1	Irreversible 2-conversion set in graphs of bounded degree <sup>*</sup>
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15	Abstract
16	An irreversible k-threshold process (also a k-neighbor bootstrap percolation) is a dy-
17	namic process on a graph where vertices change color from white to black if they have
18	at least k black neighbors. An irreversible k-conversion set of a graph $G$ is a subset
19	S of vertices of $G$ such that the irreversible $k$ -threshold process starting with $S$ black
20	eventually changes all vertices of $G$ to black. We show that deciding the existence of an
21	irreversible 2-conversion set of a given size is NP-complete, even for graphs of maximum
22	degree 4, which answers a question of Dreyer and Roberts. Conversely, we show that for
23	graphs of maximum degree 3, the minimum size of an irreversible 2-conversion set can be
24	computed in polynomial time. Moreover, we find an optimal irreversible 3-conversion set
25	for the toroidal grid, simplifying constructions of Pike and Zou.
26	<b>Keywords:</b> irreversible k-conversion process; spread of infection; bootstrap percolation;
27	NP-complete problem; matroid parity problem; toroidal grid

# 28 1 Introduction

Mathematical modelling of the spread of infectious diseases was recently studied by Roberts [23]
and by Dreyer and Roberts [13]. They used the following model.

Let G = (V, E) be a graph with vertices colored white and black. An *irreversible* k*threshold process* is a process where vertices change color from white to black. More precisely, a white vertex becomes black at time t + 1 if at least k of its neighbors are black at time t.

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An *irreversible k-conversion set* S is a subset of V such that the irreversible *k*-threshold process starting with vertices of S set to black and all other white will result in a graph Gwith all vertices black after finite number of steps.

More general models of spread of infectious diseases and the complexity of the related problems were studied by Boros and Gurwich [9].

A natural question to ask is what is the minimum size of an irreversible k-conversion set in a graph G.

Problem IkCS(G, s):

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**Input:** a graph G and a positive integer s

**Output:** YES if there exists an irreversible k-conversion set of size s in G NO otherwise

<sup>42</sup> Dreyer and Roberts [13] proved that IkCS is NP-complete for every fixed  $k \ge 3$  by an easy <sup>43</sup> reduction from the independent set problem. For k = 1 the problem is trivially polynomial <sup>44</sup> since one black vertex per connected component is necessary and sufficient. Dreyer and <sup>45</sup> Roberts [13] asked what is the complexity of the IkCS problem if k = 2. As the first result of <sup>46</sup> this paper we resolve this open question.

<sup>47</sup> **Theorem 1.** The problem I2CS is NP-complete even for graphs of maximum degree 4.

<sup>48</sup> A subset W of vertices of a graph G = (V, E) is a vertex feedback set if  $V \setminus W$  is acyclic. <sup>49</sup> For 3-regular graphs, the I2CS problem is equivalent to finding a vertex feedback set, which <sup>50</sup> can be solved in polynomial time [25]. We extend this result to graphs of maximum degree 3.

<sup>51</sup> **Theorem 2.** The problem I2CS is polynomially solvable for graphs of maximum degree 3.

Boros and Gurwich [9] proved that if every vertex has its own threshold, then determining 52 the minimum size of the conversion set in graphs of maximum degree 3 is NP-complete. Note 53 that the problem I2CS(G, s) is trivially polynomial if the maximum degree of G is at most 2 54 as a path of length l requires  $\lceil \frac{l+1}{2} \rceil$  black vertices and a cycle of length l requires  $\lceil \frac{l}{2} \rceil$  vertices. 55 We also give a construction of an optimal irreversible 3-conversion set for a toroidal grid 56 T(m,n), which is the Cartesian product of the cycles  $C_m$  and  $C_n$ . Flocchini et al. [14] and 57 Luccio [20] gave lower and upper bounds differing by a linear O(m+n) term; see also [13]. 58 Pike and Zou [22] gave an optimal construction. We present a simpler optimal construction. 59

**Theorem 3.** Let T be a toroidal grid of size  $m \times n$ , where  $m, n \ge 3$ . If n = 4 or m = 4 then T has an irreversible 3-conversion set of size at most  $\frac{3mn+4}{8}$ . Otherwise, T has an irreversible 3-conversion set of size at most  $\frac{mn+4}{3}$ .

Theorems 1 and 3 appeared in our early preprint [17]. When preparing the final version of this paper, we found that Centeno et al. [10] have published a different proof that the problem I2CS is NP-complete. The graph in their construction has maximum degree 11.

Balogh and Pete [6] reported tight asymptotic bounds on the minimum size of an irreversible k-conversion set in the d-dimensional integer grid. Balister, Bollobás, Johnson and Walters [3] obtained more precise bounds for the case  $d + 1 \le k \le 2d$ .

An irreversible k-conversion process is equivalently also called a (k-neighbor) bootstrap percolation. Bootstrap percolation was introduced by Chalupa, Leath and Reich [11] as a model for interactions in magnetic materials. Bootstrap percolation theory is typically

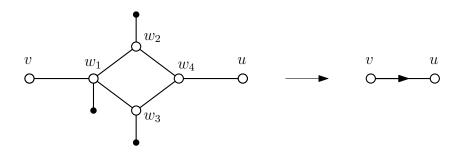


Figure 1: A one-way gadget.

<sup>72</sup> concerned with *d*-dimensional lattices (and in recent years, other classes of graphs as well)
<sup>73</sup> where each vertex is "infected" independently at random with some fixed probability. See [1]

for an early review of the subject or [12] for a recent survey. See [4, 26] for the most recent results for d-dimensional integer grids.

Several authors [7, 21] studied the computational complexity of the minimum number of steps of the bootstrap percolation needed to percolate the whole graph.

Many other variants of bootstrap percolation have been studied in the literature. Examples include hypergraph bootstrap percolation [5] or weak H-saturation of graphs [2, 8].

# <sup>80</sup> 2 Irreversible 2-conversion set is NP-complete

<sup>81</sup> In this section we give a proof of Theorem 1.

The problem is trivially in NP. A verification that  $S \subseteq V$  is an irreversible 2-conversion set can be done by iterating the threshold process. It is enough to check only the first |V|steps. Hence the verification can be done in a polynomial time.

In the rest of the proof we show that I2CS(G, s) is NP-hard by a polynomial-time reduction from 3-SAT. We introduce a variable gadget, a clause gadget and a gadget that checks if all clause gadgets are satisfied.

Since a white vertex needs two black neighbors to become black, we have the following observation.

**Observation 4.** Every irreversible 2-conversion set contains all vertices of degree 1.

According to this observation, in the figures of the gadgets we draw vertices of degree one black.

Let  $\mathcal{F}$  be an instance of 3-SAT. We denote the number of variables by n and the number of clauses by m. We construct a graph  $G_{\mathcal{F}}$  and give a number s such that  $\mathcal{F}$  is satisfiable if and only if  $G_{\mathcal{F}}$  has an irreversible 2-conversion set of size s.

First we introduce a *one-way* gadget; see Figure 1. The gadget contains two vertices uand v which are called *start* and *end* of the one-way gadget. Vertices  $w_1, w_2, w_3$  and  $w_4$  are called *internal* vertices of the gadget.

Observation 5. Let u and v be start and end of the one-way gadget. If internal vertices are white at the beginning then the following holds:

101 1. If v is black then u gets a black neighbor from the gadget in three steps.

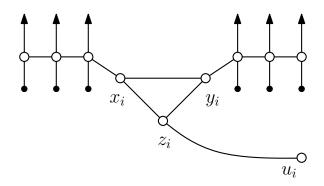


Figure 2: A variable gadget  $g(X_i)$  connected to a vertex  $u_i$  of a distributing path.

102 2. The vertex  $w_4$  can become black only after v becomes black.

We refer to the one-way gadget by a directed edge in the following figures. Later, we set s so that S cannot contain any internal vertices of one-ways. Thus, in the rest of the proof we assume that all internal vertices are white at the beginning.

### 106 2.1 Variable gadget

A gadget  $g(X_i)$  for a variable  $X_i$ , where  $1 \le i \le n$ , consists of a triangle  $x_i y_i z_i$  and two antennas; see Figure 2. The length of the antenna connected to  $x_i$  and  $y_i$  is equal to the number of occurrences of  $X_i$  and  $\neg X_i$ , respectively, in the clauses of  $\mathcal{F}$ . We call the white vertices of the  $x_i$  antenna positive outputs and the vertices of the  $y_i$  antenna negative outputs. One-way gadgets with starts in the outputs have ends in clause gadgets. The vertex  $z_i$  is adjacent to a vertex  $u_i$  lying on a distributing path, which we define later.

We show that exactly one of  $x_i$  and  $y_i$  is black at the beginning. This represents the value of the variable  $X_i$ . The vertex  $x_i$  corresponds to the true and  $y_i$  to the false evaluation of  $X_i$ . The purpose of the connection between  $u_i$  and  $z_i$  is to convert all vertices of the gadget to black if  $\mathcal{F}$  is satisfiable.

Observation 6. Let S be an irreversible 2-conversion set. The gadget  $g(X_i)$  has the following properties.

- (a) If  $x_i$  is black then all positive outputs will become black in the process. Similarly for  $y_i$ and negative outputs.
- (b) If two of  $x_i, y_i, z_i$  are black then all vertices of the gadget will become black in the process.
- (c) S must contain at least one of the vertices  $x_i, y_i, z_i$ .
- (d) If S contains exactly one vertex of the gadget (except the vertices of degree 1) then it must be  $x_i$  or  $y_i$ .
- (e) If S contains exactly one vertex of the gadget then  $z_i$  gets black only if  $u_i$  gets black.
- 126 Proof. The first two properties are easy to check and hence we skip them.

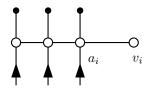


Figure 3: A clause gadget  $g(C_i)$  connected to a vertex  $v_i$  of a collecting path.

First we check the property (c). Every vertex of the triangle  $x_i y_i z_i$  has only one neighbor outside the triangle. Hence if all three vertices are white, they remain white forever since each of them has at most one black neighbor. Therefore S must contain at least one of them. Now we check the property (d). If S is allowed to contain only one of  $\{x_i, y_i, z_i\}$  then all positive and negative outputs are white at the beginning. Moreover, the positive outputs may become black only if  $x_i$  gets black. Similarly for negative outputs and  $y_i$ .

Suppose for contradiction that  $z_i \in S$ . Then both  $x_i$  and  $y_i$  have only one black neighbor ( $z_i$ ) at the beginning. During the process the other black neighbor has to be some output vertex which is not possible. Hence S must contain  $x_i$  or  $y_i$ .

Finally, we check the property (e). By (d) we know that  $z_i$  is white at the beginning. Assume without loss of generality that  $y_i$  is also white while  $x_i$  is black. The vertex  $z_i$  can get black if  $y_i$  or  $u_i$  gets black. So assume for contradiction that  $y_i$  gets black before  $z_i$ . The only possibility is that the vertex from the antenna adjacent to  $y_i$  gets black. But it is not possible since output vertices are white at the beginning and they are connected to the rest of the graph by one-ways.

Note that if  $x_i$  or  $y_i$  is in S then there is still a chance that the process converts all vertices of the gadget to black, as the vertex  $u_i$  may become black during the process.

Let L be a set of all degree 1 vertices in  $G_{\mathcal{F}}$ . We set the parameter s to |L| + n. Thus every variable gadget has exactly one of  $x_i$  and  $y_i$  black at the beginning and all other vertices of  $G_{\mathcal{F}}$  of degree at least 2 are white. We compute |L| after we describe all the remaining gadgets.

### <sup>148</sup> 2.2 Clause gadget

The gadget  $g(C_i)$  for a clause  $C_i = (L_o \vee L_p \vee L_q)$ , where  $1 \leq i \leq m$  and  $L_o, L_p$ , and  $L_q$  are 149 literals, is depicted in Figure 3. The gadget consists of a path on three vertices corresponding 150 to the three literals in the clause. We call the path the *spine* of the clause gadget. Each vertex 151 of the spine has one neighbor of degree 1 and is connected to the gadget of the corresponding 152 variable by a one-way. The vertex of a clause corresponding to a literal  $X_i$  is connected to 153 a positive output of  $g(X_i)$  and the vertex corresponding to a literal  $\neg X_i$  is connected to a 154 negative output of  $q(X_i)$ . Finally, one vertex of the spine denoted by  $a_i$  is connected to a 155 vertex  $v_i$  of a collecting path, which is defined later. 156

Observation 7. If one vertex of the spine is black, then all vertices of the clause gadget get
 black in the process.

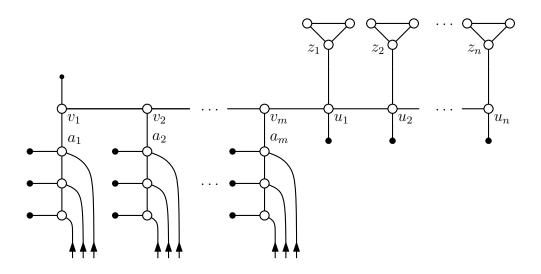


Figure 4: A collecting path  $v_1, \ldots, v_m$  and a distributing path  $u_1, \ldots, u_n$ 

#### <sup>159</sup> 2.3 Collecting and distributing gadget

A collecting path is a path on m vertices  $v_1, \ldots, v_m$  where each  $v_i$  is connected to a clause gadget. Moreover, the vertex  $v_1$  is also connected to a vertex of degree 1. A distributing path is a path on n vertices  $u_1, \ldots, u_n$ . Each  $u_i$  is connected to a vertex of degree 1 and to the vertex  $z_i$  of the variable gadget  $g(X_i)$ . Finally,  $v_m$  is connected to  $u_1$ ; see Figure 4. See Figure 5 for an example of the whole graph  $G_{\mathcal{F}}$ .

**Observation 8.** If the vertices of the distributing and collecting paths are white at the beginning they will become all black in the process only if all the clause gadgets get black during the process.

Proof. If all spines of clause gadgets are black then it is easy to observe that the vertices of the collecting path get black in at most m steps from  $v_1$  to  $v_m$ . Once  $v_m$  is black all the vertices of the distributing path get black in at most n steps from  $u_1$  to  $u_n$ . It remains to check that  $v_i$  cannot get black before a neighboring vertex  $a_i$  gets black.

We start by checking the vertices of the distributing path. By Observation 6(e), the vertex  $z_n$  cannot get black before  $u_n$ . Thus  $u_n$  cannot get black before  $u_{n-1}$  because  $u_{n-1}$  is one of the two remaining neighbors which can be black before  $u_n$ . Similarly, for 0 < i < n, the vertices  $z_i$  and  $u_{i+1}$  cannot get black before  $u_i$ . Thus  $u_i$  cannot get black before  $u_{i-1}$ . Similarly,  $u_0$  cannot get black before  $v_m$ .

Analogously, no vertex  $v_i$ ,  $2 \le i \le m$ , of the collecting path can get black before  $v_{i-1}$  and  $a_i$  are both black. For i = 1 we get that  $a_1$  must get black before  $v_1$ .

The graph  $G_{\mathcal{F}} = (V, E)$  corresponding to the 3-SAT instance  $\mathcal{F}$  constructed from these gadgets has a linear size in the size of  $\mathcal{F}$ . The size of L is 15m + n + 1. Thus s is set to n + |L| = 15m + 2n + 1.

Lemma 9. If  $\mathcal{F}$  is satisfiable then there exists an irreversible 2-conversion set S of size n+|L|in  $G_{\mathcal{F}}$ .

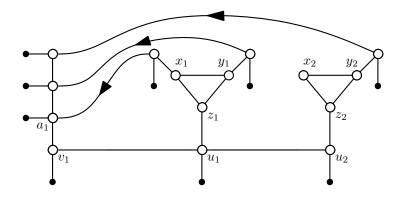


Figure 5: A graph  $G_{\mathcal{F}}$  for the formula  $\mathcal{F} = (X_1 \vee \neg X_1 \vee \neg X_2)$ .

*Proof.* The set S consists of |L| leaves and from every variable gadget  $q(X_i)$  we choose either 184  $x_i$  or  $y_i$  if  $X_i$  is evaluated true or false, respectively. Since  $\mathcal{F}$  is satisfiable then after a finite 185 number of steps every gadget for a clause has at least one black vertex. Then in at most two 186 steps all clause gadgets are completely black. Next the collecting path gets black in at most 187 m steps and the distributing path gets black in next n steps. Now, for  $0 \le i \le n$ , the vertex 188  $z_i$  has two black neighbors and it gets black. The remaining white vertex of the pair  $x_i, y_i$ 189 gets black in the next step. Finally, also the remaining antennas for every variable get black. 190 Hence all vertices of  $G_{\mathcal{F}}$  get black in the process. 19

192 **Lemma 10.** If  $\mathcal{F}$  is not satisfiable then there is no irreversible 2-conversion set of size n + |L|.

*Proof.* Assume for contradiction that there exists an irreversible 2-conversion set S of size 193 n + |L|. By Observation 4,  $L \subseteq S$ . Moreover, due to Observation 6, S must contain one 194 of  $\{x_i, y_i\}$  for each  $i \in [n]$ . Hence there are no other black vertices. We derive the truth 195 assignment of the variables in the following way. We set  $X_i$  true if  $x_i \in S$  and false if  $y_i \in S$ . 196 Let  $C = (L_o \lor L_p \lor L_q)$  be a clause of  $\mathcal{F}$ . The gadget corresponding to C gets black after 197 a finite number of steps of the process. By Observation 8, g(C) got black because of one of 198  $g(X_{o}), g(X_{p})$  or  $g(X_{q})$ . Hence C is evaluated as true in  $\mathcal{F}$ . Therefore all clauses of  $\mathcal{F}$  are 199 evaluated as true which is a contradiction with the assumption that  $\mathcal{F}$  is not satisfiable. 200

<sup>201</sup> The proof of Theorem 1 is now finished.

### <sup>202</sup> **3** Graphs with maximum degree 3

<sup>203</sup> In this section we give a proof of Theorem 2. We follow the approach of Ueno, Kajitani and <sup>204</sup> Gotoh [25].

Let G be a graph with maximum degree 3. Without loss of generality, we assume that G is connected, since a minimum irreversible 2-conversion set can be computed for each component separately. First we reduce the problem to graphs with minimum degree 2. Let  $H_5$  be the graph with five vertices and seven edges consisting of the cycle  $v_1v_2v_3v_4v_5$  and the edges  $v_2v_4$ and  $v_3v_5$ . Let  $G_2$  be the graph obtained from G by attaching a copy of  $H_5$  to each vertex v of G of degree 1 by identifying v with  $v_1$ ; see Figure 6. Observe that  $G_2$  is a graph with maximum degree 3 and minimum degree at least 2.

For any graph H, let  $C_2(H)$  be the minimum size of an irreversible 2-conversion set in H.

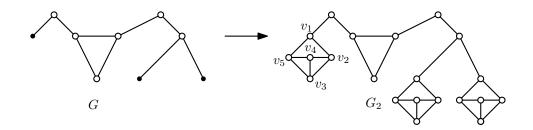


Figure 6: A reduction of the I2CS problem to graphs with all degrees at least 2.

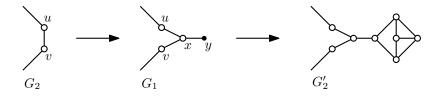


Figure 7: The case of two adjacent vertices of degree 2.

**Lemma 11.** Let k be the number of vertices of degree 1 in G. Then  $C_2(G_2) = C_2(G) + k$ .

Proof. By Observation 4, every irreversible 2-conversion set in G contains all vertices of degree 1. Since every irreversible 2-conversion set in  $G_2$  intersects all cycles, it contains at least two vertices in each copy of  $H_5$ . On the other hand,  $\{v_3, v_4\}$  is an irreversible 2-conversion set of  $H_5$ . It follows that by attaching a copy of  $H_5$  to a vertex of degree 1, the minimum size of an irreversible 2-conversion set grows by 1.

If  $G_2$  is 3-regular, we may directly apply the result of Ueno, Kajitani and Gotoh [25]. Now we take care of the case when  $G_2$  has exactly one or two vertices of degree 2. First we consider the special case when the two vertices of degree 2 are connected by an edge. We subdivide this edge by a new vertex x and add one more vertex y joined to x, forming a graph  $G_1$ . See Figure 7.

Lemma 12. Suppose that u and v are the only vertices of degree 2 in  $G_2$ , and that uv is an edge of  $G_2$ . Let  $G_1$  be the graph  $(V(G_2) \cup \{x, y\}, (E(G_2) \setminus \{uv\}) \cup \{ux, vx, xy\})$ . Then  $C_2(G_1) = C_2(G_2) + 1$ .

*Proof.* If S is an irreversible 2-conversion set in  $G_2$ , then S contains at least one of the vertices u, v. We claim that  $S \cup \{y\}$  is an irreversible 2-conversion set in  $G_1$ . This is clear if both u and v are in S. If exactly one of the vertices u, v is in S, say,  $u \in S$  and  $v \notin S$ , then x turns black in the first step. Therefore, it is sufficient to show that  $S \cup \{x, y\}$  is an irreversible 2-conversion set in  $G_1$ . But this follows since in this case, the irreversible 2-conversion process on the subset  $V(G_2)$  is identical to the process on  $G_2$  starting with S black.

Conversely, let S' be an irreversible 2-conversion set in  $G_1$ . Then necessarily  $y \in S'$ . We may assume that  $x \notin S'$ , otherwise we may replace x by u or v, or remove x from S' if both u and v are in S'. We claim that  $S' \setminus \{y\}$  is an irreversible 2-conversion set in  $G_2$ . Clearly, at least one of the vertices u, v, say, u, is in S'. If also  $v \in S'$ , the claim follows immediately. If  $v \notin S'$ , then during the irreversible 2-conversion process on  $G_1$ , the vertex v turns black only after its neighbor in  $G_2$  other than u turns black. Therefore, the irreversible 2-conversion process on  $G_2$  starting with  $S' \setminus \{y\}$  black will be induced by the process on  $G_1$  starting with S' black.

Modifying  $G_1$  like in Lemma 11, that is, by attaching a copy of  $H_5$  to y, we obtain a graph  $G'_2$  with two nonadjacent vertices of degree 2 and all other vertices of degree 3, satisfying  $C_2(G'_2) = C_2(G_1) + 1 = C_2(G_2) + 2$ .

Now we consider the case of two nonadjacent vertices of degree 2.

Lemma 13. Suppose that u and v are the only vertices of degree 2 in  $G_2$  and that u and v are not adjacent. Then the graph  $G_3$  obtained from  $G_2$  by adding the edge uv satisfies  $C_2(G_3) = C_2(G_2)$ . In particular, every irreversible 2-conversion set in  $G_3$  is also an irreversible 2conversion set in  $G_2$ .

Proof. The inequality  $C_2(G_2) \ge C_2(G_3)$  is trivial. For the other inequality, suppose that S is an irreversible 2-conversion set in  $G_3$ . We show that then S is also an irreversible 2-conversion set in  $G_2$ . Every component of  $G_3 - S$  is a tree. In the beginning, the vertices of S are black and the other vertices are white. In each step, the irreversible 2-threshold process converts all isolated vertices and all leaves of the white subgraph of  $G_3$  to black vertices. If w is an isolated vertex in  $G_3 - S$ , w has still at least two black neighbors in  $G_2$ , so it is converted to a black vertex in the first step.

Let T be a tree component T of  $G_3 - S$  with at least two vertices. If uv is an edge of T, the two components of T - uv will still be converted to black vertices in  $G_2$ , with u and vbeing the last vertices to be converted. If  $u \in T$  and  $v \notin T$ , then all vertices of T will still be converted to black vertices, with u being the last vertex to be converted.  $\Box$ 

We note that we could use Lemma 13 also in the case when uv is an edge, if we allowed multigraphs. However, we have decided not to use multigraphs in this paper.

The case of exactly one vertex of degree 2 can be easily solved using Lemma 13 by taking two disjoint copies of  $G_2$ .

**Corollary 14.** Suppose that v is the only vertex of degree 2 in  $G_2$ . Then the graph  $G_3$ obtained from  $G_2$  by adding a disjoint graph  $G'_2$  isomorphic to  $G_2$  and joining the vertex v' of degree 2 in  $G'_2$  with v by an edge is 3-regular and satisfies  $C_2(G_3) = 2C_2(G_2)$ .

We are left with the case when  $G_2$  has at least  $k \ge 3$  vertices of degree 2. In this case, we construct a 3-regular graph  $G_3$  by attaching a caterpillar T with k leaves and k-2 vertices of degree 3 forming the spine; see Figure 8. Every leaf of T is identified with one vertex of degree 2 in  $G_2$ . Let  $V_2$  be the vertex set of  $G_2$  and let  $V_3$  be the vertex set of  $G_3$ . The graph  $G_3 - V_2$  is a path induced by the k-2 branching nodes of T.

Let  $\mu(G)$  be the cyclomatic number of G. That is,  $\mu(G) = e(G) - v(G) + \kappa(G)$ , where e(G), v(G) and  $\kappa(G)$  are the numbers of edges, vertices and components of G, respectively.

Define a function  $f: 2^{V_3} \to \mathbb{Z}$  from the set of subsets of vertices of  $G_3$  as  $f(X) := \mu(G_3) - \mu(G_3 - X)$ . Roughly speaking, f measures the number of cycles broken by X in  $G_3$ . Let  $f_2: 2^{V_2} \to \mathbb{Z}$  be the restriction of f to subsets of  $V_2$ . Ueno, Kajitani and Gotoh [25] proved that  $(V_3, f)$  is a linear 2-polymatroid, using a linear representation of the dual matroid of the graphic matroid of  $G_3$ . More precisely, each vertex v of  $G_3$  can be represented as a 2-dimensional subspace h(v) of a certain vector space (over any field, and of dimension not exceeding the number of vertices of  $G_3$ ) so that f(X) is equal to the dimension of the span

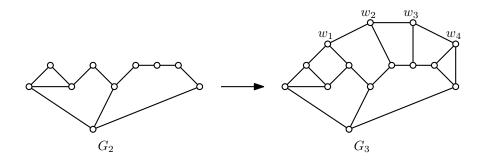


Figure 8: Extending  $G_2$  to a 3-regular graph  $G_3$  by attaching a caterpillar.

of  $\bigcup \{h(v); v \in X\}$ . The function f is called the *rank function* of the 2-polymatroid. Since  $f_2$  is a restriction of f, it follows that  $(V_2, f_2)$  is also a linear 2-polymatroid.

A set M is a matching in a 2-polymetroid with rank function f if f(M) = 2|M|. A set S is spanning in a 2-polymetroid (V, f) if f(S) = f(V). Let  $\nu(V, f)$  be the maximum size of a matching in (V, f) and let  $\rho(V, f)$  be the minimum size of a spanning set of (V, f). Lovász [18, 19] proved the following generalization of Gallai's identity.

**Lemma 15** ([18],[19, Lemma 11.1.1.]). For every 2-polymatroid (V, f), we have  $\nu(V, f) + \rho(V, f) = f(V)$ .

Lovász [18] proved that a maximum matching in a linear 2-polymatroid can be found in polynomial time. It follows that also  $\rho(V, f)$  can be computed in polynomial time, for any linear 2-polymatroid (V, f).

The theorem now follows from the following fact, generalizing [25, Theorem 3].

Lemma 16. A set  $S \subseteq V_2$  is a spanning set in  $(V_2, f_2)$  if and only if it is an irreversible 294 2-conversion set in  $G_2$ .

<sup>295</sup> To prove the lemma, we use the following simple observation.

**Observation 17.** Let S be an irreversible 2-conversion set in a graph G. Let v be a vertex of G of degree 2 such that  $v \notin S$ . Then S is an irreversible 2-conversion set in G - v.  $\Box$ 

Proof of Lemma 16. Let  $S \subseteq V_2$  be an irreversible 2-conversion set in  $G_2$ . We claim that Sis also an irreversible 2-conversion set in  $G_3$ . If not, then  $G_3 - S$  contains a cycle C of white vertices that will not be converted to black vertices during the irreversible 2-threshold process starting with S black. Since  $G_3 - V_2$  is a tree, C contains a vertex of  $V_2$ ; a contradiction. Therefore, S is a feedback vertex set in  $G_3$ , equivalently,  $G_3 - S$  is acyclic, and this is equivalent to the fact that  $f(S) = f(V_3)$ . Since  $G_3 - V_2$  is acyclic, we have  $f_2(S) = f(S) = f(V_3) =$  $f(V_2) = f_2(V_2)$ , and so S is spanning in  $(V_2, f_2)$ .

Now let  $S \subseteq V_2$  be a spanning set in  $(V_2, f_2)$ . By the previous arguments, this is equivalent to the fact that S is an irreversible 2-conversion set in  $G_3$ . Let  $w_1w_2...w_{k-2}$  be the path  $G_3 - V_2$ . Let e be an edge joining  $w_{k-2}$  with a vertex of  $V_2$ . By Lemma 13, S is an irreversible 2-conversion set in  $G_3 - e$ . By Observation 17, S is an irreversible 2-conversion set in  $(G_3 - e) - w_{k-2} = G_3 - w_{k-2}$ . Similarly, by a repeated application of Observation 17, S is an irreversible 2-conversion set in  $G_3 - w_{k-2} - w_{k-3} - \cdots - w_1 = G_2$ .

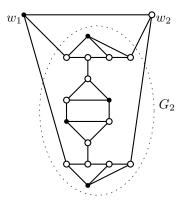


Figure 9: A 3-regular graph  $G_3$  with an irreversible 2-conversion set of size 5. Every such set has to contain at least one of the vertices  $w_1, w_2$ ; therefore, the connected subgraph  $G_2 = G_3 - w_1 - w_2$  has no irreversible 2-conversion set of size 5.

#### 311 3.1 Running time of the algorithm

Lovász and Plummer [19] estimated the running time of Lovász's algorithm [18] to be  $O(n^{17})$ . 312 Since every 2-dimensional subspace can be represented by a pair of linearly independent 313 vectors, the matching problem for 2-polymatroids is equivalent to the *linear matroid parity* 314 problem, whose input is a linear matroid with a partition of its edges into pairs, and the goal 315 is to find an independent set with maximum number of pairs. For matroids with n elements 316 and rank r, Gabow and Stallmann [15, 16] gave an algorithm for the linear matroid parity 317 problem running in time  $O(nr^{\omega})$ , where  $O(n^{\omega})$  is the complexity of multiplication of two 318 square  $n \times n$  matrices. Moreover, for graphic matroids, Gabow and Stallmann [15, 16] gave 319 a very fast algorithm running in time  $O(nr \log^6 r)$ . They noted that the same algorithm can 320 be used to solve the linear matroid parity problem for cographic matroids, that is, the duals 321 of graphic matroids. This follows from the simple fact that a maximum matching M and 322 a basis B containing M determine a unique maximum matching in the dual matroid in the 323 complement of B. Takaoka, Tayu and Ueno [24] used Gabow's and Stallmann's algorithm to 324 show that the vertex feedback set problem for graphs of maximum degree 3 can be solved 325 in time  $O(n^2 \log^6 n)$ . However, we are not able to make a similar conclusion for the I2CS 326 problem, and can guarantee only  $O(n^{1+\omega})$  running time using the more general algorithm by 327 Gabow and Stallmann. 328

Although the matroid  $(V_3, f)$  constructed by Ueno, Kajitani and Gotoh [25] is cographic, our submatroid  $(V_2, f_2)$  is not cographic in general. Moreover,  $\rho(V_3, f)$  can be smaller than  $\rho(V_2, f_2)$ ; see Figure 9. Therefore, we cannot directly use the value  $\nu(V_3, f)$  computed by the faster algorithm by Gabow and Stallmann.

Problem 1. Can the I2CS problem on graphs of maximum degree 3 be efficiently reduced to the cographic matroid parity problem?

# <sup>335</sup> 4 Irreversible 3-conversion set in toroidal grids

In this section we show a construction of an irreversible 3-conversion set S that proves Theorem 3. We denote the toroidal grid of size  $n \times m$  by T(n,m). When the dimensions of the

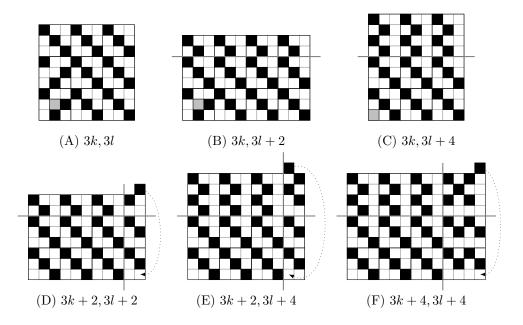


Figure 10: The cases for T(m, n).

grid are clear from the context or not important, we simply write T instead of T(m, n). We assume that the entries of the grid are *squares* and two of them are neighboring if they share an edge. First we discuss the general case where  $m \neq 4$  and  $n \neq 4$ .

We define a coordinate system on T such that the left bottom corner is [0, 0]. A pattern is a small and usually rectangular piece of a grid where squares are black and white. Placing a pattern P at position [i, j] in T means that the left bottom square of P is at [i, j] in T. If a vertex of T has color defined by several patterns then it is white only if it is white in all the patterns. We describe a rectangle of a grid by the coordinates of the bottom left corners of its bottom left and top right squares. Tiling a rectangle R by a pattern P means placing several non-overlapping copies of P to R so that every square of R is covered.

Let m = 3k + a and n = 3l + b, where  $a, b \in \{0, 2, 4\}$ . By g we denote the greatest common divisor of k and l.

For  $0 \le i \le g - 2$  we place a pattern  $\blacksquare$  at [0, 3i]. Next we tile the rest of the rectangle [0, 0][3k - 1, 3l - 1] by a pattern  $\blacksquare$ . The remaining part of the grid can be decomposed into three rectangles of dimensions  $3k \times b$ ,  $a \times 3l$  and  $a \times b$  (some of them may be empty).

We distinguish several cases depending on a and b. They are depicted in Figure 10 and their description follows.

- 355 (A) a = 0, b = 0 We do not add anything now.
- (B) a = 0, b = 2 We tile the rectangle  $3k \times 2$  with  $\blacksquare$ .
- 357 (C) a = 0, b = 4 We tile the rectangle  $3k \times 4$  with

(D) a = 2, b = 2 We tile the rectangle  $3k \times 2$  with  $\square$ , the rectangle  $2 \times 3l$  with  $\square$  and place at [3k, 3l].

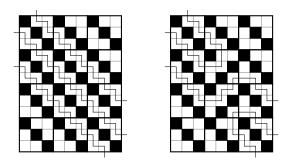


Figure 11: Merging three white cycles into one.

(E) a = 2, b = 4 We tile the rectangle  $3k \times 4$  with [a], the rectangle  $2 \times 3l$  with [a] and place at [3k, 3l].

(F) a = 4, b = 4 We tile the rectangle  $3k \times 4$  with  $\blacksquare$ , the rectangle  $4 \times 3l$  with  $\blacksquare$  and place at [3k, 3l].

The construction is finished for cases (D), (E) and (F). Cases (A), (B) and (C) require an extra black square. We place it at [0,0] or [1,1]. It is colored grey in Figure 10.

Let S be the set of black squares in our construction. In the cases (A), (B) and (C) the size of S is  $\frac{mn}{3} + 1 = \frac{mn+3}{3}$ . In the cases (D) and (F) the size of S is  $\frac{mn+2}{3}$  and in the last case (E) the size of S is  $\frac{mn+4}{3}$ .

Now we check the correctness of the construction. We start with the case (A) where m = 3k and n = 3l.

By a white cycle we denote a connected set of white squares  $W \subseteq T$  where every square in W has at least two neighbors in W. Note that T cannot contain any white cycle if the squares of an irreversible 3-conversion set are black.

**Observation 18.** Let T(3k, 3l) be filled with  $\blacksquare$ . Then it contains g disjoint white cycles.

Let the whole grid be filled by  $\blacksquare$ . By Observation 18, there are g white cycles after the filling. The idea of our construction is to merge the cycles into one long cycle by changing  $\blacksquare$ to  $\blacksquare$  in the first column and the first g - 1 rows; see Figure 11. Finally, we add one more black vertex to break the resulting cycle.

Observe that the small patterns used in (B) – (F) just extend the size of the toroidal grid but do not change the structure of white cycles from the  $3k \times 3l$  rectangle. Thus the argument for the case (A) can be easily extended to all the other cases.

<sup>382</sup> This finishes the construction for the general case.

Now we assume without loss of generality that n = 4. Let m = 2k + a, where  $a \in \{1, 2\}$ . We tile the rectangle [0, 0][2k - 1, 3] by a pattern  $\blacksquare$ . If a = 1 we place  $\blacksquare$  at [2k - 1, 0] and if a = 2 we place  $\blacksquare$  at [2k, 0]. The resulting grids are depicted in Figure 12.

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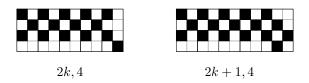


Figure 12: The cases for T(m, 4).

to the papers containing results on minimum irreversible k-conversion sets in high dimensional grids.

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