

# 1 Introduction

**Definition 1.1.** Let  $G$  be a graph. A *matching* in  $G$  is a set  $M \subseteq E(G)$  so that for any pair of edges  $e_1, e_2 \in M$ ,  $e_1$  and  $e_2$  have no common endpoint (i.e.  $e_1 \cap e_2 = \emptyset$ ). A matching  $M$  is *perfect* if  $2|M| = |V(G)|$ .

**Definition 1.2.** Given a graph  $G$ ,  $\Phi(G)$  is the number of perfect matchings in  $G$ . A graph  $G$  is *saturated* if for every edge  $e \in E(\overline{G})$ ,  $\Phi(G + e) > \Phi(G)$ .

There are two main structural theorems in the theory of matchings:

1. **The Edmonds–Gallai Structure Theorem** specializes in the structure of graphs with no perfect matchings. It says nothing about graphs with perfect matchings (two of the three parts of the given partition are empty).
2. **The Lovász Cathedral Theorem** specializes in the structure of saturated graphs with perfect matchings.

Note that while the Edmonds–Gallai structure theorem gives essentially no information on a graph with perfect matchings, there is a graph lurking below the surface with no perfect matchings:  $G - v$  for any vertex  $v \in V(G)$ . The Lovász Cathedral Theorem uses the Edmonds–Gallai structure of these subgraphs extensively in the proofs.

Our goal is to develop a working knowledge of the definitions and statements of the Lovász Cathedral Theorem in order to apply it to extremal problems on perfect matchings. Hence, we shall not state the definitions in their full generality and will not prove the theorem itself. An interested reader is directed to Chapters 3 and 5 of Lovász and Plummer’s *Matching Theory*, the standard reference in this topic. These notes are based on that book and we borrow its notation for consistency.

## 1.1 An Introductory Matching Problem

**Problem 1.3.** Let  $n, p$  be integers (assume  $n$  is even). Define

$$f(n, p) = \max\{|E(G)| : |V(G)| = n, \Phi(G) = p\}.$$

That is,  $f(n, p)$  is the maximum number of edges in a graph on  $n$  vertices with exactly  $p$  perfect matchings.

**Theorem 1.4** (Heteyi).  $f(n, 1) = \frac{n^2}{4}$ .

We shall only prove the lower bound at the moment.

*Proof that  $f(n, 1) \geq \frac{n^2}{4}$ .* Note that if  $n = 2$ ,  $K_2$  has exactly one perfect matching and  $\frac{2^2}{4} = 1$  edge. Proceed by induction on even  $n$ .

If  $G$  is a graph with one perfect matching on  $n$  vertices with  $\frac{n^2}{4}$  edges, then join  $G$  with a vertex (add an edge from each vertex in  $V(G)$  to a new vertex) and add a leaf at the new vertex. This is given as  $H = (G \cup K_1) \vee K_1$ .  $H$  has  $n + 2$  vertices and  $\frac{n^2}{4} + (n + 1) + 1$  edges, which equals  $\frac{(n+2)^2}{4}$  edges. Moreover, the leaf can only be matched with its only neighbor, so any perfect matching must match vertices in  $V(G)$  with  $V(G)$ , giving the unique perfect matching. ■

Later, we will show a quick proof that this is also the largest number of edges using the Cathedral Theorem.

**Theorem 1.5 (DS).** *For all  $p \geq 1$ , there exist constants  $n_p, c_p$  so that  $-(p - 1)(p - 2) \leq c_p \leq p - 1$  and for all  $n \geq n_p$ ,*

$$f(n, p) = \frac{n^2}{4} + c_p.$$

There are multiple points to this theorem, but the most important is that as  $n$  increases, the difference between  $f(n, p)$  and  $\frac{n^2}{4}$  can only increase.

**Claim 1.5.1.**  $f(n + 2, p) \geq \frac{(n+2)^2}{4} + \left(f(n, p) - \frac{n^2}{4}\right)$

*Proof.* Let  $G$  have  $n$  vertices and  $f(n, p)$  edges. Set  $c_{n,p} = f(n, p) - \frac{n^2}{4}$ . Consider  $H = (G \cup K_1) \vee K_1$ .  $H$  has  $n + 2$  vertices and  $f(n, p) + n + 1$  edges. This works out to be  $\frac{(n+2)^2}{4} - \frac{n^2}{4} + f(n, p) = \frac{(n+2)^2}{4} + c_{n,p}$  edges. ■

Since  $c_{n,p}$  is an increasing sequence in  $n$ , but is bounded above by  $p - 1$ , we know it has a limit. This is the value  $c_p$ . Dudek and Schmitt conjectured that this constant is positive for  $p \geq 2$ . We answered this *positively*.

**Theorem 1.6 (HSWY).** *Let  $p \geq 2$  be a constant. Then, for all  $n \geq 2\lfloor \log_2 p \rfloor + 2$ ,  $c_{n,p} \geq \text{wt}_2(p - 1)$  (where  $\text{wt}_2(m)$  is the number of 1s in the binary expansion of an integer  $m$ ).*

*In particular,  $c_p \geq \text{wt}_2(p - 1) \geq 1$ .*

There are many open questions on this problem, including:

1. Is  $c_p$  monotone in  $p$ ?
2. What is the maximum growth rate of  $c_p$ ?
3. What is the growth rate of the minimum  $n_p$ ?

## 2 Saturated and Elementary Graphs

**Definition 2.1.** An edge is

- *forbidden* if it is not contained in any perfect matching.
- *allowable* if it is contained in some perfect matching.
- *vital* if it is contained in every perfect matching.

**Definition 2.2.** A connected graph is

- *1-extendable* if every edge is allowable;
- *elementary* if the set of allowable edges is connected;
- *saturated* if adding any edge increases the number of perfect matchings.

If  $\Phi(G) > 0$ , then there are allowable edges in  $G$ . The components given by the subgraph of allowable edges induce the maximal elementary subgraphs of  $G$ . The Cathedral Theorem will take a saturated graph  $G$ , split it into its maximal elementary subgraphs and then control the way they are connected.

Note that if  $\Phi(G) > 0$  but  $G$  is not saturated, there exists a saturated supergraph  $G' \supset G$  so that  $\Phi(G') = \Phi(G)$  by adding edges as long as they do not increase the number of perfect matchings. If  $G$  has  $f(|V(G)|, \Phi(G))$  edges, it is automatically saturated.

## 2.1 Barriers

**Theorem 2.3** (Tutte's Theorem). *A graph  $G$  has a perfect matching if and only if for every set  $S \subseteq V(G)$ , the number of odd components in  $G - S$  is at most the number of vertices in  $S$ . That is,  $c_o(G - S) \leq |S|$ .*

The intuition of Tutte's Theorem is that odd components cannot have perfect matchings themselves, so they must be matched with vertices in  $S$ . If  $S$  is too small, then no perfect matching can exist.

This gives a *certificate* of lacking a perfect matching: a *Tutte set* is a set  $S \subseteq V(G)$  so that  $c_o(G - S) > |S|$ . If  $\Phi(G) > 0$ , then we have no Tutte sets, but we can consider the sets which are as close as possible to such a set.

**Definition 2.4.** If  $G$  has at least one perfect matching, a *barrier* is a set  $X \subseteq V(G)$  so that the number of odd components in  $G - X$  is equal to the number of vertices in  $X$ .

**Lemma 2.5.** *Given a graph  $G$  with  $\Phi(G) > 0$ , the set of maximal barriers partitions the vertex set. This partition is denoted  $\mathcal{P}(G)$ .*

**Proposition 2.6.** *If  $G$  is a saturated graph, each barrier  $S \in \mathcal{P}(G)$  is a clique in  $G$ .*

*Proof.* All of the perfect matchings in  $G$  must match  $S$  to a vertex in an odd component of  $G - S$ . Adding missing edges between vertices of  $S$  does not change the connectivity of  $G - S$ , and hence does not increase the number of perfect matchings. ■

## 3 The Cathedral Construction

**Definition 3.1** (The Cathedral Construction). Let  $G_0$  be a saturated elementary graph. Let  $\mathcal{P}(G_0) = \{S_1, \dots, S_k\}$  and denote  $G_{S_i}$  as a (possibly null) saturated graph associated with the barrier  $S_i \in \mathcal{P}(G_0)$ . The *cathedral* given by  $G_0, G_{S_1}, \dots, G_{S_k}$  is the graph given by the disjoint union of  $G_0 \cup \bigcup_{i=1}^k G_{S_i}$  and edges added between each vertex of  $S_i$  to each vertex of  $G_{S_i}$  for each  $i \in \{1, \dots, k\}$ .

The graph  $G_0$  is called the *foundation*. The graphs  $G_{S_i}$  are the *towers*.

**Theorem 3.2** (Lovász’s Cathedral Theorem). *A graph  $G$  is saturated if and only if there is a saturated elementary graph  $G_0$  and a saturated graph  $G_S$  for each  $S \in \mathcal{P}(G_0)$  so that  $G$  is isomorphic to the Cathedral Construction given by these graphs. Moreover, the graph  $G_0$  is uniquely determined by the Edmonds–Gallai structure theorem of graphs  $G - v$ .*

Note that this theorem implies that the graphs  $G_S$  are cathedrals themselves, giving a recursive structure.

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We will not prove that every saturated graph is of this type, but we shall prove that every cathedral is itself saturated.

*Proof.* Let  $G_0$  be saturated elementary graph with a tower  $G_S$  for each  $S \in \mathcal{P}(G_0)$  and  $G$  is the resulting cathedral. Use induction to guarantee that  $G_S$  is itself a cathedral.

**Claim 3.2.1.** *All allowed edges in the cathedral are in the elementary subgraphs.*

Note that for each  $S \in \mathcal{P}(G_0)$ ,  $c_o(G_0 - S) = |S|$ . This holds also for  $c_o(G - S)$ , since  $S$  cuts  $G_0$  into components which are connected to some number of towers (which are even graphs themselves) and cuts  $G_S$  into its own connected component.

Now, all vertices in  $S$  must be matched to vertices of odd components in  $G - S$ , but these edges must be in  $G_0$ . So, all vertices in  $G_0$  are matched to vertices in  $G_0$  and also all matchings on  $G_S$  are matched within  $G_S$  (by induction gives that the edges are within the elementary parts).

**Claim 3.2.2.**  $\Phi(G) = \Phi(G_0) \cdot \prod_{S \in \mathcal{P}} \Phi(G_S)$

Since the matchings are independently chosen from the foundation and the towers, they multiply.

**Claim 3.2.3.** *If  $e \in E(\overline{G})$ ,  $\Phi(G + e) > \Phi(G)$ .*

If  $e$  is added within a tower  $G_S$  (which is saturated), we have  $\Phi(G_S + e) > \Phi(G_S)$  so  $\Phi(G + e) > \Phi(G)$ . Similarly if  $e$  is added to  $G_0$ .

We can assume by induction that  $e$  is added between towers or between a vertex  $x$  of  $G_0$  and a tower  $G_S$  so that  $x \notin S$ . At minimum, we know that one endpoint of  $e$  is in a tower  $G_S$  and the other endpoint is not in  $S$ . Let  $M$  be a matching in  $G$ .

There is an  $M$ -alternating path from the endpoints of  $e$  that takes an edge in each level of the cathedral then goes down a level until it reaches  $G_0$ , then travels between barriers using an  $M$ -alternating path. By adding  $e$  to this path, we have an  $M$ -alternating cycle. Take the perfect matching given by  $M$  but swapping the  $M$ -edges in the cycle with the others, making a new perfect matching.

Therefore,  $G$  is saturated. ■

**Corollary 3.3.** *If  $G$  is saturated with exactly one perfect matching, then the foundation of the cathedral is a single edge.*

*Proof.*  $1 = \Phi(G) = \Phi(G_0) \cdot \prod_{S \in \mathcal{P}(G_0)} \Phi(G_S)$ . Since  $\Phi(G_0) = 1$ , the allowable edges of  $G_0$  are given by the single perfect matching. But these edges should yield a connected graph! Thus,  $G_0 = K_2$ . ■

**Lemma 3.4** (HSWY). *Fix  $n, p$  and let  $G$  be a graph with  $n$  vertices,  $p$  perfect matchings, and  $f(n, p)$  edges. Then, the Cathedral structure of  $G$  has a single tower of elementary graphs  $G_0, G_1, \dots, G_\ell$  where the barrier chosen in  $G_i$  has maximum size in  $\mathcal{P}(G_i)$ .*

*Proof.* Let  $I$  be an index set on the elementary subgraphs of  $G$ , where  $G_i$  denotes the elementary subgraph for  $i \in I$ . Let  $S_i$  be a maximum-order barrier in  $G_i$ . There is a partial-order  $\leq$  on  $I$  given by  $i \leq j$  if  $G_j$  is in a tower above  $G_i$ . Note that the poset  $(I, \leq)$  is a tree with a root.

Let  $\leq'$  be a total order on  $I$  that extends  $\leq$ . Replace  $G$  by the graph  $G'$ , defined as

$$G' = \cup_{i \in I} G_i + \cup_{\substack{i \leq' j \\ i \neq j}} E(S_i \vee G_j).$$

Note that  $G'$  has at least as many edges as  $G$ , since the edges in each  $G_i$  have not changed, and for every  $i \leq j$ , the number of edges joining a barrier of  $G_i$  to  $G_j$  in  $G$  is at most the number of edges joining  $S_i$  to  $G_j$  in  $G'$ . Moreover, if  $G$  has more than one tower, there exists an  $\leq$ -incomparable pair  $i, j$  which are now comparable in  $\leq'$  which increases the number of edges. Since  $\Phi(G') = \prod_{i \in I} \Phi(G_i) = \Phi(G)$ , we conclude that  $G$  was not extremal. ■

We are now well-equipped to prove the other inequality in Hetyei's theorem.

*Proof that  $f(n, p) \leq \frac{n^2}{4}$ .* If  $G$  has  $f(n, p)$  edges, then it has a single tower of  $n/2$  copies of  $K_2$  foundations. The barriers of  $K_2$  are the endpoints. Counting the edges gives  $\frac{n^2}{4}$ . ■

While the previous lemma gave us some nice control on the structure of extremal graphs with  $\Phi(G) = p$ , we in fact have even more control, based on the relative sizes of the barriers in each level.

**Lemma 3.5** (HSWY). *Let  $G$  have  $n$  vertices,  $p$  perfect matchings, and  $f(n, p)$  edges. Let  $G_0, G_1, \dots, G_k$  be the elementary subgraphs of  $G$  in order from the foundation to the highest tower. Denote  $n_i = |V(G_i)|$  and  $s_i$  as the order of the maximum-size barrier in  $G_i$ .*

1. *For each pair  $i \leq j$ ,  $\frac{1}{2} \geq \frac{s_i}{n_i} \geq \frac{s_j}{n_j}$ .*
2. *If the set  $\{0, \dots, k\}$  is partitioned by the equivalence  $i \sim j$  if and only if  $\frac{s_i}{n_i} = \frac{s_j}{n_j}$ , then any reordering of the  $G_i$  within each equivalence class gives a graph with the same number of edges.*

*Proof.* Note that the fraction  $\frac{s_i}{n_i}$  is always at most half, since any barrier  $X_i$  in  $G_i$  has  $|X_i| = c_o(G_i - X_i) \leq n(G_i) - |X_i|$ .

Let  $i$  be the smallest  $i$  so that  $\frac{s_i}{n_i} < \frac{s_{i+1}}{n_{i+1}}$  (if this does not occur, then (1) holds). Let  $G'$  be the graph given by the tower  $G_0, \dots, G_{i-1}, G_{i+1}, G_i, G_{i+2}, \dots, G_k$  where the barriers are chosen the same. The only edges that have changed are those between  $G_{i+1}$  and  $G_i$ . There are now  $s_j \cdot n_i > s_i \cdot n_j$  edges, which increases the number of edges from  $G$ . Hence,  $G$  was not extremal.

Note that if  $\frac{s_i}{n_i} = \frac{s_j}{n_j}$ , then  $s_j \cdot n_i = s_i \cdot n_j$ . Hence, the number of edges between  $G_i$  and  $G_j$  does not change if they are reordered in the tower of  $G$ . (2) follows. ■