\alpha \sum_{i \geq 0} R_i \\ &= -S + 6n + 2\alpha R'(G) \\ &< -30 + 6n + \alpha d(G). \end{aligned}$$

On the other hand,

$$\begin{aligned} \sum_{i \in B_m} M_i &\geq \sum_{i \in B_m} ((5 + 2\sqrt{\alpha})\bar{i} + 11 + 2\sqrt{\alpha}) \\ &= (11 + 2\sqrt{\alpha})|B_m| + (5 + 2\sqrt{\alpha}) \sum_{i \in B_m} \bar{i}. \end{aligned}$$

Summing the two inequalities above over the three choices of  $m$ , we obtain

$$(11 + 2\sqrt{\alpha})(d(G) - 5) + (5 + 2\sqrt{\alpha}) \sum_{i=3}^{d(G)-3} \bar{i} < 18n + 3\alpha d(G) - 90.$$

Applying Lemma 9(a), we obtain  $\sum_{i=3}^{d(G)-3} \bar{i} \geq \frac{d^2(G)-25}{4}$ , and thus

$$\begin{aligned} (11 + 2\sqrt{\alpha})(d(G) - 5) + (5 + 2\sqrt{\alpha}) \frac{d^2(G) - 25}{4} &< 18n + 3\alpha d(G) - 90 \\ (5 + 2\sqrt{\alpha})d^2(G) + 4(11 + 2\sqrt{\alpha} - 3\alpha)d(G) &< 72n + 90\sqrt{\alpha} - 15. \end{aligned}$$

By Lemma 7,  $n \leq \frac{d^2(G)+2d(G)}{8} + 3$ , and thus

$$\begin{aligned} (5 + 2\sqrt{\alpha})d^2(G) + 4(11 + 2\sqrt{\alpha} - 3\alpha)d(G) &< 9(d^2(G) + 2d(G)) + 90\sqrt{\alpha} + 201 \\ (2\sqrt{\alpha} - 4)d^2(G) + (26 + 8\sqrt{\alpha} - 12\alpha)d(G) &< 90\sqrt{\alpha} + 201. \end{aligned}$$

Setting  $\alpha = 10$ , this implies that  $d(G) < 35.5$ , and since  $d(G)$  is an integer, the claim of the lemma follows.  $\square$

Lemma 8 gives a lower bound for the minimum degrees  $\delta_i$  in the layers  $L_i$ , which can in turn be used to bound the size of the layers and consequently the number of vertices of  $G$ . The lower bound on  $n$  obtained in this way is approximately  $d^2(G)/12$ , and thus it does not directly give a contradiction with Lemma 7. However, the following lemma shows that this lower bound on  $n$  can be increased if the maximum degree of  $G$  is large (let us note that  $\Delta(G) \geq \delta_{\lfloor d(G)/2 \rfloor} \geq \lfloor d(G)/2 \rfloor + 2$ ). Together with Lemma 7, this can be used to bound  $\Delta(G)$ .

**Lemma 11.** *The following holds:  $n \geq (\Delta(G) - \lfloor d(G)/2 \rfloor - 2) + \frac{d^2(G) + 12d(G) + 3}{12}$ .*

*Proof.* Let  $j$  be an index such that a vertex of the degree  $\Delta(G)$  lies in  $L_j$ , and let  $B$  be the set of integers  $i$  such that  $1 \leq i \leq d(G) - 1$  and  $3|i - j$ . Let  $a = \min B - 1$  and  $b = \max B + 1$ . Observe that

$$n = \sum_{i \in B} s_i + \sum_{i=0}^{a-1} n_i + \sum_{i=b+1}^{d(G)} n_i.$$

For  $i \in B$ , we have that  $s_i \geq \delta_i + 1 \geq \bar{i} + 3$ . Furthermore, if  $j < d(G)$ , then  $s_j \geq \Delta(G) + 1 \geq (\bar{j} + 3) + (\Delta(G) - \lfloor d(G)/2 \rfloor - 2)$ , and if  $j = d(G)$ , then  $b = d(G) - 2$  and  $n_{d(G)-1} + n_{d(G)} \geq \Delta(G) + 1 > 2 + (\Delta(G) - \lfloor d(G)/2 \rfloor - 2)$ . Also,  $\bar{i} \geq (\bar{i} - 1 + \bar{i} + \bar{i} + 1)/3$ . Using Lemma 9(a), we conclude that

$$\begin{aligned}
n &\geq \Delta(G) - \lfloor d(G)/2 \rfloor - 2 + \sum_{i=a}^b \left( \frac{\bar{i}}{3} + 1 \right) + a + (d(G) - b) \\
&\geq \Delta(G) - \lfloor d(G)/2 \rfloor - 8/3 + \sum_{i=0}^{d(G)} \left( \frac{\bar{i}}{3} + 1 \right) \\
&\geq \Delta(G) - \lfloor d(G)/2 \rfloor - 5/3 + d(G) + \frac{d^2(G) - 1}{12} \\
&= (\Delta(G) - \lfloor d(G)/2 \rfloor - 2) + \frac{d^2(G) + 12d(G) + 3}{12}.
\end{aligned}$$

□

Next, we show that the maximum degree of  $G$  is large. This, combined with the previous lemma, will give us a contradiction.

**Lemma 12.** *Let  $k = \lceil d(G)/2 \rceil$ , and let  $d_1 \geq d_2 \geq \dots \geq d_n$  be the degree sequence of  $G$ . Then  $\sum_{i=1}^k d_i \geq \frac{d^3(G) + 12d^2(G) + 35d(G) + 288}{72}$ , and thus  $\Delta(G) \geq \left\lceil \frac{d^3(G) + 12d^2(G) + 35d(G) + 288}{72k} \right\rceil$ .*

*Proof.* For  $1 \leq i \leq n$ , let  $v_i$  be the vertex of  $G$  of degree  $d_i$ . Let  $k_i$  be the number of neighbors of  $v_i$  in  $\{v_j | j > i\}$ . Note that  $\sum_{i=1}^n k_i = |E(G)| = \frac{1}{2} \sum_{i=1}^n d_i$ ,  $R'(G) = \sum_{i=1}^n \frac{k_i}{d_i}$  and  $0 \leq k_i \leq d_i$ .

Let  $m$  be the index such that there exists a sequence  $x_1, x_2, \dots, x_n$  satisfying

- $x_i = d_i$  for  $1 \leq i \leq m - 1$ ,
- $0 \leq x_m < d_m$ ,
- $x_i = 0$  for  $m + 1 \leq i \leq n$ , and
- $\sum_{i=1}^n x_i = |E(G)|$ .

Since  $\frac{a}{b} + \frac{c}{d} \geq \frac{a+1}{b} + \frac{c-1}{d}$  when  $b \geq d$ , we conclude that

$$\frac{d(G)}{2} > R'(G) = \sum_{i=1}^n \frac{k_i}{d_i} \geq \sum_{i=1}^n \frac{x_i}{d_i} \geq m - 1,$$

i.e.,  $m \leq \lceil d(G)/2 \rceil$ . Furthermore,  $\sum_{i=1}^m d_i \geq 1 + \sum_{i=1}^n x_i = 1 + |E(G)|$ .

Let  $t_i = n_{i-1}\delta_{i-1} + n_i\delta_i + n_{i+1}\delta_{i+1}$ . Note that

$$t_i \geq n_{i-1}(\overline{i-1} + 2) + n_i(\overline{i} + 2) + n_{i+1}(\overline{i+1} + 2) \geq s_i(\overline{i} + 1)$$

for  $2 \leq i \leq d(G)-2$ . Also,  $t_2 \geq s_2(\overline{2}+1)+n_2$  and  $t_{d(G)-2} \geq s_{d(G)-2}(\overline{d(G)} - 2 + 1) + n_{d(G)-2}$ . Using Lemma 9(b), we obtain

$$\begin{aligned} 6|E(G)| &\geq 3 \sum_{i=0}^{d(G)} n_i \delta_i \\ &= 3\delta_0 n_0 + 3\delta_{d(G)} n_{d(G)} + 2\delta_1 n_1 + 2\delta_{d(G)-1} n_{d(G)-1} + \delta_2 n_2 + \delta_{d(G)-2} n_{d(G)-2} + \sum_{i=2}^{d(G)-2} t_i \\ &\geq 3(n_0 + n_{d(G)}) + 6(n_1 + n_{d(G)-1}) + 5(n_2 + n_{d(G)-2}) + \sum_{i=2}^{d(G)-2} s_i(\overline{i} + 1) \\ &\geq 38 + \sum_{i=2}^{d(G)-2} s_i(\overline{i} + 1) \\ &\geq 38 + \sum_{i=2}^{d(G)-2} (\overline{i} + 3)(\overline{i} + 1) \\ &\geq \frac{d^3(G) + 12d^2(G) + 35d(G) + 216}{12}. \end{aligned}$$

It follows that

$$\sum_{i=1}^m d_i \geq \frac{d^3(G) + 12d^2(G) + 35d(G) + 288}{72}.$$

Since  $k \geq m$ , the lemma holds.  $\square$

We are now ready to finish the proof.

$d(G)$	$LB_{d(G)}$	$UB_{d(G)}$	$d(G)$	$LB_{d(G)}$	$UB_{d(G)}$
10	8	6	23	23	19
11	8	5	24	26	22
12	10	7	25	26	23
13	10	7	26	29	26
14	12	9	27	30	27
15	12	9	28	33	30
16	14	11	29	34	31
17	15	11	30	37	34
18	17	13	31	38	35
19	17	13	32	41	39
20	20	16	33	42	41
21	20	17	34	45	44
22	23	19	35	46	45

Table 1: Values of the lower bound  $LB_{d(G)}$  and the upper bound  $UB_{d(G)}$  for  $\Delta(G)$  from proof of Theorem 3.

*Proof of Theorem 3.* By Lemma 10, the diameter of the minimal counterexample  $G$  is at most 35. Also, as we observed before,  $d(G) \geq 10$ . Lemmas 7 and 11 imply that

$$\Delta(G) \leq \lfloor d(G)/2 \rfloor + 5 + \left\lfloor \frac{d^2(G) + 2d(G)}{8} \right\rfloor - \left\lceil \frac{d^2(G) + 12d(G) + 3}{12} \right\rceil.$$

We denote this upper bound on  $\Delta(G)$  by  $UB_{d(G)}$ . Lemma 12 gives a lower bound on  $\Delta(G)$ , which we denote by  $LB_{d(G)}$ . For  $10 \leq d(G) \leq 35$ , it holds that  $UB_{d(G)} < LB_{d(G)}$ , which is a contradiction. See Table 1 for values of  $LB_{d(G)}$  and  $UB_{d(G)}$ .  $\square$

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