# FLAG ALGEBRAS AND APPLICATIONS TO PERMUTATIONS

#### Bernard Lidický

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Nov 7, 2014

# OUTLINE

- Extremal problems
- Introduction to the use of Flag Algebras
- Example of automated Flag Algebras approach
- Applications of Flag Algebras in permutations

PERMUTATIONS

# PROBLEM

#### Problem

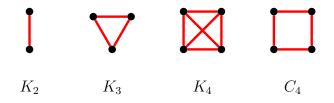
What is the minimum number of monotone subsequences of size k in a permutation of [n]?

PERMUTATIONS

# GRAPHS

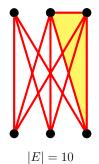
## DEFINITION

A graph G consists of vertices V and edges  $E \subseteq \binom{V}{2}$ .



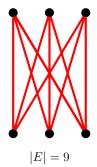
# THEOREM (MANTEL 1907)

If a graph G on n vertices has more than  $\frac{1}{4}n^2$  edges, then G contains a triangle.



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### THEOREM (ERDŐS, SZEKERES 1935)

Every sequence of  $n^2 + 1$  distinct numbers contains a monotone subsequence of size n + 1.



(2,1,4,3,5)

#### Permutations

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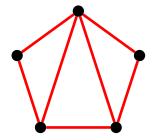
A triangle-free graph contains at most  $\frac{1}{4}n^2$  edges.

#### PROBLEM

Maximize a graph parameter (# of edges) over a class of graphs (triangle-free).

- local condition and global parameter
- threshold
- bound and extremal example

# Edge-colored graphs

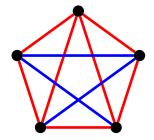


A graph on 5 vertices.

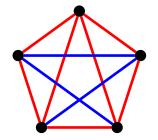
More automatic approa

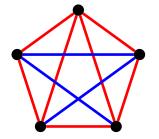
PERMUTATIONS

# Edge-colored graphs

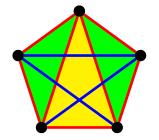


A 2-edge-colored complete graph  $K_5$  on 5 vertices.

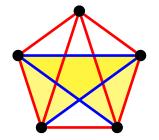


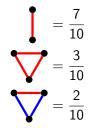


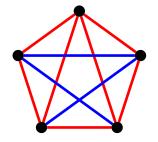


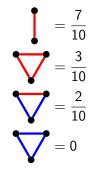






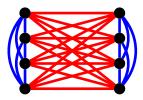


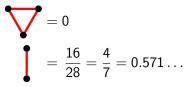


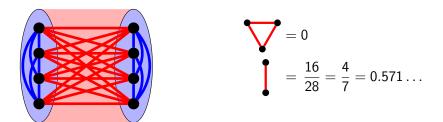


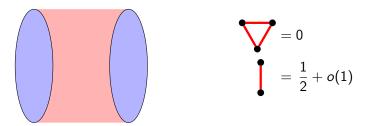












## FLAG ALGEBRAS

Seminal paper:

A. Razborov, Flag Algebras, *Journal of Symbolic Logic* **72** (2007), 1239–1282.

David P. Robbins Prize by AMS for Razborov in 2013

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Applications to oriented graphs, hypergraphs, crossing number of graphs, geometry,...

THEOREM (HATAMI, HLADKÝ, KRÁL, NORINE, RAZBOROV 2011; GRZESIK 2011)

The number of  $C_5$ 's in a triangle-free graph on n vertices is at most  $(n/5)^5$ .



Let G be a 2-edge-colored complete graph on n vertices.



The probability that three random vertices in G span a red triangle.

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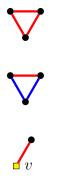


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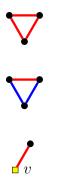


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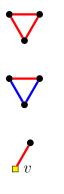
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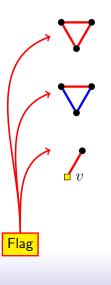


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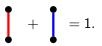
$$+ = 1$$

$$Type \text{ is a flag induced by labeled vertices}$$

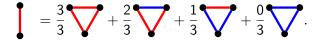
#### Let G be a 2-edge-colored complete graph on n vertices. Then

$$\mathbf{\nabla} + \mathbf{\nabla} + \mathbf{\nabla} + \mathbf{\nabla} = 1.$$

Same kind as



#### Let G be a 2-edge-colored complete graph on n vertices. Then



Expanded version where pictures mean graphs:

$$P\left( \prod \text{ in } G\right) = P\left( \prod \text{ in } \bigvee\right) \cdot P\left( \bigvee \text{ in } G\right) + P\left( \prod \text{ in } \bigvee\right)$$

Let G be a 2-edge-colored complete graph on n vertices. Then

$$\bigvee_{v} \times \bigvee_{v} = \bigvee_{v}^{2} + o(1) = \bigvee_{v} + \bigvee_{v} + o(1)$$

# o(1) as $|V(G)| ightarrow \infty$ (will be omitted on next slides)

Let G be a 2-edge-colored complete graph on n vertices. Then

$$v \times v = v + o(1) = v + v + o(1)$$

$$v \times v = \frac{1}{2} v + o(1) = \frac{1}{2} v + o(1)$$

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 $v \times v$ : The probability that choosing two vertices  $u_1, u_2$ other than v gives red  $vu_1$  and blue  $vu_2$ .

The probability that choosing two different vertices  $u_1, u_2$ other than v gives one of  $vu_1$  and  $vu_2$  is red and the other is blue. o(1) as  $|V(G)| \to \infty$  (will be omitted on next slides)

#### FLAG ALGEBRAS IDENTITIES

$$\frac{1}{3} \bigvee = \frac{1}{|V(G)|} \sum_{v \in V(G)} \bigvee_{v}^{v}$$

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$$\bigvee \binom{n}{3} = \sum_{v \in V(G)} \bigvee_{v} \binom{n-1}{2}$$

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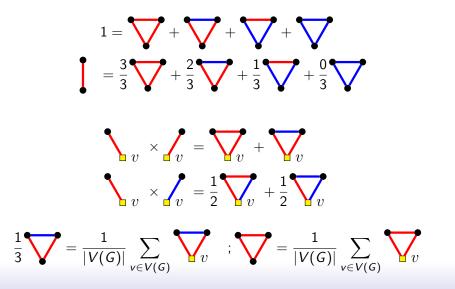
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Permutations

## IDENTITIES SUMMARY



## First try for Mantel's theorem

- How to use the equations to prove something
- Gives bounds as well as helps with extremal examples

# EXAMPLE - MANTEL'S THEOREM, 1ST TRY THEOREM (MANTEL 1907)

Permutations

# Example - Mantel's Theorem, 1st try Theorem (Mantel 1907)

A triangle-free graph contains at most  $\frac{1}{4}n^2$  edges.

Assume edges are red and non-edges are blue

Assume 
$$\bigvee$$
 = 0. (We want to conclude

 $\leq \frac{1}{2}$ .)

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$$0 \leq \left(1-2 \bigcup_{v}^{\bullet} v\right)^2$$

Permutations

# EXAMPLE - MANTEL'S THEOREM, 1ST TRY THEOREM (MANTEL 1907)

. Assume 
$$\mathbf{v} = 0$$
. (We want to conclude  $\leq \frac{1}{2}$ .)  
 $0 \leq \left(1 - 2 \mathbf{v} \right)^2 = \left(1 - 4 \mathbf{v} + 4 \mathbf{v} + 4 \mathbf{v} \right)$ 

$$\bigvee_{v} \times \bigvee_{v} = \bigvee_{v} + \bigvee_{v}$$

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Assume 
$$= 0.$$
 (We want to conclude  $\leq \frac{1}{2}$ .)  
 $0 \leq \frac{1}{n} \sum_{v} \left( 1 - 2 \int_{v}^{\bullet} v \right)^{2} = \frac{1}{n} \sum_{v} \left( 1 - 4 \int_{v}^{\bullet} v + 4 \bigvee_{v}^{\bullet} v + 4 \bigvee_{v}^{\bullet} v \right)$ 

PERMUTATIONS

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$$0 \leq \frac{1}{n} \sum_{v} \left( 1 - 2 \right)_{v} = \frac{1}{n} \sum_{v} \left( 1 - 4 \right)_{v} + 4 \bigvee_{v} + 4 \bigvee_{v}$$
$$= 1 - 4 \qquad + \frac{4}{3} \bigvee_{v} + 4 \bigvee_{v}$$

$$\frac{1}{3} \bigvee = \frac{1}{|V(G)|} \sum_{v \in V(G)} \bigvee_{v} = \frac{1}{|V(G)|} \sum_{v \in V(G)} \bigvee_{v}$$

Permutations

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$$= 1 - 4 \qquad + \frac{4}{3} + 4 \bigg|_{v}$$

$$= \frac{2}{3} \mathbf{\nabla} + \frac{1}{3} \mathbf{\nabla} + \mathbf{\nabla}$$

PERMUTATIONS

# EXAMPLE - MANTEL'S THEOREM, 1ST TRY THEOREM (MANTEL 1907)

Assume 
$$4 = 0$$
. (We want to conclude  $4 = \frac{1}{2}$ .)  
 $0 \le \frac{1}{2} \sum_{n=1}^{\infty} (1-2)^{n} = \frac{1}{2} \sum_{n=1}^{\infty} (1-4)^{n} + 4 4 4 4 4$ 

$$= n \frac{2}{v} \left( \frac{1}{2}v \right) \quad n \frac{2}{v} \left( \frac{1}{2}v \right) \quad \forall v$$

$$= \frac{2}{3} + \frac{1}{3}$$

Permutations

# EXAMPLE - MANTEL'S THEOREM, 1ST TRY THEOREM (MANTEL 1907) A triangle-free graph contains at most $\frac{1}{4}n^2$ edges. Assume edges are red and non-edges are blue Assume $\nabla = 0$ . (We want to conclude $\leq \frac{1}{2}$ .) $0 \leq \frac{1}{n} \sum_{v} \left( 1 - 2 \int_{v}^{\bullet} v \right)^{2} = \frac{1}{n} \sum_{v} \left( 1 - 4 \int_{v}^{\bullet} v + 4 \bigvee_{v}^{\bullet} v + 4 \bigvee_{v}^{\bullet} v \right)$ = 1 - 4 $+ \frac{4}{3}$ = 1 - 2 $-\frac{2}{3}$ $2 \qquad = \frac{4}{3} \checkmark + \frac{2}{3} \checkmark$

Permutations

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Assume 
$$\checkmark = 0$$
 and  $\downarrow = \frac{1}{2}$ . Goal is  $G = \bigcirc$   
 $0 \le 1 - 2 \qquad -\frac{2}{3} \qquad 0 \le -\frac{2}{3} \qquad 0 \le -\frac{2}{3} \qquad 0 \le -\frac{2}{3} \qquad 0$   
Dnly  $\checkmark$  and  $\checkmark$  appear.

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- limit object function q: all finite 2-edge-colored graphs  $\rightarrow [0, 1]$
- q yields homomorphism from linear combinations of graphs to  $\mathbb{R}$
- the set of limit objects LIM = homomorphisms  $q: q(F) \ge 0$

• we optimize on  $\mathsf{LIM}^{\mathrm{T}} = \left\{ q \in \mathsf{LIM} : q\left( \bigvee \right) = 0 \right\}$  $\frac{1}{2} \ge \max_{q \in I \text{ IM}^{\mathrm{T}}} q \left( \prod_{l=1}^{r} \right)$ 

#### More automatic approach

• How to use computer to guess the right equation for you.

$$0 \leq \left(1 - 2 \int_{v}^{\bullet} v\right)^2$$

## Example - Mantel's Theorem, 2nd Try

#### THEOREM (MANTEL 1907)

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Assume edges are red and non-edges are blue.

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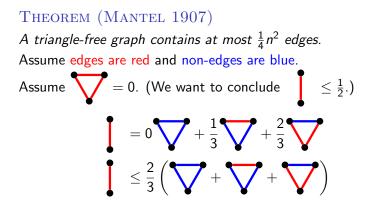
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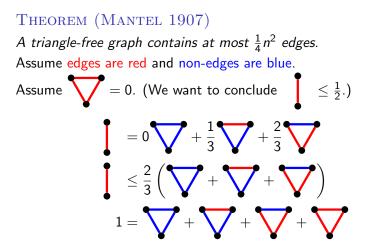
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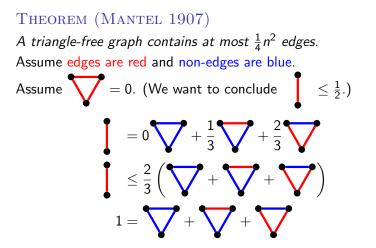
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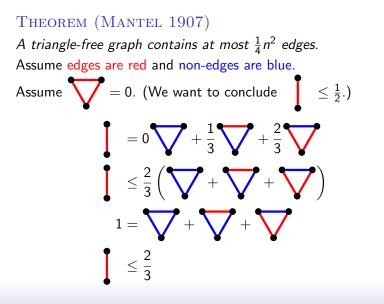
Assume = 0. (We want to conclude  $\leq \frac{1}{2}$ .)

THEOREM (MANTEL 1907) A triangle-free graph contains at most  $\frac{1}{4}n^2$  edges. Assume edges are red and non-edges are blue. Assume = 0. (We want to conclude  $\leq \frac{1}{2}$ .)  $= 0 + \frac{1}{3} + \frac{2}{3} + \frac{2}{3}$ 









Assume 
$$= 0.$$
 (We want to conclude  $\leq \frac{1}{2}$ .)  
$$= 0 + \frac{1}{3} + \frac{2}{3} +$$

Assume 
$$= 0.$$
 (We want to conclude  $\leq \frac{1}{2}.$ )

$$= 0 \mathbf{\nabla} + \frac{1}{3} \mathbf{\nabla} + \frac{2}{3} \mathbf{\nabla}$$

Idea: find  $c_1, c_2, c_3 \in \mathbb{R}$  such that for every graph G

$$0 \leq c_1 \mathbf{V} + c_2 \mathbf{V} + c_3 \mathbf{V}$$

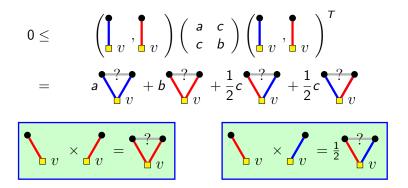
# Example - Mantel's Theorem, 2nd Try Assume = 0. (We want to conclude $\leq \frac{1}{2}$ .) $= 0 + \frac{1}{3} + \frac{2}{3}$ Idea: find $c_1, c_2, c_3 \in \mathbb{R}$ such that for every graph G $0\leq c_1 \vee + c_2 \vee + c_3 \vee .$ After summing together $\leq c_1 \bigvee + \left(\frac{1}{3} + c_2\right) \bigvee + \left(\frac{2}{3} + c_3\right) \bigvee$ and $\leq \max\left\{ (0+c_1), \frac{1}{3}+c_2, \frac{2}{3}+c_3 \right\}.$

EXAMPLE - MANTEL'S THEOREM, 2ND TRY  
Assume 
$$\checkmark = 0$$
. (We want to conclude  $\checkmark \leq \frac{1}{2}$ .)  
 $\checkmark = 0 \checkmark + \frac{1}{3} \checkmark + \frac{2}{3} \checkmark$   
Idea: find  $c_1, c_2, c_3 \in \mathbb{R}$  such that for every graph  $G$   
 $0 \leq c_1 \checkmark + c_2 \checkmark + c_3 \checkmark$ .  
After summing together  
 $\checkmark \leq c_1 \checkmark + (\frac{1}{3} + c_2) \checkmark + (\frac{2}{3} + c_3) \checkmark$   
and  
 $\checkmark \leq \max \left\{ (0 + c_1), \frac{1}{3} + c_2, \frac{2}{3} + c_3 \right\}$ 

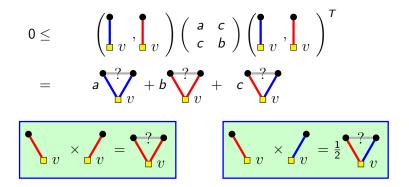
$$\left(\begin{array}{cc} a & c \\ c & b \end{array}\right) \succcurlyeq 0 \text{ (matrix is positive semidefinite}$$

$$0 \leq \left( \left[ \begin{array}{c} \bullet \\ v \end{array}, \begin{array}{c} \bullet \\ v \end{array} \right] \right) \left( \begin{array}{c} \bullet \\ c \end{array} \right) \left( \left[ \begin{array}{c} \bullet \\ v \end{array}, \begin{array}{c} \bullet \\ v \end{array} \right] \right)^{T}$$

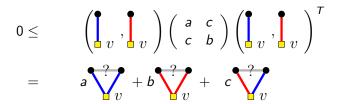
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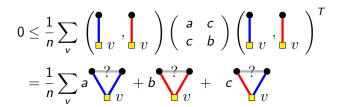
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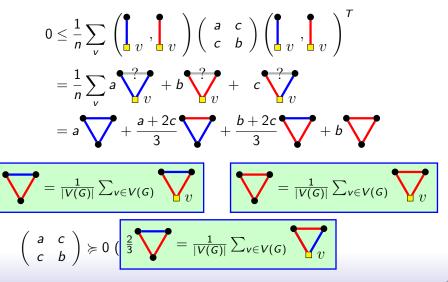
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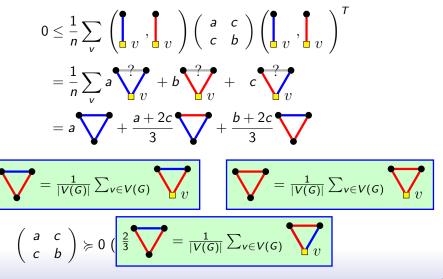


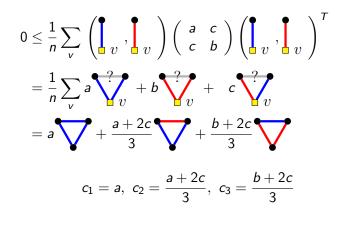
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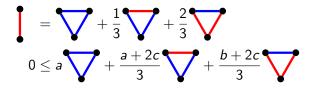
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 $\left(\begin{array}{cc} a & c \\ c & b \end{array}\right) \succcurlyeq 0 \text{ (matrix is positive semidefinite)}$ 



$$\left(\begin{array}{cc} a & c \\ c & b \end{array}\right) \succcurlyeq 0 \text{ (matrix is positive semidefinite}$$

$$= \mathbf{v} + \frac{1}{3}\mathbf{v} + \frac{2}{3}\mathbf{v}$$
$$0 \le \mathbf{a}\mathbf{v} + \frac{\mathbf{a} + 2c}{3}\mathbf{v} + \frac{\mathbf{b} + 2c}{3}\mathbf{v}$$

$$\left(\begin{array}{cc} a & c \\ c & b \end{array}\right) \succcurlyeq 0 \text{ (matrix is positive semidefinite}$$

$$= \mathbf{\nabla} + \frac{1}{3}\mathbf{\nabla} + \frac{2}{3}\mathbf{\nabla}$$
$$0 \le a\mathbf{\nabla} + \frac{a+2c}{3}\mathbf{\nabla} + \frac{b+2c}{3}\mathbf{\nabla}$$

$$\leq \max\left\{a,\frac{1+a+2c}{3},\frac{2+b+2c}{3}\right\}.$$

$$\left(\begin{array}{cc} a & c \\ c & b \end{array}\right) \succcurlyeq 0 \text{ (matrix is positive semidefinite}$$

$$= \bigvee_{a} + \frac{1}{3} \bigvee_{a} + \frac{2}{3} \bigvee_{a}$$

$$0 \le a \bigvee_{a} + \frac{a + 2c}{3} \bigvee_{a} + \frac{b + 2c}{3} \bigvee_{a}$$

$$\int_{a} \le \max \left\{ a, \frac{1 + a + 2c}{3}, \frac{2 + b + 2c}{3} \right\}.$$
Try
$$\begin{pmatrix} a & c \\ c & b \end{pmatrix} = \begin{pmatrix} 1/2 & -1/2 \\ -1/2 & 1/2 \end{pmatrix}.$$

$$= \bigvee_{a} + \frac{1}{3} \bigvee_{a} + \frac{2}{3} \bigvee_{a}$$

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It gives
$$\int_{a} \le \max \left\{ \frac{1}{2}, \frac{1}{6}, \frac{1}{2} \right\} = \frac{1}{2}.$$

# OPTIMIZING *a*, *b*, *c*

$$\leq \max\left\{a, \frac{1+a+2c}{3}, \frac{2+b+2c}{3}\right\}$$

$$(SDP) \begin{cases} \text{Minimize } d \\ \text{subject to } a \leq d \\ \frac{1+a+2c}{3} \leq d \\ \frac{2+b+2c}{3} \leq d \\ \begin{pmatrix} a & c \\ c & b \end{pmatrix} \geq 0 \end{cases}$$

(*SDP*) can be solved on computers using CSDP or SDPA. Rounding may be needed for exact results.





P. Hu

**E** 

J. Balogh

L.

## Permutations



O. Pikhurko







J. Volec

# PERMUTATIONS AND EXTREMAL PROBLEMS

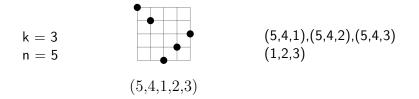
#### Problem

What is the minimum number of monotone subsequences of size k in a permutation of [n]?

# PERMUTATIONS AND EXTREMAL PROBLEMS

#### Problem

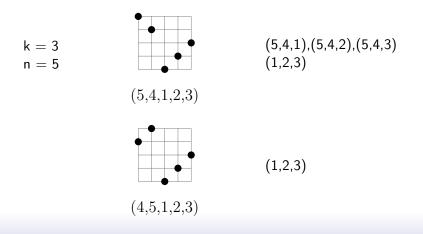
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# PERMUTATIONS AND EXTREMAL PROBLEMS

#### Problem

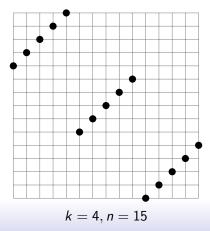
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# Conjecture

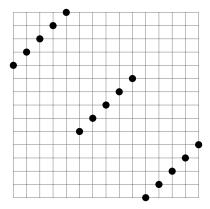
## Conjecture (Myers 2002)

The number of monotone subsequences of length k is minimized by a permutation on [n] with k - 1 increasing runs of as equal lengths as possible.

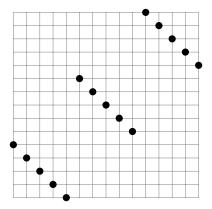


Permutations

## EXTREMAL CASE IS NOT UNIQUE

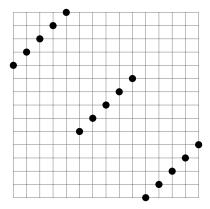


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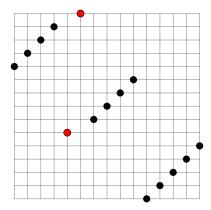


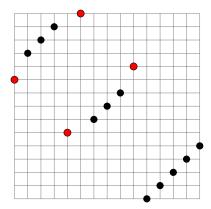
Permutations

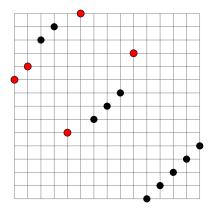
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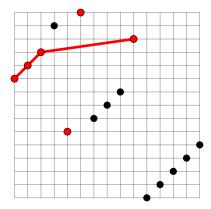


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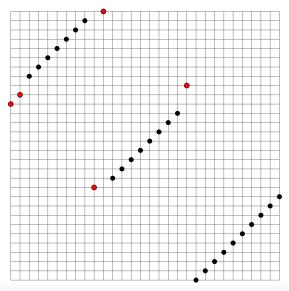








# EXTREMAL CASE IS NOT UNIQUE



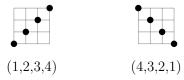
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The number of monotone subsequences of length k is minimized by a permutation on [n] with k - 1 increasing runs of as equal lengths as possible.

#### THEOREM (SAMOTIJ, SUDAKOV '14+)

Myers' conjecture is true for sufficiently large k and  $n \le k^2 + ck^{3/2} \log k$ , where c is an absolute positive constant.

THEOREM (BALOGH, HU, L., PIKHURKO, UDVARI, VOLEC '14+) Myers' conjecture is true for k = 4 and n sufficiently large.



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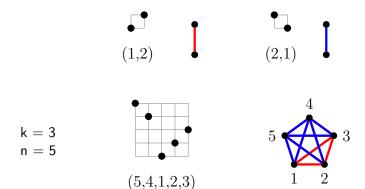


Use of flag algebras, k = 5, 6 also doable, 7 not.

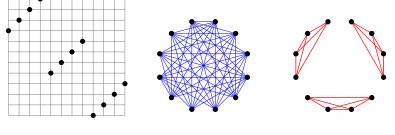
# FROM PERMUTATIONS TO PERMUTATION GRAPHS

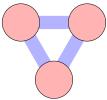


# FROM PERMUTATIONS TO PERMUTATION GRAPHS



# EXTREMAL EXAMPLE (k = 4)





PERMUTATIONS

# As flag algebra question (k = 4)



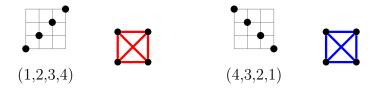




(4, 3, 2, 1)

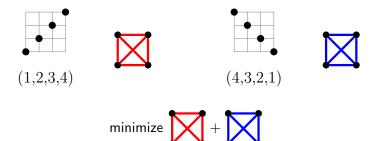
PERMUTATIONS

# As flag algebra question (k = 4)



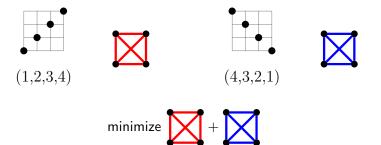
PERMUTATIONS

# As flag algebra question (k = 4)



#### Permutations

# As flag algebra question (k = 4)



THEOREM (BALOGH, HU, L., PIKHURKO, UDVARI, VOLEC '14+)

$$\boxed{} + \boxed{} \geq \frac{1}{27}$$

for every permutation graph.

#### Permutations

# ONLY FOR PERMUTATION GRAPHS

THEOREM (BALOGH, HU, L., PIKHURKO, UDVARI, VOLEC '14+)

$$\min\left(\left| \underbrace{\mathbf{M}}_{\mathbf{k}} + \underbrace{\mathbf{M}}_{\mathbf{k}} \right| \right) = \frac{1}{27}$$

over permutation graphs (and extremal permutations described using Myers' results).

#### ONLY FOR PERMUTATION GRAPHS

THEOREM (BALOGH, HU, L., PIKHURKO, UDVARI, VOLEC '14+)

$$\min\left(\left| \underbrace{\mathbf{X}} + \underbrace{\mathbf{X}} \right| \right) = \frac{1}{27}$$

over permutation graphs (and extremal permutations described using Myers' results).

THEOREM (SPERFELD '12; THOMASON '89)

$$\frac{1}{35} < \min\left(\left| \underbrace{\mathbf{M}} + \underbrace{\mathbf{M}} \right| \right) < \frac{1}{33}$$

over all sufficiently large 2-edge-colored complete graphs.

 $\boxed{} + \boxed{} \geq \frac{1}{27}$ 

 $\mathbf{X} + \mathbf{X} \geq \frac{1}{27}$ 

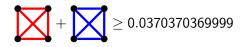
• Write a semidefinite program (*SDP*) (with graphs on 7 vertices, 388 constraints).

+  $\geq \frac{1}{27}$ 

- Write a semidefinite program (*SDP*) (with graphs on 7 vertices, 388 constraints).
- Solve (SDP) using a computer, obtain  $M' \in \mathbb{R}^{f \times f}$ .

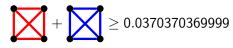
$$\mathbf{X} + \mathbf{X} \geq \frac{1}{27} = 0.\overline{037}$$

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• Round M' to  $M \in \mathbb{Q}^{f imes f}$ , such that

$$\mathbf{X} + \mathbf{X} \geq \frac{1}{27}$$

and  $M \geq 0$ .

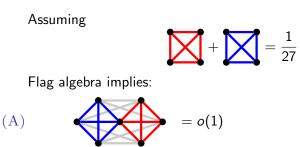
# STRUCTURE OF EXTREMAL PERMUTATIONS

Assuming

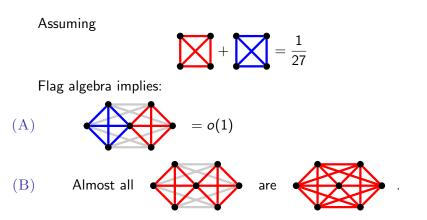
 $\mathbf{X} + \mathbf{X} = \frac{1}{27}$ 

Flag algebra implies:

# STRUCTURE OF EXTREMAL PERMUTATIONS



# STRUCTURE OF EXTREMAL PERMUTATIONS



# AFTER FLAG ALGEBRA (STABILITY)

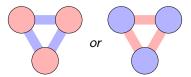
"X + X is close to  $\frac{1}{27} \Rightarrow G$  is close to G or G or

# Lemma (Stability)

For every  $\varepsilon > 0$  there exist  $n_0$  and  $\varepsilon' > 0$  such that every admissible graph G of order  $n > n_0$  with

$$\mathbf{X} + \mathbf{X} \leq \frac{1}{27} + \varepsilon'$$

is isomorphic to either



after recoloring at most  $20\varepsilon n^2$  edges.

AFTER FLAG ALGEBRA (STABILITY SKETCH)

"
$$X + X$$
 is close to  $\frac{1}{27} \Rightarrow G$  is close to  $G$  or  $G$ 

 Using removal lemma, properties (A) and (B) can be satisfied entirely. (lost εn<sup>2</sup> edges) AFTER FLAG ALGEBRA (STABILITY SKETCH)

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- For all  $v \in V(G) \setminus X$ , where  $|X| \leq 2\varepsilon n$  vertices

$$\frac{1}{27} - \varepsilon \leq \bigvee_{v} + \bigvee_{v} \leq \frac{1}{27} + \varepsilon'' \tag{1}$$

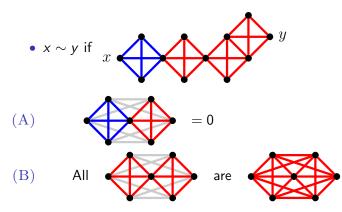
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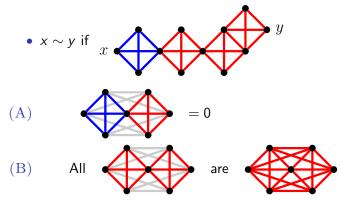
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$$\frac{1}{27} - \varepsilon \leq \mathbf{x} + \mathbf{x} \leq \frac{1}{27} + \varepsilon''$$
(1)
$$x \sim y \text{ if } x \quad \mathbf{y} = \frac{1}{27} + \varepsilon''$$

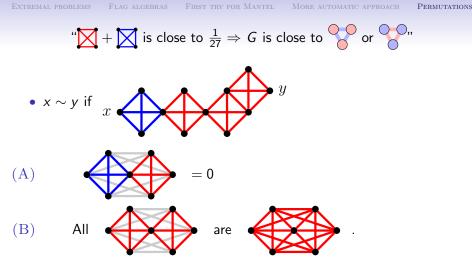
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• Every equivalence class is a monochromatic clique.



• Every equivalence class is a monochromatic clique.

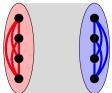
• There are three equivalence classes of size  $\frac{1}{3}n \pm 16\varepsilon n$  by (1).

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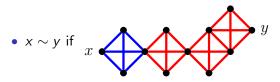
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- The classes have the same color

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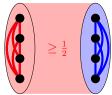
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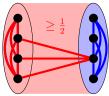


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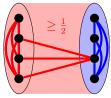
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Exact result: By recoloring edges.

Other permutations - maximizing 1342 and 2413

$$0.19657 \le \sigma(1342) \le 2/9 = 0.22222...$$
 AAHHS  
 $\sigma(1342) \le 0.1988373$  BHLPUV

$$51/511 = 0.0998 \ldots \le \sigma(2413) \le 2/9 = 0.22222$$
 AAHHS  
 $0.1024732 \le \sigma(2413)$  P  
 $0.10472 \ldots \le \sigma(2413)$  PS  
 $\sigma(2413) \le 0.1047805$  BHLPUV

AAHHS ... Albert, Atkinson, Handley, Holton, Stromquist 2002 P... Presutti 2008 PS... Presutti, Stromquist 2010 BHLPUV... us

# Thank you for your attention!